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# Ripon City Council Minutes

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TUESDAY, NOVEMBER 8, 2016

**REGULAR MEETING**  
**6:00 P.M.**

**PLEDGE OF ALLEGIANCE:** The meeting was called to order at 6:00 p.m. with Mayor Parks leading in the Pledge of Allegiance to the Flag.

**INVOCATION:** Pastor Angelo Contreras gave the invocation.

**ROLL CALL:** Council Members Leo Zuber, Mark Winchell, Michael Restuccia, Vice Mayor Dean Uecker, Mayor Jake Parks.

**OTHERS PRESENT:** City Administrator Kevin Werner, Deputy City Attorney Rosie Ruppel, Planning Director Ken Zuidervaart, Recreation Director Kye Stevens, Director of Public Works Ted Johnston, Police Chief Ed Ormonde, City Clerk Lisa Roos, Deputy City Clerk Tricia Raymond, Information Systems Technician Dan Brannon, Darlene Winchell, Sharon Butler, Jose Flores, Lisa Jordan, Jaime Fountain, Peter Rietkerk, Daniel de Graaf, John Mangelos.

**PUBLIC DISCUSSION:** No one from the public wished to speak at this time.

**APPROVAL OF MINUTES:** **MOTION:** MOVED/SECONDED (RESTUCCIA,UECKER) AND CARRIED BY A 5-0 VOTE TO APPROVE THE MINUTES OF THE REGULAR RIPON CITY COUNCIL MEETING ON OCTOBER 11, 2016.

**APPROVAL OF AGENDA AS POSTED (OR AMENDED):**

Council Member Zuber requested Items 2I, 4G, and 4I be pulled from the Consent Calendar for discussion.

Council Member Restuccia requested Items 2I, 4B, and 4D be pulled from the Consent Calendar for discussion.

**MOTION:** MOVED/SECONDED (ZUBER,RESTUCCIA) AND CARRIED BY A 5-0 VOTE TO APPROVE THE AGENDA AS AMENDED.

**CONSENT CALENDAR****NOTES:****1. Income****A. STATE OF CALIFORNIA**

Retail Sales Tax (2015 \$232,800.00)	\$202,300.00	
Highway Users Tax (2015 \$26,679.70)	<u>\$20,287.51</u>	
TOTAL		<b>\$222,587.51</b>

**B. BERTOLOTTI DISPOSAL, INC.**

Franchise fee payment – 3 <sup>rd</sup> Quarter		<b>\$1,564.12</b>
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**C. CCATT HOLDINGS**

Acacia Avenue Cell Tower Lease		<b>999.53</b>
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**D. WASTE MANAGEMENT**

Franchise fee payment – September 2016		<b>2,027.49</b>
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**2. Bills, Invoices, Payments****A. PRICE PAIGE & COMPANY**

Audit Services		
Progress Payment – Invoice #10862		<b>\$7,275.00</b>

**B. SAN JOAQUIN REGIONAL TRANSIT DISTRICT**

Blossom Express Driver		
September Invoice #AR109865		<b>\$1,407.60</b>

**C. T & S WEST**

CNG Expansion & Bus Shelter		
Construction		
Progress Payment –		
Invoice #1	\$109,736.40	
Invoice #2	<u>\$51,079.60</u>	
TOTAL		<b>\$160,816.00</b>

**D. TERRACON**

CNG Expansion & Bus Shelter Inspection		
Services		
Progress Payment – Invoice #T833729		<b>\$860.00</b>

**CONSENT CALENDAR**

**NOTES:**

**2. Bills, Invoices, Payments, continued:**

**E. WGR SOUTHWEST**

City Stormwater Permit Assistance Progress Payment – Invoice #18216	\$1,891.22	
Invoice #18353	<u>\$4,736.00</u>	
TOTAL		<b>\$6,627.22</b>

**F. WOOD RODGERS**

Well 19 Assessment & Design Progress Payment – Invoice #991	\$617.50	
Well 5 & 12 Assessment & Design Progress Payment – Invoice #102763	\$650.00	
Invoice #101750	\$1,205.00	
Invoice #992	<u>\$2,952.50</u>	
TOTAL		<b>\$5,425.00</b>

**G. BASECAMP ENVIRONMENTAL**

River Road/Fulton Avenue Intersection Improvement Project Environmental Study Progress Payment – Invoice #01089		<b>\$712.50</b>
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**H. J.B. ANDERSON LAND USE PLANNING**

SB5 Compliance Progress Payment - Invoice #080116SB5		<b>\$1,100.00</b>
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**I. RIPON UNIFIED SCHOOL DISTRICT**

*Item 2I was pulled for discussion*

2015-2016 Pool shared operation costs Progress Payment – Invoice #2017-01		<b>\$23,402.49</b>
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**J. TERPSTRA HENDERSON**

General Matters	\$15,790.50	
Police Matters	\$3,138.20	
North Pointe Specific Plan	\$68.75	
Sustainable Groundwater Management Act	<u>\$3,306.50</u>	
TOTAL		<b>\$22,303.95</b>

**CONSENT CALENDAR**

**NOTES:**

**2. Bills, Invoices, Payments, continued:**

K. MUNICIPAL FINANCIAL SERVICES

Water, Wastewater, Garbage Revenue & Rate Update  
Progress Payment – Invoice #201308-12

**\$4,050.00**

L. HAWKINS DELAFIELD & WOOD LLP

Professional Services  
Progress Payment – Invoice #2016-1

**\$4,300.00**

**3. Resolutions**

A. RESOLUTION NO. 16-70

RESOLUTION OF THE CITY  
COUNCIL OF THE CITY OF RIPON  
ACCEPTING THE CITY OF RIPON  
FINANCIAL STATEMENTS AND  
INDEPENDENT AUDITOR'S  
REPORT FOR THE YEAR ENDED  
JUNE 30, 2016

This resolution accepts the City of Ripon Financial Statements and Independent Auditor's Report for the year ended June 30, 2016, prepared by Price Paige & Company.

B. RESOLUTION NO. 16-71

RESOLUTION OF THE CITY OF  
RIPON ACCEPTING THE  
CITY OF RIPON MONEY  
PURCHASE THRIFT PENSION  
PLAN FINANCIAL STATEMENTS  
AND INDEPENDENT AUDITOR'S  
REPORT JUNE 30, 2016 and 2015

This resolution accepts the City of Ripon Money Purchase Thrift Plan Financial Statements and Independent Auditor's Report June 30, 2016 and 2015, prepared by Price Paige & Company.

C. RESOLUTION NO. 16-72

A RESOLUTION OF THE CITY  
COUNCIL OF THE CITY OF RIPON  
AUTHORIZING PARTICIPATION  
IN THE CALIFORNIA FRANCHISE  
TAX BOARD INTERAGENCY  
INTERCEPT COLLECTION  
PROGRAM

This resolution authorizes participation in the California Franchise Tax Board Interagency Intercept Collection Program. This program would allow the City to collect delinquent fines, bails, vehicle parking penalties, court-ordered payments and other permitted debts.

**CONSENT CALENDAR, continued:**

**NOTES:**

**4. Miscellaneous Items**

**A. QUARTERLY INVESTMENT POLICY REVIEW**

July 1, 2016 – September 30, 2016

Accept the City's quarterly investment report for the quarter ending September 30, 2016.

**B. HAWKINS DELAFIELD & WOOD, LLP**

Arbitrage Calculations  
Water Revenue Bonds 2006C

*Item 4B was pulled for discussion*

Accept the arbitrage rebate and yield restriction compliance report for the period August 29, 2011 through August 29, 2016.

*Second Reading and Adoption*

**C. ORDINANCE NO. 855**

AN ORDINANCE OF THE CITY COUNCIL  
OF THE CITY OF RIPON REPEALING  
ORDINANCE NOS. 486, 763, AND 346.

This ordinance repeals ordinances No. 486, 763 and 346 related to Chapter 5.28, entitled "Cable Communications", Chapter 5.29 entitled "State Video Service Franchises" and Chapter 5.32 entitled "Cable Television Installation Requirements" in the Ripon Municipal Code.

**D. TRANSIT PLANNING AND PROGRAMMING  
OF FEDERAL FUNDS**

Memorandum of Understanding  
San Joaquin Council of Governments

*Item 4D was pulled for discussion*

Authorize the Mayor to sign the Memorandum of Understanding with the San Joaquin Council of Governments regarding the transit planning and programming of federal funds that support ongoing and future transit services in the San Joaquin region.

**E. RIVER ROAD/FULTON AVENUE INTERSECTION IMPROVEMENT PROJECT**

Modesto Irrigation District Electrical Pole  
Relocation

Authorize the Mayor to sign the proposal for the Modesto Irrigation District to relocate a utility pole within the project limits on the north east corner of River Road and Fulton Avenue.

Cost not to exceed: \$4,900.00 (funded by the Transportation Capital Fund).

**F. WELL 5 & 12 REHABILITATION PROJECT**

Award Bid to North State Drilling

Award bid to North State Drilling in the amount of \$273,475.00 for the Well 5 & 12 Rehabilitation Project (funded by the Water Enterprise Capital Fund) and direct staff to process the appropriate documents to proceed.

**CONSENT CALENDAR:**

**NOTES:**

**4. Miscellaneous Items, continued:**

G. AMERICAN PAVEMENT SYSTEMS

*Item 4G was pulled for discussion*

Asphalt Rubber Cape Seal Project 2016  
Change Order No. 2

Approve Change Order No. 2 to include a rock subbase on Mulholand Drive between Manley Drive and Azalea Street as part of the project.

Cost not to exceed \$52,090.00 (funded by the Street and Road Fund).

H. PROCLAMATION

Women's Center

Proclaim November as National Homeless Youth Awareness and Runaway Prevention Month.

I. CNG POWERED REFUSE COLLECTION TRUCK PURCHASE

*Item 4I was pulled for discussion*

Award Bid to Western Truck Center

Award bid to Western Truck Center in the amount of \$323,541.78 for the purchase of a new CNG Refuse Truck, funded by a Congestion Mitigation of Air Quality (CMAQ) grant in the amount of \$74,240.00 and the Capital Garbage Fund in the amount of \$249,301.78.

**\*\*END OF CONSENT CALENDAR\*\***

**MOTION:** MOVED/SECONDED (ZUBER,RESTUCCIA) AND CARRIED BY A 5-0 VOTE TO APPROVE THE CONSENT CALENDAR AS AMENDED.

**Discussion on Item 2I**

Council Member Zuber asked if the invoice states the total cost for 2015-2016.

City Administrator Werner said the cost does not include utilities because the pool is not on a separate meter. The School District is working to put the pool on a separate meter in order to evaluate usage. Once usage is determined, funds will be allocated for use.

Council Member Restuccia asked if a detailed breakdown was provided.

Recreation Director Stevens said yes and he could provide Council with a copy if they desire.

Vice Mayor Uecker asked when the re-plastering of the pool was going to take place.

Werner said the committee is in the process of getting quotes and the fee will be split between the City and the School District.

#### **Discussion on Item 4B**

Council Member Restuccia asked for more information on this item.

City Clerk Roos said our bonds require an arbitrage calculation every five years. This service was provided by Hawkins Delafield & Wood, LLP. The arbitrage rebate requirements require that any profit or “arbitrage” be “rebated” to the Federal Government. The report stated that there is currently no rebate liability with respect to the bonds and there is no requirement to file anything with the IRS.

#### **Discussion on Item 4D**

Council Member Restuccia asked if Ripon will receive funding.

City Administrator Werner said by signing this Memorandum of Understanding it starts the process for funding. Funding will be received, but the amount is not known.

#### **Discussion on Item 4G**

Council Member Zuber said the original price of this project was \$600,000.00 and now it has gone up to \$650,000.00. Zuber asked how much we started with in our Street Fund and what will be the ending balance when this project is complete.

City Administrator Werner said the starting balance was \$1,600,000.00 and if you subtract out the Asphalt Rubber Cape Seal Project and add other revenue sources for 2016-17 the account is left with a \$1,350,000.00 balance at the end of the current year. One item not included in the amount is the Stockton Avenue Project, which will reduce the balance by \$100,000.00.

Zuber asked if there were street and road plans for the fund balance.

Werner said Engineering Supervisor Pease is creating a plan for future Street and Road needs.

#### **Discussion on Item 4I**

Council Member Zuber asked why one company provided two bids.

City Administrator Werner said there were bids for two different style trucks.

Zuber said a few months ago we lost two garbage trucks to fire damage. Zuber asked if bids to replace these trucks went out.

Public Works Director Johnston said a company was used that has open bids on these types of vehicles. This could be done because insurance money was being used, not City money. The new trucks were ordered and will be delivered in early December.

Zuber asked if insurance was paying for the trucks.

Johnston said yes, 100%.

**MOTION: MOVED/SECONDED (ZUBER,RESTUCCIA) AND CARRIED BY A 5-0 VOTE TO APPROVE ITEMS 2I, 4B, 4D, 4G, AND 4I.**

#### **PRESENTATION OF PROCLAMATION**

Mayor Parks presented a proclamation to the Women’s Center - Youth & Family Services declaring November as National Homeless Youth Awareness Month in Ripon.

Lisa Jordan with the Women’s Center – Youth & Family Services thanked the Mayor and Council for the proclamation.

**5. PUBLIC HEARINGS**

**NOTES:**

**A. UNMET TRANSIT NEEDS**

San Joaquin Council of Governments  
Ryan Niblock

This public hearing is to consider unmet transit needs within the City of Ripon.

Ryan Niblock with San Joaquin County Council of Governments said that this is an open comment period to determine if there are any unmet transit needs in Ripon.

**PUBLIC HEARING OPENED**

No one from the public wished to speak at this time.

**PUBLIC HEARING CLOSED**

Council Member Winchell asked if any comments had been turned in from Ripon residents.

Niblock said of the 18 comments received, none were from Ripon.

**B. SAN JOAQUIN COUNTY MULTI-SPECIES HABITAT CONSERVATION AND OPEN SPACE PLAN**

Development Fee Update - 2017

The Council will consider the Development Fee increase for the San Joaquin County Multi-Species Habitat Conservation and Open Space Plan (SJMSCP) for all new development pursuant to the SJMSCP within the City of Ripon.

**Action:** Adopt the resolution approving and adopting the 2016 SJMSCP Financial Analysis Update and Adopting the 2017 SJMSCP Development Fees.

Planning Director Zuidervaart said this is a mandated annual public hearing. He said every year the fees go through a review and are adjusted based on property values. If the cost of land goes up, the fees are increased accordingly. Zuidervaart said the fees proposed by the SJMSCP show an increase of approximately 12%.

Council Member Restuccia explained to the public that these are County imposed fees and the City has no control over them.

**PUBLIC HEARING OPENED**

No one from the public wished to speak at this time.

**PUBLIC HEARING CLOSED**

**MOTION:** MOVED/SECONDED (RESTUCCIA,WINCHELL) AND CARRIED BY A 5-0 VOTE TO ADOPT RESOLUTION NO.16-73 “A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF RIPON AMENDING THE SAN JOAQUIN COUNTY MULTI-SPECIES HABITAT CONSERVATION AND OPEN SPACE PLAN (SJMSCP) DEVELOPMENT FEE.”

**5. PUBLIC HEARINGS, continued:**

**NOTES:**

C. ANNUAL REVIEW OF DEVELOPMENT AGREEMENTS

Colony Plaza  
Calvary Reformed Church Master Plan  
Gil Plaza  
Cornerstone II  
Orchard View  
Ripon Crossing  
Alexandra Place  
Silverstone  
Ripon Gardens II  
River Road Subdivision

A public hearing for annual review of current development agreements by the City Council to determine whether or not there has been compliance in good faith with the terms and conditions therein, and accept the written report from the Planning Commission on its determination of the Development Agreements.

**Action:** Should the City Council agree with the Planning Commission’s recommendation, the following motion would be appropriate: “The City Council accepts this staff report as the written report from the Planning Commission that there has been compliance in good faith, with the terms and conditions of each perspective Development Agreement.”

Director of Planning Zuidervaart said pursuant to provisions of Section 65865.1 of the California State Government Code, and Section 16.60.060 of the Ripon Municipal Code, the Planning Commission shall conduct a review of all current Development Agreements for compliance with the terms and conditions therein on an annual basis. The Planning Commission held a Public Hearing on October 17, 2016 where they determined that all current Development Agreements are in compliance. At that time no one from the public came forward to make any comments, so the attached staff report serves as the Planning Commission’s written report to the City Council on this matter. Zuidervaart said there are 54 Development Agreements on the master list of which 10 are considered still active. Staff has reviewed the indicated active agreements and has determined that all proponents have generally made a good faith effort to comply with the terms of the agreements.

**PUBLIC HEARING OPENED**

No one from the public wished to speak at this time.

**PUBLIC HEARING CLOSED**

**MOTION:** MOVED/SECONDED (RESTUCCIA,ZUBER) AND CARRIED BY A 5-0 VOTE TO ACCEPT THE PLANNING COMMISSION’S REPORT THAT THERE HAS BEEN COMPLIANCE IN GOOD FAITH WITH THE TERMS AND CONDITIONS OF EACH PERSPECTIVE DEVELOPMENT AGREEMENT.

## 6. RESOLUTIONS

## NOTES:

### A. RESOLUTION NO. 16-74

A RESOLUTION OF THE CITY  
COUNCIL OF THE CITY OF RIPON  
OPPOSING THE STATE WATER  
RESOURCES CONTROL BOARD  
2016 REVISED DRAFT  
SUBSTITUTE ENVIRONMENTAL  
DOCUMENT AND CALLING FOR  
SUSTAINABLE SOLUTIONS FOR  
THE STANISLAUS RIVER AND  
THE REGION'S ECONOMY

A resolution of the City Council of the City of Ripon opposing the State Water Resources Control Board 2016 revised draft Substitute Environmental Document and calling for the State Water Resources Control Board to pursue a comprehensive solution that prioritizes non-flow measures to protect native fish species before requiring flow increases that would threaten the economic vitality of our region.

Peter Rietkerk with South San Joaquin County Irrigation District (SSJID) introduced a resolution and presented a PowerPoint presentation outlining the main points of the proposal being introduced by the State Water Resource Control Board and why SSJID is opposed to it.

Rietkerk shared the regional impacts the proposal would have and why the proposal is a threat to local water rights.

Council asked for clarification on some points throughout Rietkerk's presentation.

Rietkerk urged the Council and the public to research this topic and visit [www.savethestan.org](http://www.savethestan.org).

Rietkerk said there are a few public hearings coming up, the closest being on December 16<sup>th</sup> at 9:00 a.m. at the Stockton Civic Auditorium, 525 N. Center Street, Stockton, CA 95202 and December 20<sup>th</sup> at 9:00 a.m. at the Modesto Center Plaza, 1000 K Street, Modesto, CA 95354, where the State Water Resource Control Board will be present and available for questions. These are open to the public.

**MOTION:** MOVED/SECONDED (ZUBER,RESTUCCIA) AND CARRIED BY A 5-0 VOTE TO ADOPT RESOLUTION NO. 16-74 OPPOSING THE STATE WATER RESOURCES CONTROL BOARD 2016 REVISED DRAFT SUBSTITUTE ENVIRONMENTAL DOCUMENT AND CALLING FOR SUSTAINABLE SOLUTIONS FOR THE STANISLAUS RIVER AND THE REGION'S ECONOMY.

**6. RESOLUTIONS, continued:**

**NOTES:**

B. RESOLUTION NO. 16-75

RESOLUTION OF THE CITY  
COUNCIL OF THE CITY  
OF RIPON DESIGNATING THE  
FIRST SATURDAY IN APRIL  
“FIRST RESPONDER  
APPRECIATION DAY” IN RIPON,  
CALIFORNIA.

A resolution of the City Council of the City of Ripon designating the first Saturday in April “First Responder Appreciation Day”.

Council Member Restuccia said he has put together a committee and would like to introduce a resolution that designates the first Saturday in April “First Responder Appreciation Day” in Ripon. Restuccia said he is also looking to have Congress declare it as a National Day.

Council Member Zuber said what happens if the National Day declared is different from the first Saturday in April.

Restuccia said the April date would remain because that works with the existing events and holidays within the City.

**MOTION:** MOVED/SECONDED (WINCHELL,ZUBER) AND CARRIED BY A 5-0 VOTE TO ADOPT RESOLUTION NO. 16-75 DESIGNATING THE FIRST SATURDAY IN APRIL “FIRST RESPONDER APPRECIATION DAY”.

**REPORTS**

**Department Heads:** Police Chief Ormonde said on Wednesday, November 9<sup>th</sup> at 7:00 p.m., the Ripon Police Department will be hosting a community meeting for Area of Responsibility #4. The meeting will be held at the Ripon Police Department and is open to the public.

Ormonde said at the October meeting a resident brought up concerns about the speeding on Mohler Road and asked Council and the Police Department if more enforcement could be put in place. Ormonde spoke with the resident and the Engineering Department to see which part of Mohler Road is within city limits. The area has been identified and speed limits will be enforced on the City of Ripon side of Mohler Road.

Director of Public Works Johnston said October water usage was down 29.2% compared to 2013.

Planning Director Zuidervaart said there is a Planning Commission meeting on Monday, November 14<sup>th</sup> at 6:00 p.m. in Council Chambers. Zuidervaart said a commercial portion of the Ripon Gardens II project will be discussed.

**City Council:** No one from Council had anything to report.

There being no further business, the meeting was adjourned at 7:24 p.m.

(Signed) Jacob Parks  
Mayor

**ATTEST:**

(Signed) Tricia Raymond  
Deputy City Clerk



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# Ripon City Council Meeting Notice & Agenda

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**CITY HALL, 259 NORTH WILMA, RIPON, CALIFORNIA**

**TUESDAY, NOVEMBER 8, 2016 - 6:00 P.M.**

## **REGULAR MEETING**

You are now participating in the process of representative government. We welcome your interest and hope you will attend Ripon City Council meetings often. Democracy cannot endure without an informed electorate.

Ripon, as you probably know, has a council-administrator form of local government. Policies are set by the Council, who are elected by the people. These policies are carried out by the City Administrator, who is appointed by the Council. The Council decides what is to be done. The City Administrator, with the assistance of the City Staff, follows through.

### **REGULAR COUNCIL MEETINGS**

The governing body meets at 6:00 P.M., on the second Tuesdays of the month, in the Council Chambers located in City Hall, 259 North Wilma, Ripon, California. A City Council meeting is the process of making and amending laws, developing policy and making decisions for governing your City by citizens who have been elected by you. With the exception of matters of personnel and pending litigation, or negotiations, the City Council takes action only in meetings open to the public.

The City Council follows a regular order of business during its meeting. The Council agenda is prepared in advance by the City Administrator and his staff. If you wish to place an item on the agenda for action, the information must be delivered to the City Administrator or staff eight (8) working days prior to the Council meeting. This date will be revised in case of holidays or special events. The information must be in writing (no phone calls), your name and address must be printed, and action desired must be clearly stated. Copies of the agenda are available at Ripon City Hall and online beginning on the Thursday prior to the meeting, or at the time of the scheduled meeting.

With the publication of this agenda, it is with the intent that each item on the agenda will be considered for a vote. Each Council Member present will cast a vote either for or against the motion under consideration, and the vote will be recorded in the minutes of the meeting. For example, the motion may be to approve, adopt, introduce, deny, fail, withdraw, table, or continue an item.

### **PUBLIC DISCUSSION**

If you desire to speak before the Council on an item not on the agenda, please address "Mr. Mayor" and upon being recognized, come forward to the podium, and state your name and address before proceeding into your subject matter. State law prohibits the City Council from taking action on any item not on the agenda. While the City Council cannot always answer citizens concerns raised during the public discussion time, the City staff will be instructed, where appropriate, to either provide a response in the days following each Council meeting, or to place the issue on a subsequent meeting agenda for the City Council or one of its appointed commissions. No person shall speak for more than five minutes, and the total time allotted for discussion shall not exceed thirty minutes. Persons attending the meeting shall observe rules of propriety, decorum, and good conduct, and refrain from impertinent or slanderous remarks.

In Compliance with the Americans with Disabilities Act, if you need special assistance to participate in this meeting, please call (209) 599-2108. Notification 48 hours prior to the meeting will enable the City to make reasonable arrangements to ensure accessibility to this meeting (28 CFR 35.102-35.104 ADA Title II).

### **CONSENT CALENDAR**

Routine items are scheduled under the heading of Consent Calendar, which allows action to occur with a single motion. Any item can be pulled off the consent calendar for further discussion if Council so desires. The public may also, at this time, request that an item be pulled from the consent calendar for explanation and/or discussion.

### **PERSONNEL/EXECUTIVE SESSION**

Matters of personnel and pending litigation, or negotiations are handled after the public meeting has been closed, in the Personnel/Executive Session, pursuant to Sections 54956.8 and 54957 of the Government Code. This session is closed to the public.

## INFORMATION

### Where can I find the agenda before a meeting?

Copies of the agenda are available at the front counter of the Administration Department at City Hall, 259 N. Wilma Avenue by 4:30 p.m. on Thursday before a regularly scheduled City Council meeting. The agenda can be viewed in the window box in front of City Hall, or online at [www.cityofripon.org](http://www.cityofripon.org). Supplemental documents relating to specific agenda items are available for viewing at the Office of the City Clerk or online.

### Can I get the agenda/minutes mailed to my home/business?

You can subscribe to agendas and minutes in January of each year. The annual subscription for both is \$60.00 per year. Subscription costs are not pro-rated should you wish to begin a subscription mid-year. If you would like to subscribe to the agenda/minutes, contact the City Clerk's office at 259 N. Wilma Avenue. Agendas are mailed on the Thursday before a meeting, and you will receive it on Monday or Tuesday (depending on postal service).

### Can I receive the agenda by e-mail?

You may subscribe to the agenda at any time by e-mail – just go to the web site to register – [www.cityofripon.org](http://www.cityofripon.org). Your subscription will begin immediately and you will receive it on the Thursday before the Regular Council meeting. Agendas sent by e-mail are free. Minutes are not e-mailed, but can be viewed on the City's web site.

### How complete are the minutes?

The City of Ripon prepares **Synopsis Minutes**. These Minutes represent a summary of the actual comments made. Video CD or audio recordings are available for 90 days following a meeting or online for 2 weeks following a meeting at [www.cityofripon.org](http://www.cityofripon.org). Contact the City Clerk's office to view/listen to these recordings at City Hall.

## OTHER MEETINGS

<u>Agency</u>	<u>Day</u>	<u>Time</u>	<u>Place</u>
<b>Historical Museum Commission</b>	Third Monday	7:30 p.m.	Clarence Smit Memorial Museum
<b>Planning Commission</b>	The Monday following City Council	6:00 p.m.	Council Chambers
<b>Recreation Commission</b>	Second Wednesday	6:00 p.m.	City Hall Conference Room
<b>Community &amp; Youth Commission</b>	Third Monday	7:00 p.m.	Police Department

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# Ripon City Council Agenda

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TUESDAY, NOVEMBER 8, 2016

**REGULAR MEETING**  
**6:00 P.M.**

**PLEDGE OF ALLEGIANCE:**

**INVOCATION:**

**ROLL CALL:** Council Members Leo Zuber, Mark Winchell, Michael Restuccia, Vice Mayor Dean Uecker, Mayor Jake Parks.

**OTHERS PRESENT:** City Administrator Kevin Werner, City Attorney Tom Terpstra, Planning Director Ken Zuidervaart, Recreation Director Kye Stevens, Director of Public Works Ted Johnston, Police Chief Ed Ormonde, City Clerk Lisa Roos, Deputy City Clerk Tricia Raymond, Information Systems Technician Dan Brannon, (Others present will be recorded by secretary only.)

**PUBLIC DISCUSSION:** This time is provided to the public to address the City Council on items not on the agenda. If you desire to speak, please address "Mr. Mayor" and upon being recognized, come forward to the podium, and state your name and address before proceeding into your subject matter.

**APPROVAL OF MINUTES:** Approval of the minutes of the Regular Ripon City Council Meeting of October 11, 2016.

**APPROVAL OF AGENDA AS POSTED (OR AMENDED):** If anyone would like to provide public comment regarding an item on the Consent Calendar, please come forward to the podium at this time. The City Council may request to (1) pull an item from the Consent Calendar (2) withdraw an item; (3) add an Emergency items by a 4/5 vote. If the agenda is amended, vote to approve the amended agenda. If no changes are made, vote to approve the agenda as posted.

**CONSENT CALENDAR****NOTES:****1. Income**

p.23	A. STATE OF CALIFORNIA		
	Retail Sales Tax (2015 \$232,800.00)	\$202,300.00	
	Highway Users Tax (2015 \$26,679.70)	\$20,287.51	
	TOTAL		\$222,587.51
	B. BERTOLOTTI DISPOSAL, INC.		
p.25	Franchise fee payment – 3 <sup>rd</sup> Quarter		\$1,564.12
	C. CCATT HOLDINGS		
p.26	Acacia Avenue Cell Tower Lease		999.53
	D. WASTE MANAGEMENT		
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	Progress Payment – Invoice #10862		\$7,275.00
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p.30	Blossom Express Driver		
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p.32	CNG Expansion & Bus Shelter		
	Construction		
	Progress Payment –		
	Invoice #1	\$109,736.40	
	Invoice #2	\$51,079.60	
	TOTAL		\$160,816.00
	D. TERRACON		
p.34	CNG Expansion & Bus Shelter Inspection		
	Services		
	Progress Payment – Invoice #T833729		\$860.00

**CONSENT CALENDAR**

**NOTES:**

**2. Bills, Invoices, Payments, continued:**

p. 35	E. WGR SOUTHWEST		
	City Stormwater Permit Assistance		
	Progress Payment –		
	Invoice #18216	\$1,891.22	
	Invoice #18353	<u>\$4,736.00</u>	
	TOTAL		<b>\$6,627.22</b>
	F. WOOD RODGERS		
p. 37	Well 19 Assessment & Design		
	Progress Payment – Invoice #991	\$617.50	
	Well 5 & 12 Assessment & Design		
	Progress Payment –		
	Invoice #102763	\$650.00	
	Invoice #101750	\$1,205.00	
	Invoice #992	<u>\$2,952.50</u>	
	TOTAL		<b>\$5,425.00</b>
	G. BASECAMP ENVIRONMENTAL		
p. 41	River Road/Fulton Avenue Intersection Improvement Project		
	Environmental Study		
	Progress Payment – Invoice #01089		<b>\$712.50</b>
	H. J.B. ANDERSON LAND USE PLANNING		
p. 42	SB5 Compliance		
	Progress Payment - Invoice #080116SB5		<b>\$1,100.00</b>
	I. RIPON UNIFIED SCHOOL DISTRICT		
p. 44	2015-2016 Pool shared operation costs		
	Progress Payment – Invoice #2017-01		<b>\$23,402.49</b>
	J. TERPSTRA HENDERSON		
p. 45	General Matters	\$15,790.50	
	Police Matters	\$3,138.20	
	North Pointe Specific Plan	\$68.75	
	Sustainable Groundwater Management Act	<u>\$3,306.50</u>	
	TOTAL		<b>\$22,303.95</b>

**CONSENT CALENDAR**

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**2. Bills, Invoices, Payments, continued:**

p. 53	K. MUNICIPAL FINANCIAL SERVICES Water, Wastewater, Garbage Revenue & Rate Update Progress Payment – Invoice #201308-12	<b>\$4,050.00</b>
p. 58	L. HAWKINS DELAFIELD & WOOD LLP Professional Services Progress Payment – Invoice #2016-1	<b>\$4,300.00</b>

**3. Resolutions**

p. 60	A. RESOLUTION NO. 16-__  RESOLUTION OF THE CITY COUNCIL OF THE CITY OF RIPON ACCEPTING THE CITY OF RIPON FINANCIAL STATEMENTS AND INDEPENDENT AUDITOR'S REPORT FOR THE YEAR ENDED JUNE 30, 2016	This resolution accepts the City of Ripon Financial Statements and Independent Auditor's Report for the year ended June 30, 2016, prepared by Price Paige & Company.
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**4. Miscellaneous Items**

- p.135 A. QUARTERLY INVESTMENT POLICY REVIEW  
July 1, 2016 – September 30, 2016 Accept the City’s quarterly investment report for the quarter ending September 30, 2016.
- p.138 B. HAWKINS DELAFIELD & WOOD, LLP  
Arbitrage Calculations Accept the arbitrage rebate and yield restriction compliance report for the period August 29, 2011 through August 29, 2016.  
Water Revenue Bonds 2006C
- Second Reading and Adoption*
- p.157 C. ORDINANCE NO. 855  
AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF RIPON REPEALING ORDINANCE NOS. 486, 763, AND 346. This ordinance repeals ordinances No. 486, 763 and 346 related to Chapter 5.28, entitled “Cable Communications”, Chapter 5.29 entitled “State Video Service Franchises” and Chapter 5.32 entitled “Cable Television Installation Requirements” in the Ripon Municipal Code.
- p.159 D. TRANSIT PLANNING AND PROGRAMMING OF FEDERAL FUNDS  
Memorandum of Understanding Authorize the Mayor to sign the Memorandum of Understanding with the San Joaquin Council of Governments regarding the transit planning and programming of federal funds that support ongoing and future transit services in the San Joaquin region.  
San Joaquin Council of Governments
- p.170 E. RIVER ROAD/FULTON AVENUE INTERSECTION IMPROVEMENT PROJECT  
Modesto Irrigation District Electrical Pole Relocation Authorize the Mayor to sign the proposal for the Modesto Irrigation District to relocate a utility pole within the project limits on the north east corner of River Road and Fulton Avenue.  
Cost not to exceed: \$4,900.00 (funded by the Transportation Capital Fund).
- p.174 F. WELL 5 &12 REHABILITATION PROJECT  
Award Bid to North State Drilling Award bid to North State Drilling in the amount of \$273,475.00 for the Well 5 & 12 Rehabilitation Project (funded by the Water Enterprise Capital Fund) and direct staff to process the appropriate documents to proceed.

**CONSENT CALENDAR:**

**NOTES:**

**4. Miscellaneous Items, continued:**

p.175 G. AMERICAN PAVEMENT SYSTEMS  
Asphalt Rubber Cape Seal Project 2016  
Change Order No. 2

Approve Change Order No. 2 to include a rock subbase on Mulholand Drive between Manley Drive and Azalea Street as part of the project.  
Cost not to exceed \$52,090.00 (funded by the Street and Road Fund).

p.178 H. PROCLAMATION  
Women's Center

Proclaim November as National Homeless Youth Awareness and Runaway Prevention Month.

p.179 I. CNG POWERED REFUSE COLLECTION TRUCK PURCHASE  
Award Bid to Western Truck Center

Award bid to Western Truck Center in the amount of \$323,541.78 for the purchase of a new CNG Refuse Truck, funded by a Congestion Mitigation of Air Quality (CMAQ) grant in the amount of \$74,240.00 and the Capital Garbage Fund in the amount of \$249,301.78.

**\*\*END OF CONSENT CALENDAR\*\***

**PRESENTATION OF PROCLAMATION**

**5. PUBLIC HEARINGS**

**NOTES:**

p.181 A. UNMET TRANSIT NEEDS  
San Joaquin Council of Governments  
Ryan Niblock

This public hearing is to consider unmet transit needs within the City of Ripon.

**5. PUBLIC HEARINGS, continued:**

**NOTES:**

p.183

**B. SAN JOAQUIN COUNTY MULTI-SPECIES HABITAT CONSERVATION AND OPEN SPACE PLAN**

Development Fee Update - 2017

The Council will consider the Development Fee increase for the San Joaquin County Multi-Species Habitat Conservation and Open Space Plan (SJMSCP) for all new development pursuant to the SJMSCP within the City of Ripon.

**Action:** Adopt the resolution approving and adopting the 2016 SJMSCP Financial Analysis Update and Adopting the 2017 SJMSCP Development Fees.

p.196

**C. ANNUAL REVIEW OF DEVELOPMENT AGREEMENTS**

- Colony Plaza
- Calvary Reformed Church Master Plan
- Gil Plaza
- Cornerstone II
- Orchard View
- Ripon Crossing
- Alexandra Place
- Silverstone
- Ripon Gardens II
- River Road Subdivision

A public hearing for annual review of current development agreements by the City Council to determine whether or not there has been compliance in good faith with the terms and conditions therein, and accept the written report from the Planning Commission on its determination of the Development Agreements.

**Action:** Should the City Council agree with the Planning Commission’s recommendation, the following motion would be appropriate: “The City Council accepts this staff report as the written report from the Planning Commission that there has been compliance in good faith, with the terms and conditions of each perspective Development Agreement.”

**6. RESOLUTIONS**

**NOTES:**

p.211

**A. RESOLUTION NO. 16-\_\_**

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF RIPON OPPOSING THE STATE WATER RESOURCES CONTROL BOARD 2016 REVISED DRAFT SUBSTITUTE ENVIRONMENTAL DOCUMENT AND CALLING FOR SUSTAINABLE SOLUTIONS FOR THE STANISLAUS RIVER AND THE REGION’S ECONOMY**

A resolution of the City Council of the City of Ripon opposing the State Water Resources Control Board 2016 revised draft Substitute Environmental Document and calling for the State Water Resources Control Board to pursue a comprehensive solution that prioritizes non-flow measures to protect native fish species before requiring flow increases that would threaten the economic vitality of our region.

**6. RESOLUTIONS, continued:**

**NOTES:**

p.213 B. RESOLUTION NO. 16-\_\_

RESOLUTION OF THE CITY  
COUNCIL OF THE CITY  
OF RIPON DESIGNATING THE  
FIRST SATURDAY IN APRIL  
“FIRST RESPONDER  
APPRECIATION DAY” IN RIPON,  
CALIFORNIA.

A resolution of the City Council of the City of  
Ripon designating the first Saturday in April  
“First Responder Appreciation Day”.

**REPORTS**

**Department Heads:**

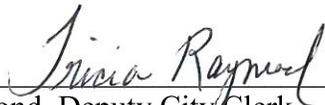
**City Council:**

p.215 **SUCCESSOR AGENCY:**

Adjournment:

Time:

*I hereby certify the attached City Council agenda was posted 72 hours before the noted meeting:*

  
\_\_\_\_\_  
Tricia Raymond, Deputy City Clerk

November 3, 2016  
Date

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# Ripon City Council Meeting Notice & Agenda

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**CITY HALL, 259 NORTH WILMA, RIPON, CALIFORNIA**

**TUESDAY, NOVEMBER 8, 2016 - 6:00 P.M.**

## **REGULAR MEETING**

You are now participating in the process of representative government. We welcome your interest and hope you will attend Ripon City Council meetings often. Democracy cannot endure without an informed electorate.

Ripon, as you probably know, has a council-administrator form of local government. Policies are set by the Council, who are elected by the people. These policies are carried out by the City Administrator, who is appointed by the Council. The Council decides what is to be done. The City Administrator, with the assistance of the City Staff, follows through.

### **REGULAR COUNCIL MEETINGS**

The governing body meets at 6:00 P.M., on the second Tuesdays of the month, in the Council Chambers located in City Hall, 259 North Wilma, Ripon, California. A City Council meeting is the process of making and amending laws, developing policy and making decisions for governing your City by citizens who have been elected by you. With the exception of matters of personnel and pending litigation, or negotiations, the City Council takes action only in meetings open to the public.

The City Council follows a regular order of business during its meeting. The Council agenda is prepared in advance by the City Administrator and his staff. If you wish to place an item on the agenda for action, the information must be delivered to the City Administrator or staff eight (8) working days prior to the Council meeting. This date will be revised in case of holidays or special events. The information must be in writing (no phone calls), your name and address must be printed, and action desired must be clearly stated. Copies of the agenda are available at Ripon City Hall and online beginning on the Thursday prior to the meeting, or at the time of the scheduled meeting.

With the publication of this agenda, it is with the intent that each item on the agenda will be considered for a vote. Each Council Member present will cast a vote either for or against the motion under consideration, and the vote will be recorded in the minutes of the meeting. For example, the motion may be to approve, adopt, introduce, deny, fail, withdraw, table, or continue an item.

### **PUBLIC DISCUSSION**

If you desire to speak before the Council on an item not on the agenda, please address "Mr. Mayor" and upon being recognized, come forward to the podium, and state your name and address before proceeding into your subject matter. State law prohibits the City Council from taking action on any item not on the agenda. While the City Council cannot always answer citizens concerns raised during the public discussion time, the City staff will be instructed, where appropriate, to either provide a response in the days following each Council meeting, or to place the issue on a subsequent meeting agenda for the City Council or one of its appointed commissions. No person shall speak for more than five minutes, and the total time allotted for discussion shall not exceed thirty minutes. Persons attending the meeting shall observe rules of propriety, decorum, and good conduct, and refrain from impertinent or slanderous remarks.

In Compliance with the Americans with Disabilities Act, if you need special assistance to participate in this meeting, please call (209) 599-2108. Notification 48 hours prior to the meeting will enable the City to make reasonable arrangements to ensure accessibility to this meeting (28 CFR 35.102-35.104 ADA Title II).

### **CONSENT CALENDAR**

Routine items are scheduled under the heading of Consent Calendar, which allows action to occur with a single motion. Any item can be pulled off the consent calendar for further discussion if Council so desires. The public may also, at this time, request that an item be pulled from the consent calendar for explanation and/or discussion.

### **PERSONNEL/EXECUTIVE SESSION**

Matters of personnel and pending litigation, or negotiations are handled after the public meeting has been closed, in the Personnel/Executive Session, pursuant to Sections 54956.8 and 54957 of the Government Code. This session is closed to the public.

## INFORMATION

### Where can I find the agenda before a meeting?

Copies of the agenda are available at the front counter of the Administration Department at City Hall, 259 N. Wilma Avenue by 4:30 p.m. on Thursday before a regularly scheduled City Council meeting. The agenda can be viewed in the window box in front of City Hall, or online at [www.cityofripon.org](http://www.cityofripon.org). Supplemental documents relating to specific agenda items are available for viewing at the Office of the City Clerk or online.

### Can I get the agenda/minutes mailed to my home/business?

You can subscribe to agendas and minutes in January of each year. The annual subscription for both is \$60.00 per year. Subscription costs are not pro-rated should you wish to begin a subscription mid-year. If you would like to subscribe to the agenda/minutes, contact the City Clerk's office at 259 N. Wilma Avenue. Agendas are mailed on the Thursday before a meeting, and you will receive it on Monday or Tuesday (depending on postal service).

### Can I receive the agenda by e-mail?

You may subscribe to the agenda at any time by e-mail – just go to the web site to register – [www.cityofripon.org](http://www.cityofripon.org). Your subscription will begin immediately and you will receive it on the Thursday before the Regular Council meeting. Agendas sent by e-mail are free. Minutes are not e-mailed, but can be viewed on the City's web site.

### How complete are the minutes?

The City of Ripon prepares **Synopsis Minutes**. These Minutes represent a summary of the actual comments made. Video CD or audio recordings are available for 90 days following a meeting or online for 2 weeks following a meeting at [www.cityofripon.org](http://www.cityofripon.org). Contact the City Clerk's office to view/listen to these recordings at City Hall.

## OTHER MEETINGS

<u>Agency</u>	<u>Day</u>	<u>Time</u>	<u>Place</u>
<b>Historical Museum Commission</b>	Third Monday	7:30 p.m.	Clarence Smit Memorial Museum
<b>Planning Commission</b>	The Monday following City Council	6:00 p.m.	Council Chambers
<b>Recreation Commission</b>	Second Wednesday	6:00 p.m.	City Hall Conference Room
<b>Community &amp; Youth Commission</b>	Third Monday	7:00 p.m.	Police Department

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# Ripon City Council Agenda

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TUESDAY, NOVEMBER 8, 2016

**REGULAR MEETING**  
**6:00 P.M.**

**PLEDGE OF ALLEGIANCE:**

**INVOCATION:**

**ROLL CALL:** Council Members Leo Zuber, Mark Winchell, Michael Restuccia, Vice Mayor Dean Uecker, Mayor Jake Parks.

**OTHERS PRESENT:** City Administrator Kevin Werner, City Attorney Tom Terpstra, Planning Director Ken Zuidervaart, Recreation Director Kye Stevens, Director of Public Works Ted Johnston, Police Chief Ed Ormonde, City Clerk Lisa Roos, Deputy City Clerk Tricia Raymond, Information Systems Technician Dan Brannon, (Others present will be recorded by secretary only.)

**PUBLIC DISCUSSION:** This time is provided to the public to address the City Council on items not on the agenda. If you desire to speak, please address "Mr. Mayor" and upon being recognized, come forward to the podium, and state your name and address before proceeding into your subject matter.

**APPROVAL OF MINUTES:** Approval of the minutes of the Regular Ripon City Council Meeting of October 11, 2016.

**APPROVAL OF AGENDA AS POSTED (OR AMENDED):** If anyone would like to provide public comment regarding an item on the Consent Calendar, please come forward to the podium at this time. The City Council may request to (1) pull an item from the Consent Calendar (2) withdraw an item; (3) add an Emergency items by a 4/5 vote. If the agenda is amended, vote to approve the amended agenda. If no changes are made, vote to approve the agenda as posted.

**CONSENT CALENDAR****NOTES:****1. Income**

p.23	A. STATE OF CALIFORNIA		
	Retail Sales Tax (2015 \$232,800.00)	\$202,300.00	
	Highway Users Tax (2015 \$26,679.70)	\$20,287.51	
	TOTAL		\$222,587.51
	B. BERTOLOTTI DISPOSAL, INC.		
p.25	Franchise fee payment – 3 <sup>rd</sup> Quarter		\$1,564.12
	C. CCATT HOLDINGS		
p.26	Acacia Avenue Cell Tower Lease		999.53
	D. WASTE MANAGEMENT		
p.27	Franchise fee payment – September 2016		2,027.49

**2. Bills, Invoices, Payments**

p.29	A. PRICE PAIGE & COMPANY		
	Audit Services		
	Progress Payment – Invoice #10862		\$7,275.00
	B. SAN JOAQUIN REGIONAL TRANSIT DISTRICT		
p.30	Blossom Express Driver		
	September Invoice #AR109865		\$1,407.60
	C. T & S WEST		
p.32	CNG Expansion & Bus Shelter		
	Construction		
	Progress Payment –		
	Invoice #1	\$109,736.40	
	Invoice #2	\$51,079.60	
	TOTAL		\$160,816.00
	D. TERRACON		
p.34	CNG Expansion & Bus Shelter Inspection		
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**CONSENT CALENDAR**

**NOTES:**

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San Joaquin Council of Governments
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Cost not to exceed: \$4,900.00 (funded by the Transportation Capital Fund).
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**CONSENT CALENDAR:**

**NOTES:**

**4. Miscellaneous Items, continued:**

- p.175 G. AMERICAN PAVEMENT SYSTEMS  
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Change Order No. 2
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Women's Center
- p.179 I. CNG POWERED REFUSE COLLECTION TRUCK PURCHASE  
Award Bid to Western Truck Center
- Approve Change Order No. 2 to include a rock subbase on Mulholand Drive between Manley Drive and Azalea Street as part of the project.  
Cost not to exceed \$52,090.00 (funded by the Street and Road Fund).
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**\*\*END OF CONSENT CALENDAR\*\***

**PRESENTATION OF PROCLAMATION**

**5. PUBLIC HEARINGS**

**NOTES:**

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San Joaquin Council of Governments  
Ryan Niblock
- This public hearing is to consider unmet transit needs within the City of Ripon.

**5. PUBLIC HEARINGS, continued:**

**NOTES:**

p.183

**B. SAN JOAQUIN COUNTY MULTI-SPECIES HABITAT CONSERVATION AND OPEN SPACE PLAN**

Development Fee Update - 2017

The Council will consider the Development Fee increase for the San Joaquin County Multi-Species Habitat Conservation and Open Space Plan (SJMSCP) for all new development pursuant to the SJMSCP within the City of Ripon.

**Action:** Adopt the resolution approving and adopting the 2016 SJMSCP Financial Analysis Update and Adopting the 2017 SJMSCP Development Fees.

p.196

**C. ANNUAL REVIEW OF DEVELOPMENT AGREEMENTS**

- Colony Plaza
- Calvary Reformed Church Master Plan
- Gil Plaza
- Cornerstone II
- Orchard View
- Ripon Crossing
- Alexandra Place
- Silverstone
- Ripon Gardens II
- River Road Subdivision

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**Action:** Should the City Council agree with the Planning Commission’s recommendation, the following motion would be appropriate: “The City Council accepts this staff report as the written report from the Planning Commission that there has been compliance in good faith, with the terms and conditions of each perspective Development Agreement.”

**6. RESOLUTIONS**

**NOTES:**

p.211

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**6. RESOLUTIONS, continued:**

**NOTES:**

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“FIRST RESPONDER  
APPRECIATION DAY” IN RIPON,  
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**REPORTS**

**Department Heads:**

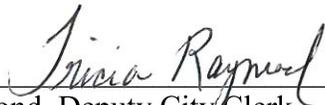
**City Council:**

p.215 **SUCCESSOR AGENCY:**

Adjournment:

Time:

*I hereby certify the attached City Council agenda was posted 72 hours before the noted meeting:*

  
\_\_\_\_\_  
Tricia Raymond, Deputy City Clerk

November 3, 2016  
Date

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# Ripon City Council Minutes

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TUESDAY, OCTOBER 11, 2016

**REGULAR MEETING**

**6:00 P.M.**

**PLEDGE OF ALLEGIANCE:** The meeting was called to order at 6:00 p.m. with Mayor Parks leading in the Pledge of Allegiance to the Flag.

**INVOCATION:** Pastor Chris Williams gave the invocation.

**ROLL CALL:** Council Members Leo Zuber, Mark Winchell, Michael Restuccia, Vice Mayor Dean Uecker, Mayor Jake Parks.

**OTHERS PRESENT:** City Administrator Kevin Werner, City Attorney Tom Terpstra, Planning Director Ken Zuidervaart, Recreation Director Kye Stevens, Director of Public Works Ted Johnston, Police Chief Ed Ormonde, City Clerk Lisa Roos, Deputy City Clerk Tricia Raymond, Information Systems Technician Dan Brannon, John Mangelos, David Dybas, Connie Jorgensen, Debbie Svopa, Daniel DeGraaf, Jaime Fountain, David Storer, Gaynl Trotter, Ruth Alejo.

**PUBLIC DISCUSSION:** Ripon Resident Gaynl Trotter wanted to clarify the pronunciation of Mavis Stouffer Park.

Danny Salsbury who lives on the county side of S. Mohler Road said she has spoken to the Ripon Police Department and the Sherriff's Department about the speeding that occurs on her street. Salsbury said people drive 50-70 mph daily on her street and she personally has almost been hit walking to her mailbox. She has asked the Ripon Police Department to monitor this street, but since she is in the county she was told there was nothing they could do. Salsbury would like to see a speed radar set up on the city side of street for monitoring or even a police officer to patrol the street and cite speeders.

Ripon Resident Debbie Svopa Meeuwse said she addressed staff and Council at the August 9, 2016 council meeting in regards to a code violation she had received. Meeuwse has requested to hear the complaint, but cannot get an affirmative date and time of one. Meeuwse said if someone is going to file a complaint about you, you should be able to know the reasoning behind it. Since the meeting CSO Forks and Mr. Zuidervaart have reached out and a second letter of non-compliance was sent from the City Attorney's office. Meeuwse provided photos of the property and the steps she has taken to clean up the property she occupies, plus she provided photos of other areas in town and asked how code enforcement is going to deal with complaints and zoning for these areas.

City Attorney Terpstra said this is not a Council matter and stated that staff will draft a letter to respond to her questions.

**APPROVAL OF MINUTES:** **MOTION:** MOVED/SECONDED (WINCHELL,RESTUCCIA) AND CARRIED BY A 5-0 VOTE TO APPROVE THE MINUTES OF THE REGULAR RIPON CITY COUNCIL MEETING ON SEPTEMBER 13, 2016.

**APPROVAL OF AGENDA AS POSTED (OR AMENDED):**

Council Member Winchell requested Item 3D be pulled for discussion from the Consent Calendar.

Council Member Zuber requested Items 3B, 3C, and 3F be pulled for discussion from the Consent Calendar.

**MOTION:** MOVED/SECONDED (ZUBER,RESTUCCIA) AND CARRIED BY A 5-0 VOTE TO APPROVE THE AGENDA AS AMENDED.

**CONSENT CALENDAR****NOTES:****1. Income****A. STATE OF CALIFORNIA**

Retail Sales Tax (2015 \$180,778.55)	\$252,966.74	
Highway Users Tax (2015 \$24,032.88)	\$23,902.39	
<b>TOTAL</b>		<b>\$276,869.13</b>

**B. CCATT HOLDINGS**

Acacia Avenue Cell Tower Lease	\$999.53
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**C. T-MOBILE**

Cell Tower Lease - September	\$898.03
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**D. WASTE MANAGEMENT**

Franchise Fee Payment – August 2016	\$1,919.00
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**2. Bills, Invoices, Payments****A. PRICE PAIGE & COMPANY**

Audit Services	\$15,075.00
Progress Payment – Invoice #10776	

**B. CENTRAL SAN JOAQUIN VALLEY RISK MANAGEMENT AUTHORITY**

2016-2017 2 <sup>nd</sup> Quarter Deposits	\$158,107.00
--	--------------

**C. SAN JOAQUIN REGIONAL TRANSIT DISTRICT**

Blossom Express Driver	\$1,407.60
August Invoice #AR109802	

**D. BANC OF AMERICA LEASING**

Ripon Public Financing Authority	\$163,495.00
2011 Waste Water Bonds	

**CONSENT CALENDAR**

**NOTES:**

**2. Bills, Invoices, Payments, continued:**

E. UNION BANK

California Statewide Community Development Authority      **\$382,011.22**  
Water and Wastewater Revenue Bonds Series 2006C

F. UNION BANK

California Statewide Community Development Authority      **\$236,507.82**  
Water Revenue Refunding Bonds Series 2012

G. GOODWIN CONSULTING GROUP, INC.

Development Impact Fee Program Update

Progress Payments -

Invoice #8561      \$15,535.00

Invoice #8719      \$4,505.00

TOTAL      **\$20,040.00**

H. TERPSTRA HENDERSON

General Matters      \$13,433.75

Police Matters      \$3,375.00

Charter Communications      \$343.75

Meadowood Development      \$412.50

TOTAL      **\$17,565.00**

**3. Miscellaneous Items**

*Second Reading and Adoption*

A. ORDINANCE NO. 854

AN ORDINANCE OF THE CITY  
COUNCIL OF THE CITY OF RIPON  
ADDING CHAPTER 2.06 TO THE  
MUNICIPAL CODE, ENTITLED  
“CITY COUNCIL MEETINGS”

This ordinance adds Chapter 2.06 to Title 2,  
Administration and Personnel, entitled “City  
Council Meetings”.

B. SJJID ENCROACHMENT PERMIT  
AGREEMENT

CNG Expansion & Bus Shelter  
Project

*Item 3B was pulled for discussion*

Approve and authorize the Mayor to sign the  
Encroachment Agreement with SJJID to  
install improvements within the existing SJJID  
easement as part of the CNG Expansion and  
Bus Shelter Project.

## CONSENT CALENDAR

## NOTES:

### 3. Miscellaneous Items, continued:

- C. ECONOMIC DEVELOPMENT MANUAL *Item 3C was pulled for discussion*  
Goodwin Consulting Group  
Scope of Work Change Order  
Authorize the proposed scope of work and fee schedule for Goodwin Consulting Group, Inc. to prepare an Economic Development Manual. The purpose of the Manual is to identify the reasons for differences between Ripon's development impact fees and those of the cities surveyed.  
(Cost not to exceed: \$9,800.00)
- D. PROJECT IMPROVEMENT AGREEMENT *Item 3D was pulled for discussion*  
Jimco Truck Stop  
Approve the Jimco Truck Stop PIA, authorize the Mayor to sign the agreement, and direct staff to proceed.
- E. AMERICAN PAVEMENT SYSTEMS  
Asphalt Rubber Cape Seal Project 2016  
Change Order No. 1  
Approve Change Order No. 1 in the amount of \$21,015.50 for additional work on failed sections of concrete valley gutters and asphalt concrete located on Main Street between Acacia Avenue and Industrial Avenue.
- F. 2017 HEALTH AND WELFARE PLANS *Item 3F was pulled for discussion*  
Approve providing the following health and welfare plans for calendar year 2017:
- Kaiser Healthcare
  - CSAC Blue Shield Healthcare
  - Assurant Dental
  - Assurant Life Insurance
  - Assurant Long Term Disability Insurance.

**\*\*END OF CONSENT CALENDAR\*\***

**MOTION:** MOVED/SECONDED (WINCHELL,UECKER) AND CARRIED BY A 5-0 VOTE TO APPROVE THE CONSENT CALENDAR AS AMENDED.

### Discussion on Item 3B

Council Member Zuber asked for a better description of the easement and where it is located.

Engineering Supervisor Pease said the South San Joaquin Irrigation District has a 30ft easement that runs along the back of Doak Blvd., which encroaches on project improvements and expansion. All of the work is surface, no structural.

### **Discussion on Item 3C**

Council Member Zuber said he wanted to pull this item to explain it to the public. Zuber said last month when looking at Development Fees for commercial projects the consultant couldn't explain how other cities had such low costs compared to Ripon. Council requested the consultant research the surrounding cities' costs and then create a template for Ripon to use in explaining fees and how they compare to the surrounding cities.

### **Discussion on Item 3D**

Council Member Winchell asked for more information on the Project Improvement Agreement (PIA) with Jimco Truck Stop.

Planning Director Zuidervaart said Jimco Truck Stop is looking to hook up to city services. In order for this to occur they will need to remove a storm water pond located at the back of their property and make various site improvements. Zuidervaart said these improvements can be found in Exhibit "A" of the PIA and a bond will be held until improvements are complete.

### **Discussion on Item 3F**

Council Member Zuber said on page 2 under the financial report it states there was \$35,000.00 in savings to the city's overall budget. Zuber said he interprets this as paper savings because staff thought it was going to cost less, but it's actually going to cost more.

City Administrator Werner said there was an increase to the total premium from last year, but the 2017 premium is less than what was budgeted.

Zuber said on Table 2 it shows that employees with the employee plus family plan are going to pay out of pocket fees.

Werner said yes, it will be a 50/50 split.

**MOTION:** MOVED/SECONDED (ZUBER,UECKER) AND CARRIED BY A 5-0 VOTE TO APPROVE ITEM 3B, 3C, 3D, AND 3F.

## **4. PUBLIC HEARINGS**

## **NOTES:**

*Council will take action on the following items at the conclusion of each Public Hearing.*

### A. 2017 HEALTH AND WELFARE PLAN

#### RESOLUTION NO. 16-65

RESOLUTION OF THE CITY  
COUNCIL OF THE CITY OF RIPON  
ADOPTING AN INCREASE IN THE  
COST OF PROVIDING HEALTH  
BENEFITS TO THE CITY  
COUNCIL MEMBERS

As required by Ripon Municipal Code 2.04.010, prior to the City Council approving any increase in the cost of providing health benefits to City Council Members, the City Council may consider whether to accept said increase at a public meeting after public input.  
**Action:** Approve the Resolution adopting an increase in cost of health benefits for calendar year 2017.

City Administrator Werner said this is a public hearing on a resolution to increase the cost of providing health benefits to the City Council Members.

Werner shared a PowerPoint presentation with costs of the health and welfare plan, which showed a total increase in 2017 of 4.5%.

Council Member Restuccia asked who came up with this plan and commended the group for their efforts.

Werner said it was a team effort in trying to save money.

## **PUBLIC HEARING OPEN**

No one from the public wished to speak at this time.

## **PUBLIC HEARING CLOSED**

**MOTION:** MOVED/SECONDED (RESTUCCIA,ZUBER) AND CARRIED BY A 5-0 VOTE TO APPROVE RESOLUTION NO. 16-65 ADOPTING AN INCREASE IN THE COST OF PROVIDING HEALTH BENEFITS TO THE CITY COUNCIL MEMBERS.

## **5. RESOLUTIONS**

## **NOTES:**

**Note:** Resolutions 5A through 5D can be taken as one item if no one from the public objects.

### **A. RESOLUTION NO. 16-66**

**RESOLUTION OF THE CITY  
COUNCIL OF THE CITY OF  
RIPON ADOPTING AN INCREASE  
IN THE COST OF PROVIDING  
HEALTH BENEFITS TO THE  
ADMINISTRATIVE STAFF AND  
NON-REPRESENTED POLICE  
DEPARTMENT EMPLOYEES**

This resolution adopts an increase of health benefits for the City of Ripon administrative staff and non-represented police department employees.

### **B. RESOLUTION NO. 16-67**

**RESOLUTION OF THE CITY  
COUNCIL OF THE CITY OF  
RIPON ADOPTING AMENDMENT  
NO. 1 TO THE MEMORANDUM  
OF UNDERSTANDING  
FOR FISCAL YEARS 2016-17 and  
2017-18 FOR ALL EMPLOYEES OF  
THE CITY OF RIPON POLICE  
OFFICER'S ASSOCIATION**

This resolution adopts Amendment No. 1 of the 2016-2018 Memorandum of Understanding for all employees of the City of Ripon Police Officer's Association, which provides an increase of health benefits.

**5. RESOLUTIONS, continued:**

**NOTES:**

C. RESOLUTION NO. 16-68

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF RIPON ADOPTING AMENDMENT NO. 1 TO THE MEMORANDUM OF UNDERSTANDING FOR FISCAL YEARS 2016-17 and 2017-18 FOR ALL EMPLOYEES OF THE CITY OF RIPON **POLICE SERGEANT'S ASSOCIATION**

This resolution adopts Amendment No. 1 of the 2016-2018 Memorandum of Understanding for all employees of the City of Ripon Police Sergeant's Association, which provides an increase of health benefits

D. RESOLUTION NO. 16-69

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF RIPON ADOPTING AMENDMENT NO. 1 TO THE MEMORANDUM OF UNDERSTANDING FOR FISCAL YEARS 2016-17 and 2017-18 FOR ALL EMPLOYEES OF THE CITY OF RIPON WORKING IN THE CLASSIFICATION OF **PUBLIC WORKS MAINTENANCE AND PUBLIC WORKS FOREMAN**

This resolution adopts Amendment No. 1 of the 2016-2018 Memorandum of Understanding for all Public Works Maintenance and Public Works Foreman employees, which provides an increase of health benefits.

**MOTION:** MOVED/SECONDED (ZUBER,UECKER) AND CARRIED BY A 5-0 VOTE TO ADOPT RESOLUTIONS 16-66, 16-67, 16-68, AND 16-69 ADOPTING AN INCREASE IN THE COST OF PROVIDING HEALTH CARE BENEFITS AND ADOPTING AMENDMENT 1 TO THE 2016-2018 MEMORANDUM OF UNDERSTANDING FOR THE RIPON POLICE OFFICER'S ASSOCIATION, RIPON POLICE SERGEANT'S ASSOCIATION, AND PUBLIC WORKS MAINTENANCE AND PUBLIC WORKS FOREMAN EMPLOYEES.

**6. ORDINANCES**

**NOTES:**

*First Reading and Introduction*

A. ORDINANCE NO. 855

AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF RIPON REPEALING ORDINANCE NOS. 486, 763 AND 346

This ordinance repeals ordinances No. 486, 763 and 346 related to Chapter 5.28, entitled "Cable Communications", Chapter 5.29 entitled "State Video Service Franchises" and Chapter 5.32 entitled "Cable Television Installation Requirements" in the Ripon Municipal Code.

City Attorney Terpstra said this is a clean-up ordinance to repeal outdated Chapters in the Ripon Municipal Code.

**MOTION:** MOVED/SECONDED (RESTUCCIA,WINCHELL) AND CARRIED BY A 5-0 VOTE TO WAIVE THE FIRST READING AND INTRODUCE ORDINANCE NO. 855.

**6. ORDINANCES, continued:**

**NOTES:**

*First Reading and Introduction*

B. ORDINANCE NO. \_\_

AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF RIPON ADDING CHAPTER 5.48 AND AMENDING CHAPTER 5.40, SECTION 9.04.020 AND SECTION 9.23.040 OF THE RIPON MUNICIPAL CODE

The Ripon Municipal Code currently prohibits the consumption of alcohol within any public place, including streets, sidewalks, parking lots, and parks. The City Council established an alcohol committee that discussed possible changes to the municipal code as it relates to alcohol consumption:

- (1) Alcohol consumption as part of an organized performance-based event at Mistlin Sports Park amphitheater;
- (2) Downtown dining on Main Street between Stockton Avenue and Nourse Avenue that allows the consumption of alcohol;
- (3) Downtown community dining event once per year on Main Street between Stockton Avenue and Walnut Avenue that allows the consumption of alcohol.

City Council to consider changes to the Ripon Municipal Code that would provide for all, or any combination, of these possible changes discussed by the committee.

City Administrator Werner said staff has been meeting with the Alcohol committee set up to review amendments to Chapter 5.40 in the Ripon Municipal Code, entitled “Licensing and Regulations of Special Events” for alcohol consumption.

Werner said currently the Municipal Code prohibits alcohol consumption at special events. At the September Council meeting three options were provided for review. After reviewing the options and the proposed ordinance City Attorney Terpstra would like more time to review the amendments and additions.

Werner said staff is requesting to hold off on the first reading and introduction of this ordinance.

Council Member Restuccia said he has questions in regards the timeline of when an application is due, the number of months being allowed for special events with alcohol and asked why events were limited to beer and wine.

Werner said the months of the year were chosen because of the weather and the fact that fencing would be put up for a certain amount of time.

Chief Ormonde said the Alcoholic Beverage Control Board (ABC) limits the type of alcohol that can be taken out of a restaurant. Beer and wine are the only drinks permitted.

Council Member Zuber asked if we have to be so specific with dates, hours and street names for events in this ordinance. Zuber said the specifics of the event should be handled by the special events committee.

City Attorney Terpstra said there are many things that need to be defined in this ordinance and that is why he would like more time to re-work it with the committee and staff.

This item will be brought back to Council at a future meeting.

## **7. DISCUSSION ITEMS**

## **NOTES:**

### **A. FINANCIAL AUDITS - FISCAL YEAR 2015-2016**

#### *Discussion/No Action*

Price Paige & Company has completed the audit of the City of Ripon's Financial Statements and the Money Purchase Thrift Pension Plan for the fiscal year ending June 30, 2016. Resolutions will be brought forward in November for City Council acceptance.

City Clerk Roos said the audits for the City of Ripon and for the Money Purchase Thrift Pension Plan for the fiscal year ended June 30, 2016 have been completed by Price Paige & Company. The audit is a combination of all of the finances of the City. These audits are completed to fulfill requirements placed on us by State agencies and our bonding agencies.

Roos said as noted in the audit committee letters, there were no significant audit findings and no difficulties encountered between the auditors and staff.

Roos said there is no action required tonight and resolutions to accept these audits will be on the November 8, 2016 City Council agenda consent calendar for approval.

Council Member Zuber commended staff and the auditors for completing the audit by October, rather than January. Having it done in a timely manner allows sufficient time for review.

Zuber asked if there was a way to connect the audit with the financial data received by staff. Zuber said the terms were different and it was hard to differentiate areas and where the money was going.

Zuber said this could be confusing and lead to different interpretations by the general public.

Council Member Restuccia said he agreed with Zuber on the timely manner in which the audit was completed and asked staff if they were happy with Price Paige & Company as an auditor.

Roos said they were great.

Dave Dybas with Price Paige & Company thanked staff for their time in working on the audits. Dybas said the language in the audit is bound by rules and regulations, so to match the language in the audit with the monthly data received by staff is difficult.

Zuber said if we can figure out a way to provide the financial information to the public in a way that makes sense, it can be beneficial.

Restuccia asked if the monthly reports provided by Roos could be tied in with the audit.

Roos said the reports can be tied together and would be happy to show anyone.

## B. STREET AND ROAD FINANCIAL REPORT - FISCAL YEAR 2015-2016

*Discussion/No Action*

As requested by the City Council, Staff has prepared the Street and Road Financial Report for the Fiscal Year 2015-2016.

City Administrator Werner presented a summary and slides of the City's street and road financial activity for FY 2015-2016, as compared to the previous two years.

Vice Mayor Uecker asked what the timeline was for the Stockton Street parking lot.

Engineering Supervisor Pease said the project is in design mode and will go to bid in November. After the bid is awarded, work should begin in January and the project will be complete 60-90 days from that point.

Council Member Zuber asked if the parking lot was asphalt or pavers.

Pease said pavers.

Zuber thanked staff for the report and said it does a good job of specifying where and how the money is being used.

Werner said this report will be done every year with the audit.

## **REPORTS**

**Department Heads:** Director of Public Works Johnston reported September water usage was down 24.75% compared to September 2013.

Johnston said 105 warnings were distributed and 8 penalties were given. Overall numbers are down compared to last month.

Johnston said he wanted to remind residents that starting in November watering days go to one day a week, odd addresses can water on Sunday and even addresses can water on Saturday only. A postcard will be mailed out reminding residents of the winter watering days.

Johnston said the fall and winter brush pick-up program begins on October 15<sup>th</sup> and ends January 31<sup>st</sup>.

Engineering Supervisor Pease said the contractor for Rubber Cape Seal Project will begin work next week.

**City Council:** Council Member Restuccia said he is organizing a First Responder Appreciation week to recognize all those who put their lives on the line daily for the community.

Restuccia said he will bring a resolution before Council at a future date to recognize this week.

There being no further business, the meeting adjourned at 7:13 p.m.

(Signed) Jacob Parks  
Mayor

ATTEST:

(Signed) Tricia Raymond  
Deputy City Clerk

**CONSENT CALENDAR**

**Income**



# STATE OF CALIFORNIA

DIRECT DEPOSIT NUMBER  
99690911

1A

## DIRECT DEPOSIT ADVICE

The amount printed on the face of this advice was transmitted to an account at bank 121101037 from the RETAIL SALES TAX

10 | 19 | 16

DOLLARS	CENTS
\$**202300	.00

**NOT NEGOTIABLE**

39004

PAYEE IDENTIFICATION  
NUMBER(S)

690911  
CITY TREASURER  
CITY OF RIPON  
259 N WILMA AVE  
RIPON CA  
95366-3028

When changing accounts or financial institutions, notify your retirement system or agency accounting office immediately. Do not close your old account until you have received your first payment in your new account.



**BETTY T. YEE**  
CALIFORNIA STATE CONTROLLER

### STATE OF CALIFORNIA - BOARD OF EQUALIZATION STATEMENT OF BRADLEY-BURNS LOCAL TAX DISTRIBUTION

39004      DATE: 10/10/2016      FOR THE PERIODS SHOWN BELOW  
PAYEE: CITY TREASURER  
CITY OF RIPON

CURRENT ADVANCE	Aug 2016	202,300.00
PRIOR CREDITS		0.00
<b>TOTAL PAYMENT</b>		<b>202,300.00</b>

CONTROLLER OF CALIFORNIA, STATE OF CALIFORNIA

P O BOX 942850, SACRAMENTO, CA 94250-0001

REMITTANCE ADVICE

1A

CLAIM SCHEDULE NUMBER: 1600119A

PAYMENT ISSUE DATE: 11/01/2016

RIPON CITY TREASURER

259 N WILMA AVE

RIPON

CA 95366

Financial Activity

**Additional Description:**  
Highway Users Tax

HIGHWAY USERS TAX - Sections 2103, 2105, 2106 and 2107, Streets & Highways Code Fiscal Year: 2016

Collection Period: 09/24/2016 To 10/23/2016

**Payment Calculations:**

Population for all Cities	32768845
City Population	14724
<b>City Per Capita Amount for Section 2105</b>	<b>0.38779121</b>
<b>City Per Capita Amount for Section 2107</b>	<b>0.53724574</b>
<b>HUT 2107.5</b>	<b>\$0.00</b>
<b>HUT 2103</b>	<b>\$3,178.32</b>
<b>HUT 2105</b>	<b>\$5,709.84</b>
<b>HUT 2106</b>	<b>\$3,488.94</b>
<b>HUT 2107</b>	<b>\$7,910.41</b>
<b>Gross Claim</b>	<b>\$20,287.51</b>
<b>Net Claim / Payment Amount</b>	<b>\$20,287.51</b>
<b>YTD Amount:</b>	<b>\$99,640.79</b>

For assistance, please call: Nathalie Ortiz at (916) 324-1875

24

10/25/2016

342



COUNTY DIVISION  
P.O. BOX 127 (209) 537-8000  
CERES, CALIFORNIA 95307

BANK OF THE WEST  
2501 E. WHITMORE AVE.  
CERES, CA 95307  
90-78/1211

034321  
1B

10/12/2016

PAY TO THE ORDER OF CITY OF RIPON

\$ \*\*1,564.12

One Thousand Five Hundred Sixty-Four and 12/100\*\*\*\*\*DOLLARS

CITY OF RIPON  
FINANCE DEPARTMENT  
259 N. WILMA AVE.  
RIPON, CA 95366

BERTOLOTTI MODESTO DISPOSAL, INC  
VOID AFTER 180 DAYS



MEMO 3rd QUARTER END 9/30/16

⑈034321⑈ ⑆121100782⑆ 388000416⑈

BERTOLOTTI DISPOSAL, INC. - COUNTY DIVISION P.O. BOX 127 CERES, CA 95307

CITY OF RIPON  
FRANCHISE FEES RIPON

10/12/2016

034321

1,564.12

CHECKING 3rd QUARTER END 9/30/16

1,564.12

CCATT HOLDINGS LLC  
2000 CORPORATE DRIVE  
CANONSBURG PA 15317  
724-416-2000

JPMorgan Chase Bank, N.A.  
DALLAS TX  
32-61/1110

239658

NINE HUNDRED NINETY NINE AND 53/100\*\*\*\*\*

DATE 11/01/16

\$\*\*\*\*\*999.53

Pay To The Order Of  
CITY OF RIPON  
259 W WILMA AVE  
RIPON CA 95366

750570

*Real VP AND CONTROLLER*  
*Denise Short, Asst Controller*

VOID AFTER 180 DAYS

⑈ 239658 ⑈ ⑆ 111000614 ⑆ 581929721 ⑈

Check No 239658

Check Date 11/01/16

Stub 1 of 1

Description

Tower Lease #387779	11/01/16	845975	999.53	999.53
			<u>999.53</u>	<u>999.53</u>



Waste Management  
P.O. Box 3027  
Houston, TX 77253

Check No. 0012802323

For inquiries, please call 1-866-834-2080, option 4.

1D

Doc Date	Invoice Number / Description	Original Amount	Discount Amount	Amount Paid
09/01/2016	HaulFee Ripon BU 51 Sep16 *AT/VE* HAULFEE RIPON BU 51 SEP16	2,027.49	0.00	2,027.49
Vendor Number 0000386801	Name City of Ripon			
Check Number	Date	Total Amount	Discounts Taken	Total Paid Amount
0012802323	10/25/2016	\$2,027.49	\$0.00	\$2,027.49

THIS DOCUMENT HAS A COLORED BACKGROUND AND MICROPRINTING IN THE ENDORSEMENT SIGNATURE LINE. THE REVERSE SIDE OF THIS DOCUMENT HAS AN ARTIFICIAL WATERMARK.



Waste Management  
P.O. Box 3027  
Houston, TX 77253

VE

**BANK OF AMERICA**  
COMMERCIAL DISBURSEMENT ACCOUNT  
NORTHBROOK, IL

CHECK NO

0012802323

70-2328 / 719



PAY EXACTLY

**\$2,027.49\*\*\***

VOID AFTER 90 DAYS

DATE: 10/25/2016

PAY EXACTLY

\*\*\*TWO THOUSAND TWENTY-SEVEN AND 49 / 100 DOLLAR\*\*\*

*Dwina Ranken*

AUTHORIZED SIGNATURE

AUTHORIZED SIGNATURE

MP

MP

27

TO THE  
ORDER  
OF

**CITY OF RIPON**  
259 N Wilma Ave  
Ripon, CA 95366

⑈0012802323⑈ ⑆071923284⑆ 87654⑈03178⑈

**CONSENT CALENDAR**  
**Bills, Invoices, Payments**

**PRICE PAIGE & COMPANY**

**Accountancy Corporation**

677 Scott Avenue

Clovis, CA 93612

Phone: (559) 299-9540

Fax: (559) 299-2344

Email: ppc@ppcpas.com

Website: www.ppcpas.com

Lisa Roos  
City of Ripon  
259 N. Wilma Avenue  
Ripon, CA 95366

Invoice: 10862  
Invoice Date: 09/30/16  
Due Date: Due upon receipt  
Client ID: RIPON

***For professional services rendered:***

Completion of audit of the City's financial statements for the year ended June 30, 2016.	4,000.00
Completion of audit of the City's Pension Plan financial statements for the year ended June 30, 2016.	3,275.00

Invoice Total	<u>\$7,275.00</u>
Beginning Balance	\$27,275.00
Invoices	7,275.00
Receipts	(12,200.00)
Amount Due	<u>\$22,350.00</u>

WR 10/11/16

Please make checks payable to Price Paige & Company and record your invoice number on the check. A Finance Charge of 1.33% per month will be assessed on all unpaid invoices over 60 days.

# INVOICE

2B



**SAN JOAQUIN REGIONAL  
TRANSIT DISTRICT**  
P.O. Box 201010  
Stockton, CA 95201  
Phone (209) 948-5566

**Invoice Number:** AR109865  
**Customer Number:** C01524  
**Invoice Date:** 09/30/16  
**Terms:** Due upon receipt  
**Federal ID#:** 94-1563999

**To:** CITY OF RIPON  
ATTN: BARBARA SHNEIDER  
259 N. WILMA AVENUE  
RIPON, CA 95366

Trans Date	Description	Quantity	Unit Cost	Amount
09/30/16	September Revenue Hours SEPTEMBER 2016	36.0	39.10	1,407.60
			TAX	0.00
			<b>Total Due</b>	<b>1,407.60</b>

-----  
**PLEASE RETURN THIS PORTION WITH YOUR PAYMENT**

**Please make checks payable to:**

**SAN JOAQUIN RTD**  
P.O. Box 201010  
Stockton, CA 95201

**Invoice Number:** AR109865  
**Customer Number:** C01524  
**Invoice Date:** 09/30/16  
**Total Amount Due \$** 1,407.60

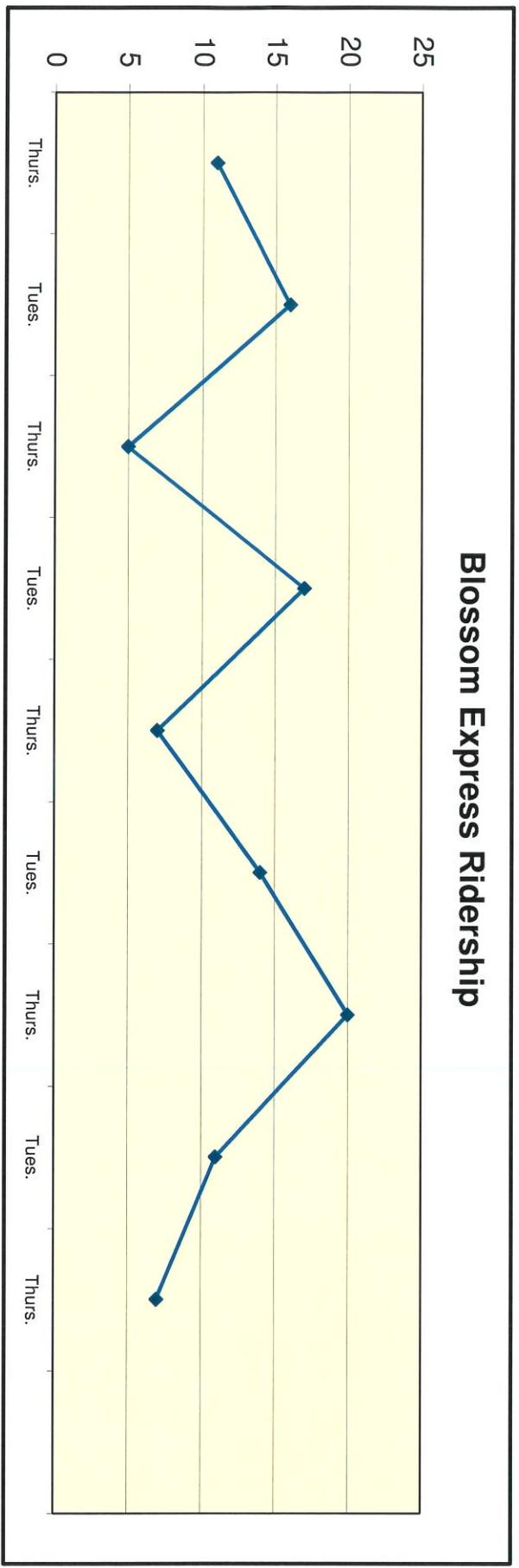
**Total Payment \$** \_\_\_\_\_

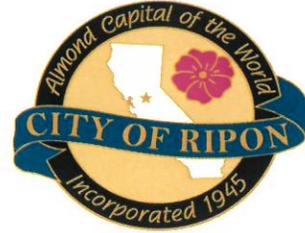
Transit Days	Day of the Week	Date	Total Passengers	Bethany Passengers						Other Passengers						Revenue Miles	Revenue Hours	Revenue Minutes	Deviation Trips	Fare Collected		Late Departure
				Senior/ Medicare	Disabled - NO Wheelchair	Disabled - Wheelchair	Free	General	Senior/ Medicare	Disabled - NO Wheelchair	Disabled - Wheelchair	Student	Free	Cash	Tickets/ Pass							
1	Thurs.	1-Sep	11	0	0	0	0	3	0	0	0	2	0	0	0	0	2	\$ 16.00	\$ -	0		
2	Tues.	6-Sep	16	10	0	0	0	1	3	0	0	1	4	0	0	1	\$ 13.25	\$ -	0			
3	Thurs.	8-Sep	5	0	0	0	0	1	0	0	2	2	4	0	0	2	\$ 8.00	\$ -	0			
4	Tues.	13-Sep	17	6	0	0	0	6	0	0	2	2	4	0	0	4	\$ 21.00	\$ -	1			
5	Thurs.	15-Sep	7	0	0	0	0	1	2	0	2	2	4	0	0	2	\$ 10.00	\$ -	0			
6	Tues.	20-Sep	14	8	0	0	0	1	0	0	2	3	4	0	0	3	\$ 13.00	\$ -	1			
7	Thurs.	22-Sep	20	14	0	0	0	1	3	0	0	0	4	0	0	0	\$ 7.00	\$ -	0			
8	Tues.	27-Sep	11	6	0	0	0	1	0	0	2	2	4	0	0	3	\$ 11.00	\$ -	0			
9	Thurs.	29-Sep	7	2	0	0	0	3	0	0	0	0	4	0	0	0	\$ 8.00	\$ -	0			
<b>Totals</b>			<b>108</b>	<b>46</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>18</b>	<b>8</b>	<b>0</b>	<b>12</b>	<b>23</b>	<b>1</b>	<b>735</b>	<b>36</b>	<b>0</b>	<b>17</b>	<b>\$107.25</b>	<b>\$ -</b>	<b>2</b>		

\*Bethany to be invoiced directly @ \$1.00 for each passenger

0.93% Late  
 99.07% On-Time

### Blossom Express Ridership





PROJECT: CNG Expansion & Bus Shelter

CONTRACTOR: T & S West

INVOICE NO: 1

DATE: 8/31/16

BILLING INFORMATION

ORIGINAL CONTRACT AMOUNT: \$514,000.00

PREVIOUS BILLED TO DATE:

APPROVED CONTRACT CHANGES:

BILLING THIS INVOICE: \$115,512.00

5% RETENTION: \$5,775.60

REVISED CONTRACT AMOUNT: \$514,000.00

NET BILLING THIS INVOICE: **\$109,736.40**

PROGRESS OF PROJECT

Project is 22% complete



PROJECT: CNG Expansion & Bus Shelter

CONTRACTOR: T & S West

INVOICE NO: 2

BILLING NO: 9/30/2016

DATE:

BILLING INFORMATION

ORIGINAL CONTRACT AMOUNT: \$514,000.00

PREVIOUS BILLED TO DATE: 115,512.00

APPROVED CONTRACT CHANGES:

BILLING THIS INVOICE: \$53,768.00

5% RETENTION: \$2,688.40

REVISED CONTRACT AMOUNT: \$514,000.00

NET BILLING THIS INVOICE: **\$51,079.60**

PROGRESS OF PROJECT

Project is 33% complete

Q



# INVOICE

902 Industrial Way  
 Lodi, CA 95240-3106  
 209-367-3701

Project Mgr: Troy Schiess

**Project:** CNG Expansion and Bus Shelter Project  
 150 Doak Blvd  
 Ripon, CA 95366

**To:** City of Ripon CA  
 Attn: James Pease  
 259 North Wilma Ave  
 Ripon, CA 95366

REMIT TO:
<b>Invoice Number: T833729</b>  <b>Terracon Consultants, Inc.</b> <b>PO Box 959673</b> <b>St Louis, MO 63195-9673</b>  <b>Federal E.I.N.: 42-1249917</b>

Project Number:	NA161204	
Contract Amount:	\$1,500.00	} 5000- 3986-
Billed to Date:	\$1,586.00	
Invoice Date:	10/20/2016	1014-
Services Through:	10/15/2016	

Date	Report	Description of Services	Quantity	Rate	Total
10/6/16	NA161204.0003	Concrete Inspection ICC	4.00	\$92.00	\$368.00
10/6/16	NA161204.0003	PM/PE Review/Admin Support	1.00	\$90.00	\$90.00
10/6/16	NA161204.0003	Trip Charge (ea)	1.00	\$45.00	\$45.00
10/6/16	NA161204.0003	Compression Test Concrete Cylinders	5.00	\$28.00	\$140.00
10/7/16	NA161204.0004	Cylinder Pickup (hr)	2.00	\$86.00	\$172.00
10/7/16	NA161204.0004	Trip Charge (ea)	1.00	\$45.00	\$45.00

**Invoice Total                    \$860.00**

**TERMS: DUE UPON PRESENTATION OF INVOICE**

 10-24-16  
 Client #396776



# WGR

*Not Your Typical Consultant*

## INVOICE

2E

RECEIVED  
9-26-16

DATE	INVOICE NO.
9/18/2016	18216

INVOICE TO
City of Ripon 259 N. Wilma Ave. Ripon, CA 95366 Attn: James Pease

SITE ADDRESS
259 N. Wilma Ave. Ripon, CA

*Phase II NPDES Permit Compliance*

TERMS	PROJECT	P.O. NO.
Net 30	783.PRI	

SERVICED	DESCRIPTION	QTY	RATE	AMOUNT
8/9/2016	ST - City of Ripon/ Developed a Year 4 permit requirement task tracker.	1	105.00	105.00
8/10/2016	ST - City of Ripon/ Prepare materials for Annual Report meeting, met with staff to discuss Year 3 documentation and program gaps.	6	105.00	630.00
8/16/2016	ST - City of Ripon/ Extracted PUR from County database and sent to the City.	0.5	105.00	52.50
8/24/2016	ST - City of Ripon/ Prepared documents/files for onsite program support.	1	105.00	105.00
8/25/2016	ST - City of Ripon/ Onsite Storm Water Compliance Support.	8	68.00	544.00
8/29/2016	ST - City of Ripon/ EA follow up.	0.5	105.00	52.50
8/29/2016	ST - City of Ripon/ Annual Report and Effectiveness Assessment preparations.	1.5	105.00	157.50
8/30/2016	ST - City of Ripon/ Annual Report and Effectiveness Assessment preparations.	2	105.00	210.00
9/1/2016	Mileage ST - 8/10	62	0.56	34.72
<b>Total</b>				\$1,891.22

E.16  
AL

*JP*  
10-25-16

35



# WGR

*Not Your Typical Consultant*

RECEIVED  
10-25-16

## INVOICE

DATE	INVOICE NO.
10/20/2016	18353

INVOICE TO
City of Ripon 259 N. Wilma Ave. Ripon, CA 95366 Attn: James Pease
<i>Phase II NPDES Permit Compliance</i>

SITE ADDRESS
259 N. Wilma Ave. Ripon, CA

TERMS	PROJECT	P.O. NO.
Net 30	783.PRI	

SERVICED	DESCRIPTION	QTY	RATE	AMOUNT
C.1	9/1/2016 BW - Conducted survey for City of Ripon for Storm Water Education and Outreach	9	68.00	612.00
E.14	9/1/2016 ST - City of Ripon/ Prepared staff for survey collection, continued to review, assess and summarize Annual Report and Effectiveness Assessment data.	8	105.00	840.00
E.16	9/6/2016 ST - City of Ripon/ EA & Annual Report preparations.	3	105.00	315.00
B.2	9/6/2016 JT - City of Ripon - Reviewed Crum Dental drawings and suggested changes.	0.5	145.00	72.50
B.2	9/7/2016 JT - City of Ripon - Reviewed Crum Dental drawings and suggested changes.	0.5	145.00	72.50
B.2	9/8/2016 BW - City of Ripon - Analyzed survey data results and wrote up analysis.	7	68.00	476.00
B.2	9/8/2016 ST - City of Ripon/ Prepared and provided direction to Bryan on commercial / industrial drainage identification and potential IDDE identification.	1	105.00	105.00
B.2	9/12/2016 ST - City of Ripon/ Met with Bryan to discuss tasks to accomplish and review project status.	1	105.00	105.00
E.16	9/12/2016 ST - City of Ripon/ EA & Annual Report preparations.	1	105.00	105.00
E.16	9/13/2016 ST - City of Ripon/ EA & Annual Report preparations.	1	105.00	105.00
E.16	9/15/2016 ST - City of Ripon/ Finalized draft of EA summary for question #94 of the Annual Report.	7	105.00	735.00
B.2	9/15/2016 BW - City of Ripon: Performed Outfall Recon Inspections and delivered field samples to JL Analytical	8	68.00	544.00
B.2	9/19/2016 ST - City of Ripon/ Met with Bryan to discuss tasks to accomplish and review project status.	0.5	105.00	52.50
B.2	9/22/2016 BW - City of Ripon: Compiled feedback for city storm water page on website. Compiled list for industrial outfall locations.	8	68.00	544.00
B.2	9/26/2016 ST - City of Ripon/ Met with Bryan to discuss tasks to accomplish and review project status.	0.5	105.00	52.50
			<b>Total</b>	\$4,736.00

*JL* 10-26-16

**Invoice**



Remit to: 3301 C Street, Bldg. 100-B, Sacramento, CA 95816 Tel: 916.341.7760 Fax: 916.341.7767

City of Ripon  
 Attn: James Pease  
 259 N. Wilma Ave  
 Ripon, CA 95366

Invoice 991  
 Date 7/7/2016  
 Client ID: 8.2713

Contract: 8558.002  
 Ripon Well Site Assessmnt/Dsgn *Well 19*

For Services Provided Through June 30, 2016

**01.01.42 Data Rvw/Tst Hole/MWs T&M**

**Labor**

<u>Staff Type</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
Geologist I	1.00	130.00	130.00
Labor subtotal	<u>1.00</u>		<u>130.00</u>

**03.01.42 Well Design Reports T&M**

**Labor**

<u>Staff Type</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
Geologist III	3.25	150.00	487.50
Labor subtotal	<u>3.25</u>		<u>487.50</u>

<b>Invoice Total</b>	<b>617.50</b>
----------------------	---------------

**Contract Summary**

Contract Amount	144,500.00
Invoiced to date	144,472.01
Fee remaining	27.99 <i>X</i>

*J* 10-24-16



RECEIVED  
10-3-16

Attention: James Pease  
City of Ripon  
259 N. Wilma Avenue  
Ripon, CA 95366  
United States

Invoice : 102763  
Invoice Date : 09/22/2016  
Due Date : 10/22/2016  
Project : 8558003  
Project Name : Ripon Wells 5 & 12 Assessments

For Professional Services Rendered Through 08/31/2016

	Contract Amount	Percent Complete	Billed To Date	Previously Billed	Current Billed
010142 - Well Assessmnt & Recommend T&M	10,000.00	101.30 %	10,130.00	10,130.00	0.00
020142 - Work Plans & Bidding Svcs T&M	10,000.00	379.70 %	37,970.00	37,970.00	0.00
030142 - Construction Support Svcs T&M	25,000.00	0.00 %	0.00	0.00	0.00
040142 - Summary Report T&M	8,000.00	17.28 %	1,382.50	732.50	650.00
900190 - Reimbursables T&M	2,000.00	6.80 %	136.08	136.08	0.00
	55,000.00	90.22 %	49,618.58	48,968.58	650.00

Current Billings 650.00  
Amount Due This Bill 650.00

JP 10-2-16





**Invoice**

**WOOD RODGERS**  
ENGINEERING • PLANNING • MAPPING • SURVEYING

Remit to: 3301 C Street, Bldg. 100-B, Sacramento, CA 95816 Tel: 916.341.7760 Fax: 916.341.7767

City of Ripon  
Attn: James Pease  
259 N. Wilma Ave  
Ripon, CA 95366

Invoice 992  
Date 7/7/2016  
Client ID: 8.2713

Contract: 8558.003  
Ripon Wells 5 & 12 Assessments

For Services Provided Through June 30, 2016

**01.01.42 Well Assessmnt & Recommend T&M**

**Labor**

<u>Staff Type</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
Geologist I	1.00	130.00	130.00
Labor subtotal	1.00		130.00

**02.01.42 Work Plans & Bidding Svcs T&M**

**Labor**

<u>Staff Type</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
Geologist III	13.50	150.00	2,025.00
Geologist I	1.50	130.00	195.00
Labor subtotal	15.00		2,220.00

**04.01.42 Summary Report T&M**

**Labor**

<u>Staff Type</u>	<u>Hours</u>	<u>Rate</u>	<u>Amount</u>
Principal Geologist II	0.50	230.00	115.00
Geologist III	3.25	150.00	487.50
Labor subtotal	3.75		602.50

<b>Invoice Total</b>	<b>2,952.50</b>
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**Contract Summary**

Contract Amount	55,000.00
Invoiced to date	47,763.58
Fee remaining	7,236.42 ✓

Terms: Net 30

*J.P.* 10-26-16  
Page 1 of 1

**BASECAMP ENVIRONMENTAL, INC.**

115 South School Street, Suite 14  
Lodi, CA 95240  
209-224-8213  
csimpson@basecampenv.com

September 30, 2016

City of Ripon  
259 N Wilma Avenue  
Ripon, CA 95366  
Attn: James Pease, Senior Engineer

Job No.: 2900

**Job Description: Environmental Services  
Active Transportation Project II**

*River Rd/Fulton Ave Intersection Imp.*

**INVOICE**

No. 01089

**LABOR**

Principal, Charlie Simpson, 3.75 hours @ \$190.00 \$712.50

**TOTAL LABOR** **\$712.50**

**EXPENSES** **None**

---

**TOTAL DUE THIS INVOICE** **\$712.50**

**SUMMARY OF ACTIVITY TO DATE**

Total Authorized	\$22,000.00
Invoiced Previously	\$0.00
Invoiced Now	\$712.50
Remaining Authorization	\$21,287.50

*J* 10-26-16



139 S. Stockton Avenue  
 Ripon, California 95366  
 209.599.8377

[tami@jbandersonplanning.com](mailto:tami@jbandersonplanning.com)

TO Mr. Ken Zuidervaart  
 Director of Planning & Economic Development  
 City of Ripon  
 259 N. Wilma Avenue  
 Ripon, CA 95366  
[jbeukelman@cityofripon.org](mailto:jbeukelman@cityofripon.org)

# INVOICE

INVOICE NO. 080116SB5  
 DATE August 11, 2016

AMOUNT DUE: \$1,100.00

SB5
-----

Contract Amount: \$ 10,596.00

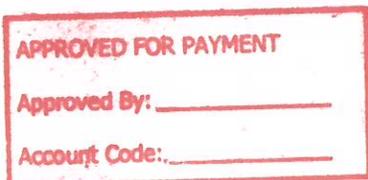
PROJECT	BILLING DATE	TASK	DESCRIPTION	AMOUNT
General Plan Amendment-Project Initiation		Task 1	Task 1 Contract Total	\$ 410.00
	Mar-16		Task 1	\$ 410.00
			Task 1	
			Task 1	
			Task 1	
				<i>Remaining Balance-Task 1</i>
Administrative Draft General Plan Amendment		Task 2	Task 2 Contract Total	\$ 1,664.00
	Mar-16		Task 2	\$ 465.00
	May-16		Task 2	\$ 149.50
	Jun-16		Task 2	\$ 679.50
	Jul-16		Task 2	\$ 370.00
				<i>Remaining Balance-Task 2</i>
Public Review Draft General Plan Amendment		Task 3	Task 3 Contract Total	\$ 1,312.00
	Apr-16		Task 3	\$ 377.00
	Jul-16		Task 3	\$ 935.00
			Task 3	
				<i>Remaining Balance-Task 3</i>
Public Hearings for GPA (PC and CC)		Task 4	Task 4 Contract Total	\$ 1,542.00
	Jul-16		Task 4	\$ 1,542.00
			Task 4	
			Task 4	
			Task 4	
				<i>Remaining Balance-Task 4</i>

PROJECT	BILLING DATE	TASK	DESCRIPTION	AMOUNT
Zoning Text Amendment-Project Initiation		Task 5	Task 5 Contract Total	\$ 410.00
	Jul-16		Task 5	\$ 410.00
			Task 5	
			Remaining Balance-Task 5	\$ -
Admin Draft Zoning Text Amendment		Task 6	Task 6 Contract Total	\$ 1,794.00
	Jul-16		Task 6	\$ 1,794.00
			Task 6	
			Task 6	
			Remaining Balance-Task 6	\$ -
Public Review Draft Zoning Text Amendment		Task 7	Task 7 Contract Total	\$ 2,002.00
	Jul-16		Task 7	\$ 2,002.00
			Task 7	
			Task 7	
			Remaining Balance-Task 7	\$ -
Public Hearings for Zoning Text Amendment (PC and CC)		Task 8	Task 8 Contract Total	\$ 1,262.00
	Jul-16		Task 8	\$ 362.00
	Aug-16		<b>Task 8</b>	\$ <b>900.00</b>
			Remaining Balance-Task 8	\$ -
Administrative Expenses		Administrative Expenses	Administrative Expenses Contract Total	\$ 200.00
	Aug-16		<b>Administrative Expenses</b>	\$ <b>200.00</b>
			Administrative Expenses	
			Administrative Expenses	
			Remaining Balance-Administrative Expenses	\$ -
<b>Total Amount Due</b>				<b>\$ 1,100.00</b>
<b>Contract Balance After this Invoice</b>				<b>\$ -</b>

Note Terms of Payment: Client shall pay Consultant in full for all charges and expenses set forth in each invoice within ten (10) days of the date of the invoice. If Client fails to pay all amounts owed within ten (10) days of the date of the invoice, Client shall pay interest on the unpaid balance at the rate of twenty percent (20%) per annum. Rates are subject to change upon thirty (30) days notice.

Please remit payment to:  
139 South Stockton Avenue, Ripon, CA 95366

# PROJECT COMPLETE



A/P VENDOR # 35334  
 acct 900-6220-90000 \$ 1100.00  
 acct \_\_\_\_\_ \$ \_\_\_\_\_  
 acct \_\_\_\_\_ \$ \_\_\_\_\_  
 acct \_\_\_\_\_ \$ \_\_\_\_\_  
 acct \_\_\_\_\_ \$ \_\_\_\_\_  
 acct \_\_\_\_\_ \$ \_\_\_\_\_



TERPSTRA HENDERSON, A  
Professional Corporation  
578 N. Wilma Avenue, Suite A (209) 599-5003  
Ripon, CA 95366  
Federal ID# 20-8735125

# INVOICE

14894

Invoice submitted to:  
Kevin Werner  
City of Ripon  
259 North Wilma Avenue  
Ripon, CA 95366

October 25, 2016

File #: 1084-003; Matter: General

## Professional Services

		<u>Hrs/Rate</u>	<u>Amount</u>
9/26/2016	RAR Telephone calls with L. Forks and to K. Zuidervaart re code enforcement action.	0.20 195.00/hr	39.00
	THT Review and draft comments on Nestle agreement, email to client re camping ordinance.	2.25 275.00/hr	618.75
9/27/2016	RAR Draft alcohol permitting ordinances; Revise existing alcohol ordinances; Legal research re same.	1.50 195.00/hr	292.50
	THT Meeting with client and work on Project Improvement Agreement on former Jimco site, advise re proposed church project referral from SJ County.	2.25 275.00/hr	618.75
9/28/2016	RAR Draft and revise alcohol ordinances; Research re same.	1.70 175.00/hr	297.50
	THT Prepare for meeting, meet with K. Werner re SGMA issues, calls to and from Lupul's office, discuss and assign camping ordinance, emails on same.	3.25 275.00/hr	893.75
	MNB Legal Research regarding procedural requirements for Ripon to elect to become a GSA under SGMA, review of applicable regulations; meeting with Kevin Werner	2.40 195.00/hr	468.00
9/29/2016	RAR Draft outdoor dining and special events ordinances; Research re same.	6.50 175.00/hr	1,137.50
	THT Draft Project Improvement Agreement, discuss with Ken, emails re camping ordinance, review and advise on letter to County re Church of Christ project.	2.75 275.00/hr	756.25

Kevin Werner

Page 2

			<u>Hrs/Rate</u>	<u>Amount</u>
10/2/2016	RAR	Draft outdoor dining and special events ordinances; Review municipal code re same.	1.50 175.00/hr	262.50
10/3/2016	RAR	Telephone calls with L. Forks and Councilmember re code enforcement issues.	0.30 195.00/hr	58.50
	RAR	Draft outdoor dining and special events ordinances; Review municipal code re same; Emails and telephone calls with T. Raymond re same.	2.30 175.00/hr	402.50
10/4/2016	RAR	Draft outdoor dining and special events ordinances; Review municipal code re same; Emails to and from K. Werner and E. Ormonde re same; Telephone call with K. Werner re same.	4.00 175.00/hr	700.00
	RAR	Emails between City staff and resident re code enforcement issues; Review appeal re same; Confer with T. Terpstra and L. Forks re same.	0.60 195.00/hr	117.00
	THT	Conference with client and advise on code enforcement matter, review and advise on Nestle agreement revisions, meet re camping ordinance and legal issues re homeless persons	1.75 275.00/hr	481.25
10/5/2016	RAR	Telephone call with L. Roos re collection actions.	0.10 195.00/hr	19.50
	RAR	Telephone call with K. Zuidervaart re code enforcement issues; Review letter from resident re same.	0.40 195.00/hr	78.00
	MNB	Draft PAL lease, discuss with T.Terpstra, call/email to K. Werner	0.90 195.00/hr	175.50
	MNB	Phone call with Lisa Roos regarding Interagency Intercept Program	0.10 195.00/hr	19.50
10/6/2016	THT	Calls to and from Lupul, Kollars, Siprelle, client, Belair re SGMA meeting and MOA revisions, strategy discussions re same; discuss PAL lease with Belair	2.75 275.00/hr	756.25
	SLH	Review correspondence regarding business license revocation. Correspondence with Client regarding the same.	0.20 240.00/hr	48.00
10/7/2016	RAR	Review and revise code enforcement letter; Review municipal code and case documents re same; Telephone call and email to K. Zuidervaart re same.	0.60 195.00/hr	117.00
10/9/2016	MNB	Draft updates to PAL lease amendment based on information provided by City.	0.20 195.00/hr	39.00
10/10/2016	SLH	Conferences and correspondence with Client regarding revocation of use permit.	0.60 240.00/hr	144.00
10/11/2016	MNB	Legal Research and review of proposed camping ordinance, existing camping ordinance, and Caltrans camping policies.	1.30 195.00/hr	253.50

Kevin Werner

Page 3

			<u>Hrs/Rate</u>	<u>Amount</u>
10/11/2016	MNB	Call with D. Kollars, who represents Escalon, regarding SGMA in anticipation of meeting on Thursday between Ripon, Manteca, and Escalon.	0.10 195.00/hr	19.50
	SLH	Conference and correspondence regarding Planning Commission hearing to consider revocation of use permit.	0.20 240.00/hr	48.00
	RAR	Review and revise outdoor dining, special events and alcohol ordinances; Confer with T. Terpstra re same; Research re same.	0.50 195.00/hr	97.50
	THT	Attend agenda review meeting, prepare for council agenda items, discuss alcohol ordinance, emails re same; attend City Council meeting	4.75 275.00/hr	1,306.25
10/12/2016	MNB	Draft revisions to proposed camping ordinance; call with K. Stevens regarding City designated cooking facilities; discussion with T. Terpstra	1.70 195.00/hr	331.50
	THT	Discussion with Belair re tomorrow's meeting on SSJID MOA, review redline changes to MOA, emails, calls re golf course security instrument	2.50 275.00/hr	687.50
	MNB	Preparation for meeting with attorneys for City of Escalon and Ripon; call with Deb Kollars, attorney for Escalon, review of D. Kollars redlines to the SSJID MOA, made comments on proposed revisions, created agenda for 10/13 meeting; discussed matter with T. Terpstra; legal research regarding public notice requirement for deciding to become GSA	2.60 195.00/hr	507.00
10/13/2016	THT	Calls, emails regarding golf course lease and proposed security arrangement	0.50 275.00/hr	137.50
10/14/2016	THT	Calls to and from TCF Financial re golf course lease security, update to client	0.50 275.00/hr	137.50
10/17/2016	THT	Review COG Agreement, redline same with proposed changes, emails to and from client	1.00 275.00/hr	275.00
10/18/2016	RAR	Review email and status of pending deadlines and hearings in action from co-counsel on qui tam action; Research re same.	0.40 195.00/hr	78.00
	RAR	Telephone call with K. Zuidervaart re code enforcement issues; Confer with T. Terpstra re same.	0.40 195.00/hr	78.00
10/19/2016	MNB	Legal Research regarding proposed camping ordinance and solicitation ordinance, review of City Housing Element provisions related to homelessness, meeting with City staff to discuss camping ordinance	2.10 195.00/hr	409.50
10/20/2016	THT	Emails and calls re golf course security, follow up on Wave Holdings matter and review docs; discuss affordable housing issue with Ken, discuss project with Wilbur	2.25 275.00/hr	618.75
10/23/2016	MNB	Research legality of proposed panhandling ordinance.	0.90 195.00/hr	175.50

Kevin Werner

Page 4

	<u>Hrs/Rate</u>	<u>Amount</u>
10/24/2016 MNB Draft memo and continue legal research regarding City's proposed camping and panhandling ordinances.	3.60 195.00/hr	702.00
10/25/2016 THT Conference with M Belair, review docs and emails from Wave re PUC franchise and related issues, follow up on BMR refinance issue, email re same	1.50 275.00/hr	412.50
MNB Draft memo and research City's proposed public urination/defecation and public bathing ordinances.	4.60 195.00/hr	897.00
MNB Legal Research regarding public utility right of way issue.	0.40 195.00/hr	78.00
For professional services rendered	<u>70.90</u>	<u>\$15,790.50</u>
Previous balance		\$13,433.75
Accounts receivable transactions		
10/14/2016 Payment - Thank You No. 54594		<u>(\$13,433.75)</u>
Total payments and adjustments		<u>(\$13,433.75)</u>
Balance due		<u><u>\$15,790.50</u></u>

TERPSTRA HENDERSON, A  
Professional Corporation  
578 N. Wilma Avenue, Suite A (209) 599-5003  
Ripon, CA 95366  
Federal ID# 20-8735125

# INVOICE

14896

Invoice submitted to:  
Kevin Werner  
City of Ripon  
259 North Wilma Avenue  
Ripon, CA 95366  
USA

October 25, 2016

File #: 1084-004; Matter: Police  
Department Matters

## Professional Services

			<u>Hrs/Rate</u>	<u>Amount</u>
9/27/2016	SLH	Review Client correspondence regarding revocation of use permit. Legal research and conferences with Client regarding the same. Draft letter to business owner.	1.30 240.00/hr	312.00
	RAR	Prepare for and attend hearing on open container in a public park; Telephone call to E. Ormonde re same.	2.50 195.00/hr	487.50
9/28/2016	RAR	Legal research re arrest/detention correction procedures.	1.00 175.00/hr	175.00
9/30/2016	RAR	Prepare for and attend hearings on illegal fireworks.	2.00 195.00/hr	390.00
10/5/2016	RAR	Prepare for and attend morning hearing on open container violation.	1.50 195.00/hr	292.50
	RAR	Prepare for and attend afternoon hearings on open container violations.	2.00 195.00/hr	390.00
10/7/2016	RAR	Telephone calls to and from the court and L. Forks re fireworks violation, correction of citation.	0.30 195.00/hr	58.50
10/10/2016	SLH	Review current Department Policies governing responses to mental health incidents. Draft revisions to new Department Directive. Correspondence with Client regarding the same.	0.50 240.00/hr	120.00
10/12/2016	THT	Conference with Belair re camping ordinance and constitutional restrictions on same, approach to new ordinance	0.75 275.00/hr	206.25
10/13/2016	THT	Review Grand Jury request, client's response, advise re same	0.75 275.00/hr	206.25

Kevin Werner

Page 2

	<u>Hrs/Rate</u>	<u>Amount</u>
10/19/2016 RAR Prepare for and attend hearing on fireworks violations.	2.00 195.00/hr	390.00
10/20/2016 RAR Review citation and police report re discharge of illegal fireworks.	0.40 195.00/hr	78.00
For professional services rendered	<u>15.00</u>	<u>\$3,106.00</u>
Additional Charges :		
9/27/2016 FD To/Fr Manteca Court for Hearing.		8.05
9/30/2016 FD To/Fr Manteca Court for Hearing.		8.05
10/5/2016 FD To/Fr Manteca Court for Hearing.		8.05
10/19/2016 FD To/Fr Manteca Court for Hearing.		8.05
Total additional charges		<u>\$32.20</u>
Total amount of this bill		<u>\$3,138.20</u>
Previous balance		\$3,375.00
Accounts receivable transactions		
10/14/2016 Payment - Thank You No. 54594		<u>(\$3,375.00)</u>
Total payments and adjustments		<u>(\$3,375.00)</u>
Balance due		<u><u>\$3,138.20</u></u>

TERPSTRA HENDERSON, A  
Professional Corporation  
578 N. Wilma Avenue, Suite A (209) 599-5003  
Ripon, CA 95366  
Federal ID# 20-8735125

# INVOICE

14895

Invoice submitted to:  
Kevin Werner  
City of Ripon  
259 North Wilma Avenue  
Ripon, CA 95366

October 25, 2016

File #: 1084-205; Matter: North  
Pointe Specific Plan

## Professional Services

	<u>Hrs/Rate</u>	<u>Amount</u>
10/18/2016 THT Call from client re issues from DA Committee meeting	0.25 275.00/hr	68.75
For professional services rendered	<u>0.25</u>	<u>\$68.75</u>
Previous balance		\$275.00
Accounts receivable transactions		
4/18/2016 Payment - Thank You No. 53199		(\$275.00)
Total payments and adjustments		(\$275.00)
Balance due		<u><u>\$68.75</u></u>

TERPSTRA HENDERSON, A  
Professional Corporation  
578 N. Wilma Avenue, Suite A (209) 599-5003  
Ripon, CA 95366  
Federal ID# 20-8735125

# INVOICE

14897

Invoice submitted to:  
Kevin Werner  
City of Ripon  
259 North Wilma Avenue  
Ripon, CA 95366

October 25, 2016

File #: 1084-213; Matter: S.G.M.A.

## Professional Services

		<u>Hrs/Rate</u>	<u>Amount</u>
10/13/2016	THT Review SGMA regulations, JPA agreement, redlined MOA and related docs for meeting of attorneys, travel, meet with Don Lupul, Deb Kollars re same; follow up memo to client re issues with JPA	3.75 275.00/hr	1,031.25
	MNB Review proposed countywide JPA, travel to and from and meeting with D. Kollars, T. Terpstra, and D. Lupul regarding Escalon, Manteca, and Ripon's positions on proposed SSJID MOA, drafting of meeting notes, drafting of memo regarding meeting and recommended actions moving forward, legal research regarding GSA responsibilities	4.40 195.00/hr	858.00
10/14/2016	THT Conference with client re SGMA meetings, GSA strategy and related matters, confer with Belair re same	1.00 275.00/hr	275.00
10/18/2016	MNB Draft memo and complete legal research regarding SGMA issue; discuss issue with T. Terpstra; call with K. Werner	4.80 195.00/hr	936.00
	THT Conference with Belair, discuss approach to GSA issue, work on memo for staff report	0.75 275.00/hr	206.25
	For professional services rendered	<u>14.70</u>	<u>\$3,306.50</u>
	Balance due		<u><u>\$3,306.50</u></u>



Municipal Financial Services  
 2960 Valley Basin Avenue, Henderson, NV 89052  
 Phone 510.439.6264

To: City of Ripon  
 259 N. Wilma Avenue  
 Ripon, CA 95366

MFS Project No.: 201308  
 Invoice No.: 201308-12  
 Date: October 31, 2016

Attention: Ms. Carla Rodriguez

**Subject:** Water, Sewer and Garbage Rates Studies  
**Billing Period:** January 4, 2016 through October 30, 2016  
**Billing Number:** 12  
**Reference:** Agreement dated August 20, 2013  
**MFS Contact:** Tom Pavletic, 510.439.6264

<b>WATER</b>	<u>Hours</u>	<u>Rate</u>	<u>Billing Amount</u>
Task 1—Develop and Excel-based rate model	7.0	\$150.00	\$1,050.00
Task 2—Prepare draft and final reports	0.0	\$150.00	\$0.00
Task 3—Prepare presentations / Attend meetings	0.0	\$150.00	\$0.00
<b>Total Labor</b>	<b>7.0</b>		<b>\$1,050.00</b>
<b>SEWER</b>	<u>Hours</u>	<u>Rate</u>	<u>Billing Amount</u>
Task 1—Develop and Excel-based rate model	10.0	\$150.00	\$1,500.00
Task 2—Prepare draft and final reports	0.0	\$150.00	\$0.00
Task 3—Prepare presentations / Attend meetings	0.0	\$150.00	\$0.00
<b>Total Labor</b>	<b>10.0</b>		<b>\$1,500.00</b>
<b>GARBAGE</b>	<u>Hours</u>	<u>Rate</u>	<u>Billing Amount</u>
Task 1—Develop and Excel-based rate model	10.0	\$150.00	\$1,500.00
Task 2—Prepare draft and final reports	0.0	\$150.00	\$0.00
Task 3—Prepare presentations / Attend meetings	0.0	\$150.00	\$0.00
<b>Total Labor</b>	<b>10.0</b>		<b>\$1,500.00</b>
<b>AMOUNT DUE THIS INVOICE</b>	<b>27.0</b>		<b>\$4,050.00</b>

**WORK PROGRESS**

4-Jan-16 through 3-Oct-16 no activity  
 10-Oct-16 update models for benchmark year of FY16;  
 send request for wtr/swr/gbg customer data and fy16 revenue from charges to EQ on Oct 12  
 17-Oct-16 update gbg model with customer and financial data; conf call-gtm w/EQ on Oct 20 to review gbg and swr data  
 24-Oct-16 update swr model with customer and financial data; update wtr model with customer and financial data;  
 conf call/gtm w/QC on Oct 27 to review sewer fund residential duplex units value and discuss development of  
 excessive water use fines for residential users; conf call/gtm w/LR on Oct 27 to review sewer fund financial data

**M**unicipal  
**F**inancial  
**S**ervices

Municipal Financial Services  
2960 Valley Basin Avenue, Henderson, NV 89052  
Phone 510.439.6264

#### Water Rates

Week Begin	Task			Total		Cumulative		Inv
	001	002	003	Hours	%	Hours	%	
Monday								
30-Dec-13	23.0	0.0	0.0	23.0	16.9%	23.0	16.9%	No. 1
27-Jan-14	17.0	9.0	0.0	26.0	19.1%	49.0	36.0%	No. 2
23-Jun-14	1.0	1.0	0.0	2.0	1.5%	51.0	37.5%	No. 3
30-Jun-14				0.0	0.0%	51.0	37.5%	
through	no activity			0.0	0.0%	51.0	37.5%	
9-Mar-15				0.0	0.0%	51.0	37.5%	
30-Mar-15	5.0	0.0	0.0	5.0	3.7%	56.0	41.2%	No. 4
27-Apr-15	13.0	0.0	0.0	13.0	9.6%	69.0	50.7%	No. 5
25-May-15	2.0	0.0	0.0	2.0	1.5%	71.0	52.2%	No. 6
20-Jul-15	3.0	0.0	1.0	4.0	2.9%	75.0	55.1%	No. 7
24-Aug-15	6.0	10.0	5.0	21.0	15.4%	96.0	70.6%	No. 8
21-Sep-15	3.0	2.0	3.0	8.0	5.9%	104.0	76.5%	No. 9
19-Oct-15		1.0	2.0	3.0	2.2%	107.0	78.7%	No. 10
28-Dec-15	1.0		7.0	8.0	5.9%	115.0	84.6%	No. 11
4-Jan-16				0.0	0.0%	115.0	84.6%	
through	no activity			0.0	0.0%	115.0	84.6%	
3-Oct-16				0.0	0.0%	115.0	84.6%	
10-Oct-16	2.0			2.0	1.5%	117.0	86.0%	
17-Oct-16				0.0	0.0%	117.0	86.0%	
24-Oct-16	5.0			5.0	3.7%	122.0	89.7%	No. 12
<b>Total</b>	<b>81.0</b>	<b>23.0</b>	<b>18.0</b>	<b>122.0</b>	<b>89.7%</b>			
<b>Budget</b>	<b>88.0</b>	<b>32.0</b>	<b>16.0</b>	<b>136.0</b>				
<b>% Spent</b>	<b>92%</b>	<b>72%</b>	<b>113%</b>	<b>89.7%</b>				

#### Legend

Task 1—Develop and Excel-based rate model

Task 2—Prepare draft and final reports

Task 3—Prepare presentations / Attend meetings

**M**unicipal  
**F**inancial  
**S**ervices

Municipal Financial Services  
2960 Valley Basin Avenue, Henderson, NV 89052  
Phone 510.439.6264

## Sewer Rates

Week Begin	Task			Total		Cumulative		Inv
	001	002	003	Hours	%	Hours	%	
Monday								
30-Dec-13	25.0	2.0	0.0	27.0	23.3%	27.0	23.3%	No. 1
27-Jan-14	12.0	6.0	0.0	18.0	15.5%	45.0	38.8%	No. 2
23-Jun-14	0.0	1.0	0.0	1.0	0.9%	46.0	39.7%	No. 3
30-Jun-14				0.0	0.0%	46.0	39.7%	
through	no activity			0.0	0.0%	46.0	39.7%	
9-Mar-15				0.0	0.0%	46.0	39.7%	
30-Mar-15	10.0	0.0	0.0	10.0	8.6%	56.0	48.3%	No. 4
27-Apr-15	3.0	0.0	0.0	3.0	2.6%	59.0	50.9%	No. 5
25-May-15	0.0	0.0	0.0	0.0	0.0%	59.0	50.9%	No. 6
20-Jul-15	3.0		1.0	4.0	3.4%	63.0	54.3%	No. 7
24-Aug-15	3.0	8.0	5.0	16.0	13.8%	79.0	68.1%	No. 8
21-Sep-15		1.0	2.0	3.0	2.6%	82.0	70.7%	No. 9
19-Oct-15		1.0	1.0	2.0	1.7%	84.0	72.4%	No. 10
28-Dec-15			2.0	2.0	1.7%	86.0	74.1%	No. 11
4-Jan-16				0.0	0.0%	86.0	74.1%	
through	no activity			0.0	0.0%	86.0	74.1%	
3-Oct-16				0.0	0.0%	86.0	74.1%	
10-Oct-16	2.0			2.0	1.7%	88.0	75.9%	
17-Oct-16	2.0			2.0	1.7%	90.0	77.6%	
24-Oct-16	6.0			6.0	5.2%	96.0	82.8%	No. 12
<b>Total</b>	<b>66.0</b>	<b>19.0</b>	<b>11.0</b>	<b>96.0</b>	<b>82.8%</b>			
<b>Budget</b>	<b>68.0</b>	<b>32.0</b>	<b>16.0</b>	<b>116.0</b>				
<b>% Spent</b>	<b>97%</b>	<b>59%</b>	<b>69%</b>	<b>82.8%</b>				

Legend

Task 1—Develop and Excel-based rate model

Task 2—Prepare draft and final reports

Task 3—Prepare presentations / Attend meetings

**M**unicipal  
**F**inancial  
**S**ervices

Municipal Financial Services  
2960 Valley Basin Avenue, Henderson, NV 89052  
Phone 510.439.6264

#### Garbage Rates

Week Begin	Task			Total		Cumulative		Inv
	001	002	003	Hours	%	Hours	%	
Monday								
30-Dec-13	16.0	0.0	0.0	16.0	13.8%	16.0	13.8%	No. 1
27-Jan-14	8.0	5.0	0.0	13.0	11.2%	29.0	25.0%	No. 2
23-Jun-14	0.0	1.0	0.0	1.0	0.0	66.0	51.4%	No. 3
30-Jun-14			0.0	1.0	0.0	67.0	52.1%	
through	no activity		0.0	1.0	0.0	68.0	52.9%	
9-Mar-15				0.0	0.0%	69.0	53.6%	
30-Mar-15	9.0	0.0	0.0	9.0	7.8%	78.0	61.3%	No. 4
27-Apr-15	2.0	0.0	0.0	2.0	1.7%	80.0	63.1%	No. 5
25-May-15	0.0	0.0	0.0	0.0	0.0%	80.0	63.1%	No. 6
20-Jul-15	3.0		1.0	4.0	3.4%	84.0	66.5%	No. 7
24-Aug-15	5.0	8.0	4.0	17.0	14.7%	101.0	81.2%	No. 8
21-Sep-15		1.0	2.0	3.0	2.6%	104.0	83.7%	No. 9
19-Oct-15			1.0	1.0	0.9%	105.0	84.6%	No. 10
28-Dec-15			1.0	1.0	0.9%	106.0	85.5%	No. 11
4-Jan-16				0.0	0.0%	106.0	85.5%	
through	no activity			0.0	0.0%	106.0	85.5%	
3-Oct-16				0.0	0.0%	106.0	85.5%	
10-Oct-16	2.0			2.0	1.7%	108.0	87.2%	
17-Oct-16	8.0			8.0	6.9%	116.0	94.1%	
24-Oct-16				0.0	0.0%	116.0	94.1%	No. 12
<b>Total</b>	<b>53.0</b>	<b>15.0</b>	<b>9.0</b>	<b>77.0</b>	<b>94.1%</b>			
<b>Budget</b>	<b>68.0</b>	<b>32.0</b>	<b>16.0</b>	<b>116.0</b>				
<b>% Spent</b>	<b>78%</b>	<b>47%</b>	<b>56%</b>	<b>66.4%</b>				

#### Legend

Task 1—Develop and Excel-based rate model

Task 2—Prepare draft and final reports

Task 3—Prepare presentations / Attend meetings



Municipal Financial Services  
 2960 Valley Basin Avenue, Henderson, NV 89052  
 Phone 510.439.6264

**To:** City of Ripon  
 259 N. Wilma Avenue  
 Ripon, CA 95366

**MFS Project No.:** 201308

**Invoice No.:** 201308-12

**Date:** October 31, 2016

Attention: Ms. Carla Rodriguez

Water, Sewer and Garbage Rates Studies

**SUMMARY OF ACCOUNT**

Item	Budget	Total This Invoice	Prior Invoiced To Date	Total Invoiced To Date
<b>WATER</b>				
Task 1—Develop and Excel-based rate model	\$13,200.00	\$1,050.00	\$11,100.00	\$12,150.00
Task 2—Prepare draft and final reports	\$4,800.00	\$0.00	\$3,450.00	\$3,450.00
Task 3—Prepare presentations / Attend meetings	\$2,400.00	\$0.00	\$2,700.00	\$2,700.00
<b>Total Labor</b>	<b>\$20,400.00</b>	<b>\$1,050.00</b>	<b>\$17,250.00</b>	<b>\$18,300.00</b>
<b>SEWER</b>				
Task 1—Develop and Excel-based rate model	\$10,200.00	\$1,500.00	\$8,400.00	\$9,900.00
Task 2—Prepare draft and final reports	\$4,800.00	\$0.00	\$2,850.00	\$2,850.00
Task 3—Prepare presentations / Attend meetings	\$2,400.00	\$0.00	\$1,650.00	\$1,650.00
<b>Total Labor</b>	<b>\$17,400.00</b>	<b>\$1,500.00</b>	<b>\$12,900.00</b>	<b>\$14,400.00</b>
<b>GARBAGE</b>				
Task 1—Develop and Excel-based rate model	\$10,200.00	\$1,500.00	\$6,450.00	\$7,950.00
Task 2—Prepare draft and final reports	\$4,800.00	\$0.00	\$2,250.00	\$2,250.00
Task 3—Prepare presentations / Attend meetings	\$2,400.00	\$0.00	\$1,350.00	\$1,350.00
<b>Total Labor</b>	<b>\$17,400.00</b>	<b>\$1,500.00</b>	<b>\$10,050.00</b>	<b>\$11,550.00</b>
<b>TOTAL</b>	<b>\$55,200.00</b>	<b>\$4,050.00</b>	<b>\$40,200.00</b>	<b>\$44,250.00</b>
<b>TOTAL PAID TO DATE</b>				<b>\$40,200.00</b>
<b>BALANCE OUTSTANDING</b>				<b>\$4,050.00</b>
<b>BUDGET REMAINING</b>				<b>\$10,950.00</b>

October 14, 2016

City of Ripon (San Joaquin County, CA)

(Invoice No: 2016-1)

-to-

Hawkins Delafield & Wood LLP

For professional services rendered in connection with arbitrage rebate and yield restriction compliance services with respect to the bond issue described below, including determination that the bond issue is subject to the rebate or the yield restriction requirements, updating the arbitrage rebate and yield restriction tracking database, review of certain tax, closing and other documents, review of investment data, calculation of the bond yield, determination of "gross proceeds" including related investments that are subject to arbitrage rebate, (consideration of available rebate exceptions,) and preparation of cash flow schedules to prove compliance with any available spend down exception, analysis of investments purchased and sold, analysis and calculation of transferred proceeds, preparation of cash flows to compute the rebate liability or the yield restriction liability, preparation of arbitrage rebate and yield restriction compliance report, preparation of rebate and yield restriction tax opinion and all other related services to date.

**\$7,845,000**  
**California Statewide Communities**  
**Development Authority**  
**Water Revenue Bonds**  
**Series 2006C**  
**City of Ripon (San Joaquin County)**

**Computation Period: August 29, 2011 through August 29, 2016**

***Fees***

Engagement Fee(one time fee):	\$0.00
Basic Report Fee:	\$1,800.00
Extended Computation Periods Fee (4 years@\$500/year)	\$2,000.00
Yield Restriction Analysis Fee:	\$500.00
<b>Total</b>	<b>\$4,300.00</b>

**PLEASE REMIT A COPY OF THIS INVOICE WITH THE PAYMENT**

Please send checks to the following address below:

Accounting Department  
Hawkins Delafield & Wood LLP  
28 Liberty Street, Floor 42  
New York, NY 10005

If acceptable to the City of Ripon, payment by wire is preferred and wire instructions are attached.

**Wire Transfer Instructions:**

Citibank  
ABA # 021000089  
Account # 496 950 9458  
FBO Hawkins, Delafield & Wood LLP Attorney Business Account  
REF# 029929

**CONSENT CALENDAR**  
**Resolutions**

## RESOLUTION 16-\_\_

RESOLUTION OF THE CITY COUNCIL  
OF THE CITY OF RIPON ACCEPTING THE  
CITY OF RIPON FINANCIAL STATEMENTS AND  
INDEPENDENT AUDITOR'S REPORT FOR THE YEAR ENDED JUNE 30, 2016

WHEREAS, the City of Ripon Financial Statements and Independent Auditor's Report for the year ending June 30, 2016, has now been completed and filed with the City of Ripon, and

WHEREAS, Price Paige & Company Accountancy Corporation has now completed its audit of the form and content of the information included in the financial statement in accordance with generally accepted auditing standards.

NOW, THEREFORE, BE IT RESOLVED, by the City Council of the City of Ripon, that the City of Ripon does hereby accept the Financial Statement and Independent Auditors' Report of June 30, 2016, by Price Paige & Company Accountancy Corporation, the independent certified public accountant employed by the City of Ripon, to audit the financial records.

PASSED, APPROVED AND ADOPTED this 8<sup>th</sup> day of November, 2016 by the following vote:

AYES:

NOES:

ABSENT:

ABSTAINING:

THE CITY OF RIPON  
A Municipal Corporation

By \_\_\_\_\_  
JACOB PARKS, Mayor

ATTEST:

By \_\_\_\_\_  
LISA ROOS, City Clerk



The Place to Be

September 12, 2016

To the City Council of the  
City of Ripon, California

We have audited the financial statements of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the City of Ripon for the year ended June 30, 2016. Professional standards require that we provide you with information about our responsibilities under generally accepted auditing standards, as well as certain information related to the planned scope and timing of our audit. We have communicated such information in our letter to you dated February 3, 2016. Professional standards also require that we communicate to you the following information related to our audit.

#### Significant Audit Findings

##### *Qualitative Aspects of Accounting Practices*

Management is responsible for the selection and use of appropriate accounting policies. The significant accounting policies used by the City of Ripon are described in Note 1 to the financial statements. No new accounting policies were adopted and the application of existing policies was not changed during 2016. We noted no transactions entered into by the City of Ripon during the year for which there is a lack of authoritative guidance or consensus. All significant transactions have been recognized in the financial statements in the proper period.

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. The most sensitive estimate affecting the City's financial statements was:

Management's estimate of the indirect cost allocation is based on actual costs incurred in each fund. We evaluated the key factors and assumptions used to develop the indirect cost allocation in determining that it is reasonable in relation to the financial statements taken as a whole.

The financial statement disclosures are neutral, consistent and clear.

##### *Difficulties Encountered in Performing the Audit*

We encountered no significant difficulties in dealing with management in performing and completing our audit.

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### *Corrected and Uncorrected Misstatements*

Professional standards require us to accumulate all known and likely misstatements identified during the audit, other than those that are clearly trivial, and communicate them to the appropriate level of management. Management has corrected all such misstatements. In addition, none of the misstatements detected as a result of audit procedures and corrected by management were material, either individually or in the aggregate, to each opinion unit's financial statements taken as a whole.

### *Disagreements with Management*

For purposes of this letter, a disagreement with management is a financial accounting, reporting, or auditing matter, whether or not resolved to our satisfaction, that could be significant to the financial statements or the auditor's report. We are pleased to report that no such disagreements arose during the course of our audit.

### *Management Representations*

We have requested certain representations from management that are included in the management representation letter dated September 12, 2016.

### *Management Consultations with Other Independent Accountants*

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the City of Ripon's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

### *Other Audit Findings or Issues*

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the City of Ripon's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

### Other Matters

We were engaged to report on the non-major governmental fund combining financial statements and additional information on the Successor Agency Trust fund, which accompany the financial statements but are not RSI. With respect to this supplementary information, we made certain inquiries of management and evaluated the form, content, and methods of preparing the information to determine that the information complies with the applicable financial reporting framework, the method of preparing it has not changed from the prior period, and the information is appropriate and complete in relation to our audit of the financial statements. We compared and reconciled the supplementary information to the underlying accounting records used to prepare the financial statements or to the financial statements themselves.

### Restriction on Use

This information is intended solely for the use of the City Council and management of the City of Ripon and is not intended to be, and should not be, used by anyone other than these specified parties.

Very truly yours,

*Price Pugh & Company*

**CITY OF RIPON  
CALIFORNIA  
FINANCIAL STATEMENTS  
AND  
INDEPENDENT AUDITOR'S REPORT  
FOR THE YEAR ENDED  
JUNE 30, 2016**

JUNE 30, 2016

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## INDEPENDENT AUDITOR'S REPORT

To the Honorable Mayor and  
Members of the City Council  
City of Ripon, California

### **Report on the Financial Statements**

We have audited the accompanying modified cash-basis financial statements of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the City of Ripon, California (City) as of and for the year ended June 30, 2016, and the related notes to the financial statements, which collectively comprise the City's basic financial statements as listed in the table of contents.

### **Managements Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with the modified cash basis of accounting described in Note 1. This includes determining that the modified cash basis of accounting is an acceptable basis for the preparation of the financial statements in the circumstances. Management is also responsible for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### **Auditor's Responsibility**

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the City's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the City's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

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## Opinions

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective modified cash basis financial position of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the City of Ripon, California, as of June 30, 2016, and the respective changes in modified cash basis financial position for the year then ended in accordance with the basis of accounting described in Note 1.

## Basis of Accounting

We draw attention to Note 1 of the financial statements, which describes the basis of accounting. The financial statements are prepared on the modified cash basis of accounting, which is a basis of accounting other than accounting principles generally accepted in the United States of America. Our opinions are not modified with respect to this matter.

## Other Matters

Our audit was conducted for the purpose of forming opinions on the financial statements as a whole that collectively comprise the City of Ripon's basic financial statements. The management's discussion and analysis, combining nonmajor fund financial statements, and additional information of the Successor Agency Trust Fund are presented for purposes of additional analysis and are not a required part of the basic financial statements.

The combining nonmajor fund financial statements presented on pages 46 through 49 are the responsibility of management and were derived from, and relate to, the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the basic financial statements as a whole on the basis of accounting described in Note 1.

Management's discussion and analysis on pages 3 through 11 and the additional information on the Successor Agency Trust Fund on pages 50 through 51 have not been subjected to the auditing procedures applied in the audit of the basic financial statements and, accordingly, we do not express an opinion or provide any assurance on such information.

*Price Pange & Company*

Clovis, California  
September 12, 2016

MANAGEMENT'S DISCUSSION AND ANALYSIS  
JUNE 30, 2016

Our discussion and analysis of the City of Ripon's financial performance provides an overview of the City's financial activities for the fiscal year ended June 30, 2016, within the limitations of the City's modified cash basis of accounting. Please read this management's discussion and analysis in conjunction with the City's financial statements that begin on page 14.

**FINANCIAL HIGHLIGHTS**

- The City's assets exceeded its liabilities by \$13,814,310 (net position) for the fiscal year ended June 30, 2016. This compares favorably to the previous year's net position of \$11,523,505.
- Total net position is comprised of the following:
  - 1) Capital assets, net of related debt of (\$9,535,168). This represents debt recorded in the proprietary funds for capital assets. The City does not capitalize capital assets; therefore, this category solely reflects the debt.
  - 2) Restricted net position of \$4,639,653 represents the portion restricted for public safety, street construction and maintenance, assessment districts, and capital outlay.
  - 3) Unrestricted net position of \$18,709,825 represents the portion available to maintain the City's obligations to citizens and creditors.

**USING THIS ANNUAL REPORT**

This annual report is presented in a format consistent with the presentation requirements of the Governmental Accounting Standards Board, as applicable to the City's modified basis of accounting.

**Report Components**

This annual report consists of the following four parts:

- 1) *Government-Wide Financial Statements.* The Statement of Net Position and the Statement of Activities (on pages 14-15) provide information about the activities of the City government-wide (or as a whole) and present a longer-term view of the City's finances.
- 2) *Fund Financial Statements.* Fund financial statements (beginning on page 16) focus on the individual parts of the City government. Fund financial statements also report the City's operations in more detail than the government-wide statements by providing information about the City's most significant (major) funds. For governmental activities, these statements tell how these services were financed in the short term, as well as what remains for future spending. For proprietary activities, these statements offer short-term and long-term financial information about the activities which the City operates like businesses, such as the water, sewer and refuse services.
- 3) *Notes to the Financial Statements.* The notes to the financial statements are an integral part of the government-wide and fund financial statements and provide expanded explanation and detail regarding the information reported in the statements.
- 4) *Supplementary Information.* The annual report includes optional financial information, such as management's discussion and analysis, combining statements for nonmajor funds (that are added together and shown in the fund financial statements in a single column) and additional information on the Successor Agency Trust Fund. This other supplementary financial information is provided to address certain specific needs of various users of the City's annual report.

MANAGEMENT'S DISCUSSION AND ANALYSIS  
JUNE 30, 2016

**Basis of Accounting**

The City has elected to present its financial statements on a modified cash basis of accounting. This modified cash basis of accounting is a basis of accounting other than accounting principles generally accepted in the United States of America. Basis of accounting is a reference to when financial events are recorded, such as the timing for recognizing revenues, expenses, and their related assets and liabilities. Under the City's modified cash basis of accounting, revenues and expenses and certain related assets, liabilities, and deferred inflows and outflows are recorded when they result from cash transactions or events.

As a result of the use of this modified cash basis of accounting, certain assets and their related revenues (such as accounts receivable and revenue for billed or provided services not yet collected, and other accrued revenue and receivables) and certain liabilities and their related expenses or expenditures (such as accounts payable and expenses for goods or services received but not yet paid, and other accrued expenses and liabilities) are not recorded in these financial statements, with the exception of long-term liabilities arising from cash transactions. In addition, other economic assets, deferred outflows, liabilities, and deferred inflows that do not arise from a cash transaction or event (such as donated assets and postemployment benefit obligations) are not reported, and the measurement of reported assets and liabilities does not involve adjustment to fair value. Lastly, the City does not recognize its investment in capital assets in its statement of net position.

Therefore, when reviewing the financial information and discussion within this annual report, the reader should keep in mind the limitations resulting from the use of the modified cash basis of accounting.

**Reporting the City as a Whole**

***The City's Reporting Entity Presentation***

This annual report includes all activities for which the City of Ripon's City Council is fiscally responsible.

City management considered all potential component units for inclusion in the reporting entity by applying the criteria set forth in accounting principles generally accepted in the United States of America. The City concluded that there are not potential component units which should be included in the reporting entity.

***The Government-Wide Statement of Net Position and the Statement of Activities***

Management's analysis of the City as a whole begins on page 5. The government-wide financial statements are presented on pages 14 and 15. The Statement of Net Position and Statement of Activities report information about the City as a whole and its activities. These statements include all the City's assets, liabilities, and deferred inflows and outflows resulting from the use of the modified cash basis of accounting, as further defined in the notes to the financial statements.

These two statements report the City's net position and changes therein. Keeping in mind the limitation of the modified cash basis of accounting, the City's net position, the difference among assets, deferred outflows, liabilities, and deferred inflows is one way to measure the City's financial health or financial position. Over time, increases or decreases in the City's net position are one indicator of whether its financial health is improving or deteriorating. Other nonfinancial factors should also be considered, such as changes in the City's sales tax base and the condition of the City's roads, to assess the overall health of the City.

**MANAGEMENT'S DISCUSSION AND ANALYSIS  
JUNE 30, 2016**

In the Statement of Net Position and Statement of Activities, the City is divided into two kinds of activities:

- 1) *Governmental activities.* Most of the City's basic services are reported here, including the general administration, police, streets, public works and recreation. Property taxes, sales and use taxes, charges for services, operating grants, and contributions finance most of these activities.
- 2) *Business-type activities.* The City charges a fee to customers to help it cover all or most of the cost of certain services it provides. The City's water, sewer, and refuse service are reported here.

**Reporting the City's Most Significant Funds**

***The Fund Financial Statements***

Management's analysis of the City's major funds begins on page 16 and provides detailed information about the most significant funds, not the City as a whole. Some funds are required to be established by State law. However, the City Council establishes certain other funds to help it control and manage money for particular purposes or to show that it is meeting legal responsibilities for using certain taxes, grants, and other money. The City has three types of funds: governmental, proprietary and fiduciary.

- *Governmental Funds.* Most of the City's basic services are reported in governmental funds that focus on how money flows into and out of those funds and the balances left at year-end that are available for spending. These funds report the acquisition of capital assets and payments for debt principal as expenditures, not changes to asset and debt balances. The governmental fund statements provide a detailed short-term view of the City's general government operations and the basic services it provides. The City uses the modified cash basis of accounting and, accordingly, there are no reconciling differences between the governmental activities (reported in the Statement of Net Position and the Statement of Activities) and governmental funds. The City considers the General Fund, Special Revenue Fund, and Capital Improvement Fund to be its significant, or major, governmental funds. All other governmental funds are aggregated in a single column titled "Nonmajor Funds."
- *Proprietary Funds.* The City charges customers for the services it provides. These services are generally reported in proprietary funds. Proprietary funds are reported in the same way all activities are reported in the Statement of Net Position and Statement of Activities. For example, principal payments on long-term debt are recorded as a reduction to the liability. The City's proprietary (enterprise) fund financial statements are essentially the same as the business-type activities we report in the government-wide financial statements. The City utilizes the modified cash basis of accounting and, accordingly, capital assets are not capitalized or depreciated. The City has three enterprise funds: Water, Sewer, and Refuse.
- *Fiduciary Funds.* Private Purpose Trust Funds are established to serve as the custodian for assets held in a trustee or agency capacity for others and, therefore, cannot be used to support the City's own programs. The City has one private purpose trust fund known as the Successor Agency Trust Fund which holds the assets and accounts for the activities related to the winding down of the former Redevelopment Agency.

**A FINANCIAL ANALYSIS OF THE CITY AS A WHOLE**

**Net Position – Modified Cash Basis**

The City's combined net position resulting from modified cash basis transactions or events increased from \$11,523,505 to \$13,814,310 between fiscal years 2015 and 2016. Looking at the net position and net expenses of governmental and business-type activities separately, governmental activities had a larger increase than business-type activities.

CITY OF RIPON  
CALIFORNIA

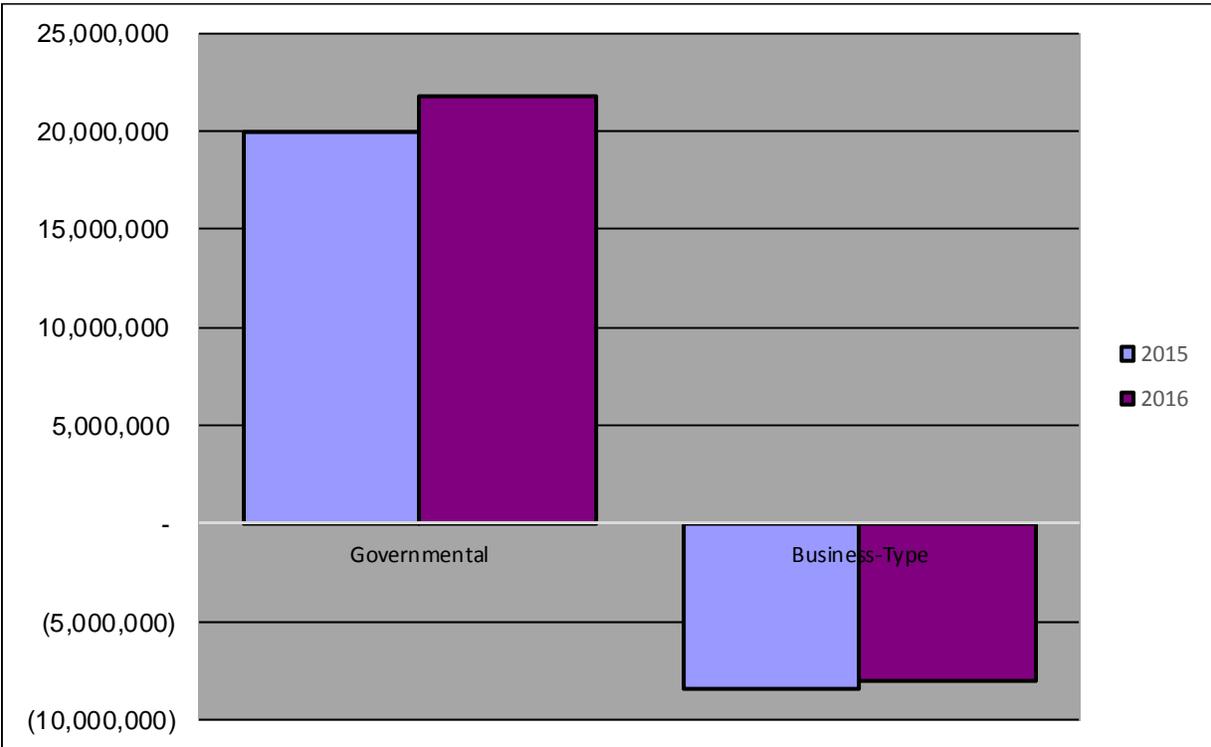
MANAGEMENT'S DISCUSSION AND ANALYSIS  
JUNE 30, 2016

	<u>Governmental Activities</u>		<u>Business-Type Activities</u>		<u>Total</u>		<u>Total Percentage Change 2015-2016</u>
	<u>2015</u>	<u>2016</u>	<u>2015</u>	<u>2016</u>	<u>2015</u>	<u>2016</u>	
Cash and investments	\$ 20,982,819	\$ 22,789,375	\$ 1,704,384	\$ 1,572,164	\$ 22,687,203	\$ 24,361,539	7%
Cash and investments - restricted	980,862	1,073,587	839,134	839,832	1,819,996	1,913,419	5%
<b>Total assets</b>	<u>21,963,681</u>	<u>23,862,962</u>	<u>2,543,518</u>	<u>2,411,996</u>	<u>24,507,199</u>	<u>26,274,958</u>	7%
Long term liabilities							
Loan due to private-purpose trust funds	2,043,694	2,085,648	-	-	2,043,694	2,085,648	2%
Debt due within one year	-	-	565,000	580,000	565,000	580,000	3%
Debt due in more than one year	-	-	10,375,000	9,795,000	10,375,000	9,795,000	-6%
<b>Total liabilities</b>	<u>2,043,694</u>	<u>2,085,648</u>	<u>10,940,000</u>	<u>10,375,000</u>	<u>12,983,694</u>	<u>12,460,648</u>	-4%
Capital assets, net of related debt	-	-	(10,100,866)	(9,535,168)	(10,100,866)	(9,535,168)	-6%
Restricted for							
Public safety	81,704	88,557	-	-	81,704	88,557	8%
Street construction and maintenance	1,916,967	2,294,013	-	-	1,916,967	2,294,013	20%
Assessment districts	181,041	206,336	-	-	181,041	206,336	14%
Capital outlay	920,854	1,210,915	839,134	839,832	1,759,988	2,050,747	17%
Unrestricted	<u>16,819,421</u>	<u>17,977,493</u>	<u>865,250</u>	<u>732,332</u>	<u>17,684,671</u>	<u>18,709,825</u>	6%
<b>Total net position</b>	<u>\$ 19,919,987</u>	<u>\$ 21,777,314</u>	<u>\$ (8,396,482)</u>	<u>\$ (7,963,004)</u>	<u>\$ 11,523,505</u>	<u>\$ 13,814,310</u>	20%

MANAGEMENT'S DISCUSSION AND ANALYSIS  
JUNE 30, 2016

The net position of the City's governmental activities increased 9.3 percent to \$21,777,314. However, \$3,799,821 of this amount is restricted regarding the purposes it can be used for. Consequently, unrestricted amounts showed \$17,977,493 at the end of this year.

**Net Position – Modified Cash Basis**



Changes from 2015 to 2016 reflect an increase of 19.9 percent in net position, an increase of 9.3 percent for governmental activities and 5.2 percent for business-type activities.

**Changes in Net Position – Modified Cash Basis**

For the year ended June 30, 2016, net position (resulting from modified cash basis transactions or events) changed as follows on page 8.

CITY OF RIPON  
CALIFORNIA

MANAGEMENT'S DISCUSSION AND ANALYSIS  
JUNE 30, 2016

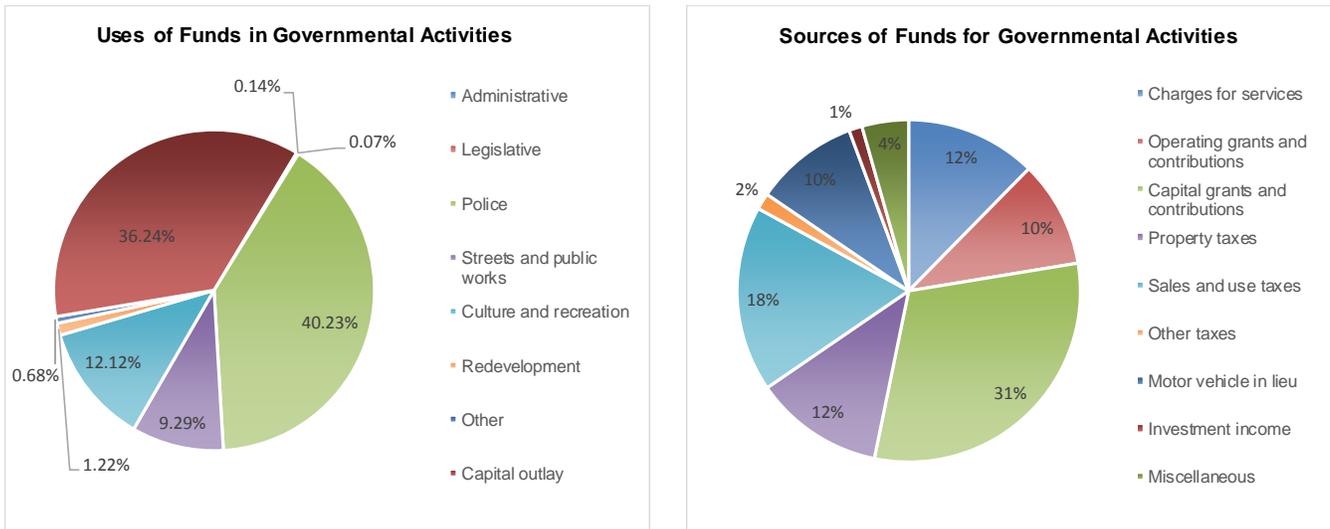
	Governmental Activities		Business-Type Activities		Total		Total Percentage Change
	2015	2016	2015	2016	2015	2016	2015-2016
<b>Revenues</b>							
Program revenues							
Charges for services	\$ 2,332,698	\$ 1,693,753	\$ 5,313,905	\$ 5,303,316	\$ 7,646,603	\$ 6,997,069	-8%
Operating grants and contributions	2,287,612	1,382,151	-	-	2,287,612	1,382,151	-40%
Capital grants and contributions	1,505,349	4,234,090	-	1,244,489	1,505,349	5,478,579	264%
General revenues							
Taxes							
Property taxes	1,710,560	1,676,743	98,360	132,875	1,808,920	1,809,618	0%
Sales and use taxes	2,883,642	2,413,378	-	-	2,883,642	2,413,378	-16%
Other	170,780	212,386	-	-	170,780	212,386	24%
Motor vehicle in lieu	1,287,125	1,349,253	-	-	1,287,125	1,349,253	5%
Investment income	177,340	171,160	15,958	16,827	193,298	187,987	-3%
Miscellaneous	597,170	603,452	-	-	597,170	603,452	1%
<b>Total revenue</b>	<u>12,952,276</u>	<u>13,736,366</u>	<u>5,428,223</u>	<u>6,697,507</u>	<u>18,380,499</u>	<u>20,433,873</u>	11%
<b>Expenditures</b>							
Administrative	29,460	19,978	-	-	29,460	19,978	-32%
Legislative	3,189	10,052	-	-	3,189	10,052	215%
Police	5,272,068	5,577,600	-	-	5,272,068	5,577,600	6%
Streets and public works	1,286,448	1,287,984	-	-	1,286,448	1,287,984	0%
Culture and recreation	1,808,291	1,680,720	-	-	1,808,291	1,680,720	-7%
Redevelopment	182,326	168,944	-	-	182,326	168,944	-7%
Other	42,139	94,220	-	-	42,139	94,220	124%
Capital outlay	2,597,924	5,023,557	-	-	2,597,924	5,023,557	93%
Water	-	-	1,699,106	1,622,951	1,699,106	1,622,951	-4%
Sewer	-	-	1,173,844	1,098,272	1,173,844	1,098,272	-6%
Refuse	-	-	1,493,818	1,558,790	1,493,818	1,558,790	4%
<b>Total expenditures</b>	<u>11,221,845</u>	<u>13,863,055</u>	<u>4,366,768</u>	<u>4,280,013</u>	<u>15,588,613</u>	<u>18,143,068</u>	16%
Excess (deficiency) before transfers	1,730,431	(126,689)	1,061,455	2,417,494	2,791,886	2,290,805	-18%
Transfers	525,935	1,984,016	(525,935)	(1,984,016)	-	-	
<b>Increase in net position</b>	<u>\$ 2,256,366</u>	<u>\$ 1,857,327</u>	<u>\$ 535,520</u>	<u>\$ 433,478</u>	<u>\$ 2,791,886</u>	<u>\$ 2,290,805</u>	-18%

MANAGEMENT'S DISCUSSION AND ANALYSIS  
JUNE 30, 2016

**Governmental Activities**

The Statement of Activities format is significantly different from a typical Statement of Revenues, Expenses and Changes in Fund Balance. Expenses are listed in the first column, with revenues from that particular program reported to the right. The result is net (expense) revenue. This type of format highlights the relative financial burden of each of the functions on the City's taxpayers. It also identifies how much each function draws from the general revenues or if it is self-financing through fees and grants or contributions. All other governmental revenues are reported as general. It is important to note that all taxes are classified as general revenue, even if restricted for a specific purpose.

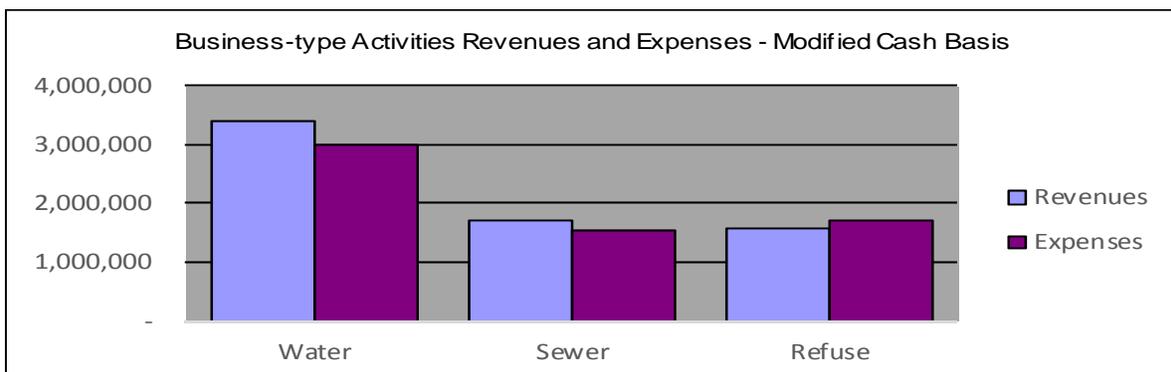
For the year ended June 30, 2016, the City's governmental activities sources and uses follow:



For the year ended June 30, 2016, total expenses for governmental activities resulting from modified cash basis transactions or events amounted to \$13,863,055. Of these total expenses, taxpayers and other general revenues funded only \$6,426,372, and those directly benefiting from the program funded \$5,616,241 from grants and other contributions, and \$1,693,753 from charges for services.

**Business-Type Activities**

The business-type activities' net revenue (expenses), before contributions and transfers, resulting from modified cash basis transactions or events follow: The water, sewer and refuse service activities reported net revenues (expenses) of \$954,910, \$247,777 and (\$29,682), respectively.



MANAGEMENT'S DISCUSSION AND ANALYSIS  
JUNE 30, 2016

**A FINANCIAL ANALYSIS OF THE CITY'S FUNDS**

Certain funds experienced noteworthy changes from the prior year and are highlighted as follows:

Revenues:

- Streets & Public Works operating grants and contributions decreased by \$609,474, which relates to a decreased COG/LTF allotment received in fiscal year 2016, including a \$469,025 timing difference of fiscal year 2014 allotments being received in fiscal year 2015. An additional \$115,692 was due to less Highway User Tax received.
- Administrative capital grants and contributions increased \$509,920, which is primarily due to the collection of prior years' state mandated costs.
- Other operating grants and contributions decreased \$264,929, which is due to the previous fiscal year having an increased number of sales of homes that generated revenue from the repayments of the BMR and GAP+ loans.
- Capital outlay charges for services decreased \$571,214, of which \$225,640 is due to engineering fees and planning fees being collected in fiscal year 2015 for various development projects moving forward. In addition, \$328,139 was attributable to a one-time adjustment to the Jack Tone golf course capital improvements account during fiscal year 2015.
- Capital outlay capital grants and contributions increased \$2,253,104, which is due to more developer fees collected in fiscal year 2016 compared to fiscal year 2015. There were 58 more permits pulled in fiscal year 2016 than 2015. In addition, the City collected a number of 2015 deferred developer fees in fiscal year 2016
- Water capital grants and contributions increased by \$831,257, which is due to more development fees collected.
- Sewer capital grants and contributions increased by \$353,675, which is due to more development fees collected.

Expenditures:

- Capital Outlay expenditures increased \$2,385,646, which is primarily due to the Water Meter Installation Project.

**CAPITAL ASSETS AND DEBT ADMINISTRATION**

**Capital Assets – Modified Cash Basis**

The City does not capitalize capital assets.

**MANAGEMENT'S DISCUSSION AND ANALYSIS  
JUNE 30, 2016**

**Long-Term Debt – Modified Cash Basis**

At June 30, 2016, the City had \$12,460,648 in long-term debt arising from modified cash basis transactions or events, compared with \$12,983,694 at June 30, 2015. At June 30, 2016, the debt is related to business-type activities and a loan due to the Successor Agency Trust.

At June 30, 2016, the City's Private-Purpose Trust Fund had \$27,127,266 in long-term debt arising from the modified cash basis transactions or events, compared with \$27,887,266 at June 30, 2015. At June 30, 2016, all of the debt is related to redevelopment tax allocation bonds.

**ECONOMIC OUTLOOK AND MAJOR INITIATIVES**

The City's budget for the upcoming fiscal year ended June 30, 2017 is fairly consistent with the year ended June 30, 2016.

The City staffing levels for the year ending June 30, 2017 are planned to remain consistent with the year ended June 30, 2016.

The City's Successor Agency Trust Fund expects to continue to receive the \$250,000 administrative allowance for administering the winding-down of the Redevelopment Agency.

**CONTACTING THE CITY'S FINANCIAL MANAGEMENT**

This report is designed to provide our citizens, taxpayers, customers, and creditors with a general overview of the City's finances and to demonstrate the City's accountability for the money it receives. If you have questions about this report or need additional information, please contact the City Clerk's Office at 259 N. Wilma Avenue, Ripon, California 95366, or telephone (209) 599-2108.

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**BASIC FINANCIAL STATEMENTS**

**CITY OF RIPON**  
**STATEMENT OF NET POSITION – MODIFIED CASH BASIS**  
**JUNE 30, 2016**

	Governmental Activities	Business-Type Activities	Total
<b>ASSETS</b>			
Cash and investments	\$ 22,789,375	\$ 1,572,164	\$ 24,361,539
Cash and investments - restricted	<u>1,073,587</u>	<u>839,832</u>	<u>1,913,419</u>
Total assets	<u>23,862,962</u>	<u>2,411,996</u>	<u>26,274,958</u>
<b>LIABILITIES</b>			
Loan due to private-purpose trust funds	2,085,648	-	2,085,648
Long-term liabilities:			
Debt due within one year	-	580,000	580,000
Debt due in more than one year	<u>-</u>	<u>9,795,000</u>	<u>9,795,000</u>
Total liabilities	<u>2,085,648</u>	<u>10,375,000</u>	<u>12,460,648</u>
<b>NET POSITION</b>			
Capital assets, net of related debt	-	(9,535,168)	(9,535,168)
Restricted for:			
Public safety	88,557	-	88,557
Street construction and maintenance	2,294,013	-	2,294,013
Assessment districts	206,336	-	206,336
Capital outlay	1,210,915	839,832	2,050,747
Unrestricted	<u>17,977,493</u>	<u>732,332</u>	<u>18,709,825</u>
Total net position	<u>\$ 21,777,314</u>	<u>\$ (7,963,004)</u>	<u>\$ 13,814,310</u>

The accompanying notes are an integral part of this financial statement.

**CITY OF RIPON  
STATEMENT OF ACTIVITIES – MODIFIED CASH BASIS  
FOR THE YEAR ENDED JUNE 30, 2016**

	Expenses			Program Revenues			Net (Expense) Revenue and Changes in Net Assets		
	Direct	Indirect	Total	Charges for Services	Operating Grants and Contributions	Capital Grants and Contributions	Governmental Activities	Business-Type Activities	Total
<b>Functions/Programs</b>									
<b>Governmental activities:</b>									
Administrative	\$ 1,017,137	\$ (997,159)	\$ 19,978	\$ 272,515	\$ -	\$ 509,920	\$ 762,457	\$ -	\$ 762,457
Legislative	297,329	(287,277)	10,052	-	-	-	(10,052)	-	(10,052)
Police	4,778,611	798,989	5,577,600	368,703	120,666	-	(5,088,231)	-	(5,088,231)
Streets and public works	1,665,616	(377,632)	1,287,984	368,390	1,039,345	601,051	720,802	-	720,802
Culture and recreation	1,510,791	169,929	1,680,720	612,506	-	29,667	(1,038,547)	-	(1,038,547)
Redevelopment	168,944	-	168,944	-	-	-	(168,944)	-	(168,944)
Other	80,651	13,569	94,220	-	222,140	-	127,920	-	127,920
Capital outlay	4,966,554	57,003	5,023,557	71,639	-	3,093,452	(1,858,466)	-	(1,858,466)
Total governmental activities	<u>14,485,633</u>	<u>(622,578)</u>	<u>13,863,055</u>	<u>1,693,753</u>	<u>1,382,151</u>	<u>4,234,090</u>	<u>(6,553,061)</u>	<u>-</u>	<u>(6,553,061)</u>
<b>Business-type activities:</b>									
Water	1,389,226	233,725	1,622,951	2,568,476	-	831,257	-	1,776,782	1,776,782
Sewer	933,905	164,368	1,098,272	1,208,056	-	353,675	-	463,459	463,459
Refuse	1,334,305	224,485	1,558,790	1,526,784	-	59,557	-	27,551	27,551
Total business-type activities	<u>3,657,436</u>	<u>622,578</u>	<u>4,280,013</u>	<u>5,303,316</u>	<u>-</u>	<u>1,244,489</u>	<u>-</u>	<u>2,267,792</u>	<u>2,267,792</u>
Total primary government	<u>\$ 18,143,069</u>	<u>\$ -</u>	<u>\$ 18,143,068</u>	<u>\$ 6,997,069</u>	<u>\$ 1,382,151</u>	<u>\$ 5,478,579</u>	<u>(6,553,061)</u>	<u>2,267,792</u>	<u>(4,285,269)</u>
General revenues:									
Taxes:									
Property taxes							1,676,743	132,875	1,809,618
Sales and use taxes							2,413,378	-	2,413,378
Other							212,386	-	212,386
Motor vehicle in lieu							1,349,253	-	1,349,253
Investment income							171,160	16,827	187,987
Miscellaneous							603,452	-	603,452
Transfers							1,984,016	(1,984,016)	-
Total general revenues and transfers							<u>8,410,388</u>	<u>(1,834,314)</u>	<u>6,576,074</u>
Change in net position							1,857,327	433,478	2,290,805
Net position - beginning of year							<u>19,919,987</u>	<u>(8,396,482)</u>	<u>11,523,505</u>
Net position - end of year							<u>\$ 21,777,314</u>	<u>\$ (7,963,004)</u>	<u>\$ 13,814,310</u>

The accompanying notes are an integral part of this financial statement.

**CITY OF RIPON  
BALANCE SHEET – MODIFIED CASH BASIS  
GOVERNMENTAL FUNDS  
JUNE 30, 2016**

3A

	General Fund	Special Revenue Fund	Capital Projects Fund	Other Nonmajor Governmental Funds	Total Governmental Funds
<b>ASSETS</b>					
Cash and investments	\$ 12,929,391	\$ 709,590	\$ 7,461,450	\$ 1,688,944	\$ 22,789,375
Cash and investments - restricted	<u>88,557</u>	<u>-</u>	<u>767,531</u>	<u>217,499</u>	<u>1,073,587</u>
Total assets	<u>\$ 13,017,948</u>	<u>\$ 709,590</u>	<u>\$ 8,228,981</u>	<u>\$ 1,906,443</u>	<u>\$ 23,862,962</u>
<b>LIABILITIES</b>					
Loan due to private-purpose trust funds	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 2,085,648</u>	<u>\$ -</u>	<u>\$ 2,085,648</u>
Total liabilities	<u>-</u>	<u>-</u>	<u>2,085,648</u>	<u>-</u>	<u>2,085,648</u>
<b>FUND BALANCES</b>					
Restricted for:					
Public safety	88,557	-	-	-	88,557
Street construction and maintenance	-	709,590	-	1,584,423	2,294,013
Assessment districts	-	-	-	206,336	206,336
Capital projects	-	-	966,507	244,408	1,210,915
Committed to:					
Capital projects	-	-	373,039	-	373,039
Assigned to:					
Capital projects	-	-	4,803,787	-	4,803,787
Subsequent year expenditures	5,562,908	-	-	-	5,562,908
Unassigned	<u>7,366,483</u>	<u>-</u>	<u>-</u>	<u>(128,724)</u>	<u>7,237,759</u>
Total fund balances	<u>13,017,948</u>	<u>709,590</u>	<u>6,143,333</u>	<u>1,906,443</u>	<u>21,777,314</u>
Total liabilities and fund balances	<u>\$ 13,017,948</u>	<u>\$ 709,590</u>	<u>\$ 8,228,981</u>	<u>\$ 1,906,443</u>	<u>\$ 23,862,962</u>

The accompanying notes are an integral part of this financial statement.

**CITY OF RIPON**  
**STATEMENT OF REVENUES, EXPENDITURES AND CHANGES IN FUND BALANCES**  
**MODIFIED CASH BASIS – GOVERNMENTAL FUNDS**  
**JUNE 30, 2016**

3A

	General Fund	Special Revenue Fund	Capital Projects Fund	Other Nonmajor Governmental Funds	Total Governmental Funds
<b>REVENUES</b>					
Taxes	\$ 5,744,568	\$ -	\$ -	\$ 353,017	\$ 6,097,585
Assessments	-	-	-	322,646	322,646
Licenses, permits and fees	3,030,264	29,667	1,046,464	-	4,106,395
Investment income	364,973	7,235	80,387	13,138	465,733
Intergovernmental revenue	14,381	550,950	-	745,901	1,311,232
Charges for services	845,338	-	-	2,597	847,935
Fines and forfeitures	203,026	-	-	-	203,026
Housing/loan program income	189,542	-	-	-	189,542
Contributions	3,861	-	-	-	3,861
Other	124,294	64,118	-	-	188,412
Total revenues	<u>10,520,247</u>	<u>651,970</u>	<u>1,126,851</u>	<u>1,437,299</u>	<u>13,736,367</u>
<b>EXPENDITURES</b>					
General government:					
Administration	1,017,137	-	-	-	1,017,137
Legislative	297,329	-	-	-	297,329
Public safety:					
Police	4,778,611	-	-	-	4,778,611
Streets and public works:					
Streets	-	529,563	-	42,142	571,705
Planning	339,683	-	-	-	339,683
Engineering	258,804	-	-	-	258,804
Building	225,335	-	-	-	225,335
Culture and recreation:					
Library	79,452	-	-	-	79,452
Parks and recreation	1,317,482	-	41,955	-	1,359,437
Community Center	71,903	-	-	-	71,903
Community development block grant	-	-	-	30,415	30,415
Special assessment districts	-	-	-	270,087	270,087
Successor agency administration	168,944	-	-	-	168,944
Allocation to other department costs	(821,029)	89,095	56,826	52,531	(622,577)
Other	80,651	-	-	-	80,651
Capital outlay	1,047	1,123,027	3,812,066	-	4,936,140
Total expenditures	<u>7,815,349</u>	<u>1,741,685</u>	<u>3,910,847</u>	<u>395,175</u>	<u>13,863,056</u>
Excess (deficiency) of revenues over expenditures	<u>2,704,898</u>	<u>(1,089,715)</u>	<u>(2,783,996)</u>	<u>1,042,124</u>	<u>(126,689)</u>
<b>OTHER FINANCING SOURCES (USES)</b>					
Operating transfers in	100,000	1,583,551	3,474,281	105,048	5,262,880
Operating transfers out	<u>(2,164,197)</u>	<u>(29,667)</u>	<u>-</u>	<u>(1,085,000)</u>	<u>(3,278,864)</u>
Total other financing sources (uses)	<u>(2,064,197)</u>	<u>1,553,884</u>	<u>3,474,281</u>	<u>(979,952)</u>	<u>1,984,016</u>
Net change in fund balances	<u>640,701</u>	<u>464,169</u>	<u>690,285</u>	<u>62,172</u>	<u>1,857,327</u>
Fund balances, beginning of year	12,377,247	245,421	5,453,048	1,844,271	19,919,987
Fund balances, end of year	<u>\$ 13,017,948</u>	<u>\$ 709,590</u>	<u>\$ 6,143,333</u>	<u>\$ 1,906,443</u>	<u>\$ 21,777,314</u>

The accompanying notes are an integral part of this financial statement.

**CITY OF RIPON**  
**STATEMENT OF NET POSITION – MODIFIED CASH BASIS**  
**PROPRIETARY FUNDS**  
**JUNE 30, 2016**

3A

	Sewer Enterprise Fund	Water Enterprise Fund	Refuse Enterprise Fund	Total
<b>ASSETS</b>				
Current assets:				
Cash and investments	\$ 561,196	\$ 875,059	\$ 135,909	1,572,164
Cash and investments - restricted	<u>-</u>	<u>839,832</u>	<u>-</u>	<u>839,832</u>
Total assets	<u>561,196</u>	<u>1,714,891</u>	<u>135,909</u>	<u>2,411,996</u>
<b>LIABILITIES</b>				
Current liabilities:				
Current portion of bonds payable	130,000	450,000	-	580,000
Noncurrent liabilities:				
Noncurrent portion of bonds payable	<u>1,465,000</u>	<u>8,330,000</u>	<u>-</u>	<u>9,795,000</u>
Total liabilities	<u>1,595,000</u>	<u>8,780,000</u>	<u>-</u>	<u>10,375,000</u>
<b>NET POSITION</b>				
Capital assets, net of related debt	(1,595,000)	(7,940,168)	-	(9,535,168)
Restricted for capital assets	-	839,832	-	839,832
Unrestricted	<u>561,196</u>	<u>35,227</u>	<u>135,909</u>	<u>732,332</u>
Total net position	<u>\$ (1,033,804)</u>	<u>\$ (7,065,109)</u>	<u>\$ 135,909</u>	<u>\$ (7,963,004)</u>

The accompanying notes are an integral part of this financial statement.

**CITY OF RIPON**  
**STATEMENT OF REVENUES, EXPENSES AND CHANGES IN FUND BALANCES**  
**MODIFIED CASH BASIS – PROPRIETARY FUNDS**  
**JUNE 30, 2016**

3A

	Sewer Enterprise Fund	Water Enterprise Fund	Refuse Enterprise Fund	Total
Operating revenues:				
Charges for services:				
Water revenue	\$ -	\$ 2,568,475	\$ -	\$ 2,568,475
Sewer usage services	1,208,057	-	-	1,208,057
Refuse revenue	-	-	1,526,784	1,526,784
Total operating revenues	<u>1,208,057</u>	<u>2,568,475</u>	<u>1,526,784</u>	<u>5,303,316</u>
Operating expenses:				
Salaries and wages	307,638	367,787	449,285	1,124,710
Employee benefits	167,416	203,487	249,345	620,248
Professional services	95,776	485	9,306	105,567
Repairs and maintenance	103,042	91,676	128,749	323,467
Gas and oil	8,990	8,759	45,244	62,993
Utilities	123,990	310,369	2,618	436,977
Supplies	6,811	14,083	7,784	28,678
Conference expenses	4	4	29	37
Communications	1,773	2,437	2,077	6,287
Membership, dues, books, etc.	35,588	25,024	1,261	61,873
Postage	8,505	12,212	8,505	29,222
Refuse disposal	-	-	421,288	421,288
Other	4,757	9,219	8,814	22,790
Allocation of other department costs	164,367	233,725	224,485	622,577
Total operating expenses	<u>1,028,657</u>	<u>1,279,267</u>	<u>1,558,790</u>	<u>3,866,714</u>
Operating income	<u>179,400</u>	<u>1,289,208</u>	<u>(32,006)</u>	<u>1,436,602</u>
Nonoperating revenues (expenses):				
Property taxes	132,874	-	-	132,874
Interest revenue	5,118	9,386	2,324	16,828
Debt service - interest	(69,615)	(343,684)	-	(413,299)
Total nonoperating revenues (expenses)	<u>68,377</u>	<u>(334,298)</u>	<u>2,324</u>	<u>(263,597)</u>
Net income before contributions and transfers	<u>247,777</u>	<u>954,910</u>	<u>(29,682)</u>	<u>1,173,005</u>
Contributions/developer fees	353,675	831,257	59,557	1,244,489
Transfers in	-	-	-	-
Transfers out	(456,175)	(1,388,284)	(139,557)	(1,984,016)
Change in net position	145,277	397,883	(109,682)	433,478
Net position - beginning	<u>(1,179,081)</u>	<u>(7,462,992)</u>	<u>245,591</u>	<u>(8,396,482)</u>
Net position - ending	<u>\$ (1,033,804)</u>	<u>\$ (7,065,109)</u>	<u>\$ 135,909</u>	<u>\$ (7,963,004)</u>

The accompanying notes are an integral part of this financial statement.

**CITY OF RIPON**  
**STATEMENT OF FIDUCIARY NET POSITION – MODIFIED CASH BASIS**  
**PRIVATE-PURPOSE TRUST FUNDS**  
**JUNE 30, 2016**

	<u>Successor Agency Trust Fund</u>
<b>ASSETS</b>	
Cash and investments	\$ 1,034,395
Cash and investments - restricted	4,853,528
Loan due from capital projects fund	<u>2,085,648</u>
Total assets	<u>7,973,571</u>
<b>LIABILITIES</b>	
Due to State	1,297,266
Long-term liabilities:	
Debt due within one year	790,000
Debt due in more than one year	<u>25,040,000</u>
Total liabilities	<u>27,127,266</u>
<b>NET POSITION</b>	
Restricted for capital outlay	1,589,195
Restricted for debt service	1,967,066
Unrestricted	<u>(22,709,956)</u>
Total net position	<u>\$ (19,153,695)</u>

**CITY OF RIPON**  
**STATEMENT OF CHANGES IN FIDUCIARY NET POSITION – MODIFIED CASH BASIS**  
**PRIVATE-PURPOSE TRUST FUNDS**  
**FOR THE YEAR ENDED JUNE 30, 2016**

	Successor Agency Trust Fund
<b>ADDITIONS</b>	
Property taxes	\$ 2,555,948
Program income	619,741
Investment income	89,075
Total additions	3,264,764
<b>DEDUCTIONS</b>	
General operating	266,325
Debt service - interest	1,192,449
Total deductions	1,458,774
Change in net position	1,805,990
Total net position - beginning of year	(20,959,685)
Total net position - end of year	\$ (19,153,695)

**CITY OF RIPON  
NOTES TO THE BASIC FINANCIAL STATEMENTS  
JUNE 30, 2016**

**NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

The financial statements of the City of Ripon (the City) are presented on the modified cash basis of accounting. The modified cash basis of accounting differs from accounting principles generally accepted in the United States of America (GAAP). Generally accepted accounting principles include all relevant Governmental Accounting Standards Board (GASB) pronouncements.

**Financial Reporting Entity**

The City was incorporated on November 27, 1945, under the general laws of the State of California. The City operates under a Council-Manager form of government consisting of five elected council members including the Mayor and a city council appointed City Manager. The City provides the following services as authorized by its charter: public safety, sanitation, water utility, street maintenance, community development, library, parks and recreation, and general administrative services.

**Individual Component Unit Disclosures**

There are no entities which meet the Governmental Accounting Standards Board (GASB) Statement No. 14, as amended by GASB Statement No. 39 and GASB Statement No. 61 criteria for discrete disclosure within these basic financial statements.

**Basis of Presentation**

The government-wide financial statements (i.e. statement of net position and statement of activities) display information about the primary government (the City). These statements include the financial activities of the overall City government, except for fiduciary activities. Eliminations have been made to minimize the double counting of internal activities. These statements distinguish between the *governmental* and *business-type activities* of the City. Governmental activities generally are financed through taxes, intergovernmental revenues, and other nonexchange transactions. Business-type activities are financed in whole or in part by fees charged to external parties.

The Statement of Activities presents a comparison between direct and indirect expenses and program revenues for each segment of the business-type activities of the City and for each function of the City's governmental activities. Direct expenses are those that are specifically associated with a program or function and, therefore, are clearly identifiable to a particular function. Indirect expenses are allocated to functions based on the relativity of direct expenses by department. Program revenues include (a) charges paid by the recipients of goods or services offered by the programs, (b) grants and contributions that are restricted to meeting the operational needs of a particular program and (c) fees, grants and contributions that are restricted to financing the acquisition or construction of capital assets. Revenues that are not classified as program revenues, including all taxes, are presented as general revenues.

**CITY OF RIPON**  
**NOTES TO THE BASIC FINANCIAL STATEMENTS**  
**JUNE 30, 2016**

**NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)**

**Basis of Presentation (Continued)**

Fund financial statements of the reporting entity are organized into funds, each of which is considered to be a separate accounting entity. Each fund is accounted for by providing a separate set of self-balancing accounts that constitutes its assets, liabilities, fund equity, revenues, and expenditures/expenses. Funds are organized into three major categories: governmental, proprietary and fiduciary. An emphasis is placed on major funds within the governmental and proprietary categories. A fund is considered major if it is the primary operating fund of the City or meets the following criteria.

- a. Total assets, liabilities, revenues, or expenditures/expenses of that individual governmental or enterprise fund are at least 10 percent of the corresponding total for all funds of that category or type, and
- b. Total assets, liabilities, or expenditures/expenses of the individual governmental or enterprise fund are at least 5 percent of the corresponding total for all governmental and enterprise funds combined.

The funds of the financial reporting entity are described below:

**Governmental Funds**

The General Fund is the primary operating fund of the City and is always classified as a major fund. It is used to account for all activities except those legally or administratively required to be accounted for in other funds.

Special Revenue Funds are used to account for the proceeds of the specified revenue sources that are either legally restricted to expenditures for specified purposes or designated to finance particular functions or activities of the City. The reporting entity includes the following special revenue fund, which is reported as a major fund.

**Streets and Road Fund** – Accounts for revenues and expenditures for streets and roads.

Capital Projects Funds are used to account for resources restricted or designated for the acquisition or construction of specific capital projects or items. The reporting entity includes the following capital projects fund, of which is reported as a major fund:

**Capital Projects Fund** – Accounts for contributions and specific revenues and transfers from other City funds and expenditures for various capital projects as the City Council may designate.

**Proprietary Funds**

Enterprise Funds are used to account for business-like activities provided to the general public. These activities are financed primarily by user charges, and the measurement of financial activity focuses on net income measurement similar to the private sector. The reporting entity includes the following enterprise funds, water, sewer, and refuse funds, all of which qualify as major funds.

**Sewer Fund** – Accounts for activities associated with operating and maintaining the City's sewer and surface drainage system. All activities necessary to provide such services are accounted for in this fund, including administration, operations, capital improvements, maintenance, financing and related debt service, and billing and collection.

**NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)**

**Basis of Presentation (Continued)**

Proprietary Funds (Continued)

**Water Fund** – Accounts for the provision of water services to residents of the City. All activities necessary to provide such services are accounted for in this fund, including administration, operations, capital improvements, maintenance, financing and related debt service, and billing and collection.

**Refuse Fund** – Accounts for the provision of refuse collection services to residents of the City. All activities necessary to provide such services are accounted for in this fund, including administration, operations, capital improvements, maintenance, financing and related debt service, and billing and collection.

Proprietary fund operating revenues, such as charges for services, result from exchange transactions associated with the principal activity of the fund. Exchange transactions are those in which each party receives and gives up essentially equal values. Nonoperating revenues, such as subsidies and investment earnings, result from nonexchange transactions or ancillary activities. Contributions/developer fees are reported separately and represent capital contributions charged by the City. Operating expenses are those expenses essential to the primary operations of the fund. All other expenses are reported as nonoperating expenses.

Fiduciary Funds

Private-Purpose Trust Funds are established to serve as the custodian for assets held in a trustee or agency capacity for others and, therefore, cannot be used to support the City's own programs. The reporting entity includes on private-purpose trust fund.

**Successor Agency Trust Fund** – Accounts for activities related to the winding down of the former Redevelopment Agency.

Measurement Focus

In the Government-Wide Statement of Net Position and Statement of Activities, both governmental and business-like activities are presented using the economic resources measurement focus, within the limitations of the modified cash basis of accounting, as defined below.

In the fund financial statements, the "current financial resources" measurement focus or the "economic resources" measurement focus, as applied to the modified cash basis of accounting, is used as appropriate:

- a. All governmental funds utilize a "current financial resources" measurement focus. Only current financial assets and liabilities are generally included on their balance sheets. The operating statements present sources and uses of available spendable financial resources during a given period. These funds use fund balance as their measure of available spendable financial resources at the end of the period.
- b. The proprietary fund utilizes an "economic resources" measurement focus. The accounting objectives of this measurement focus are the determination of operating income, changes in net assets (or cost recovery), and financial position. All assets and liabilities (whether current or noncurrent, financial, or nonfinancial) associated with their activities are reported. Proprietary fund equity is classified as net position.

**NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)**

**Basis of Presentation (Continued)**

Measurement Focus (Continued)

- c. The fiduciary fund utilizes and “economic resources” measurement focus. The accounting objectives of this measurement focus are the determination of additions, deductions, changes in net position, and financial position. All assets and liabilities (whether current or noncurrent, financial, or nonfinancial) associated with their activities are reported. Fiduciary fund equity is classified as net position.

Basis of Accounting

In the Government-Wide Statement of Net Position and Statement of Activities, and the fund financial statements, governmental and business-like activities are presented using a modified cash basis of accounting. This is a basis of accounting other than accounting principles generally accepted in the United States of America (GAAP) as established by the Governmental Accounting Standards Board. The basis of accounting involves modification to the cash basis of accounting to report in the statement of net position cash transactions that result in an obligation that covers a period greater than the period in which the cash transaction occurred. Accordingly, long-term liabilities arising from cash transactions have been reported in the statement of net position.

This modified cash basis of accounting differs from GAAP primarily because certain assets and their related revenues (such as accounts receivable and revenue for billed or provided services not yet collected, and other accrued revenue and receivables) and certain liabilities and their related expenses or expenditures (such as accounts payable and expenses for goods or services received but not yet paid, and other accrued expenses and liabilities) are not recorded in these financial statements, with the exception of long-term liabilities arising from cash transactions. In addition, other economic assets, deferred outflows, liabilities, and deferred inflows that do not arise from a cash transaction or event (such as donated assets and postemployment benefit obligations) are not reported, and the measurement of reported assets and liabilities does not involve adjustment to fair value. Lastly, the City does not recognize its investment in capital assets in its statement of net position.

If the City utilized the basis of accounting recognized as generally accepted, the fund financial statements for governmental funds would use the modified accrual basis of accounting, while the fund financial statements for proprietary and fiduciary fund types would use the accrual basis of accounting. All government-wide financials would be presented on the accrual basis of accounting.

Cash, Cash Equivalents and Investments

For the purpose of financial reporting, “cash and cash equivalents” includes all demand and savings accounts and certificates of deposit or short-term investments with an original maturity date of three months or less. This also includes deposits with the State of California Local Agency Investment Fund (LAIF) and trust account investments in open-ended mutual fund shares.

Investments are stated at cost.

Restricted cash and investments includes proceeds from the 2012 refunding water revenue bonds and the 2006 water revenue bonds which are restricted for expansion of the wastewater treatment plant, upgrade of water facilities and for repayment of bonds. Additionally, proceeds from 2003, 2005 and 2007 tax allocation bonds are restricted for various capital projects and for payment of bonds. Restricted cash and investments also include proceeds from grants for which the funds have not been expended.

**CITY OF RIPON**  
**NOTES TO THE BASIC FINANCIAL STATEMENTS**  
**JUNE 30, 2016**

**NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)**

**Basis of Presentation (Continued)**

Long-term Debt

All long-term debt arising from cash basis transactions to be repaid from governmental and business-type resources is reported as liabilities in the government-wide financial statements.

Long-term debt arising from cash basis transactions of governmental funds is not reported as liabilities in the fund financial statements. The debt proceeds are reported as other financing sources and payment of principal and interest reported as expenditures. The accounting for proprietary funds is the same in the fund financial statements as the treatment in the government-wide financial statements.

Equity Classification

Equity in the government-wide statements is classified as net position and displayed in three components:

- a. Capital assets net of related debt – Consists of the outstanding balances of any bonds, mortgages, notes or other borrowings that are attributable to the acquisition, construction, or improvements of capital assets.
- b. Restricted – Consists of restricted assets reduced by liabilities with restriction constraints placed on the use either by external groups such as creditors, grantors, contributors, or laws and regulations of other governments; or law through constitutional provisions or enabling legislation.
- c. Unrestricted – Net amount of assets and liabilities that are not scheduled in the determination of net investment in capital assets on the restricted component of net position.

It is the City's policy to first use restricted net resources prior to the use of unrestricted net resources when an expense is incurred for purposes for which both restricted and unrestricted net resources are available.

In the fund financial statements, fund balance for governmental funds is reported in classifications that comprise a hierarchy based primarily on the extent to which the City is bound to honor constraints on the specific purpose for which amounts in the funds can be spent. Fund balance is reported in five components: nonspendable, restricted, committed, assigned and unassigned.

Nonspendable – Amounts that cannot be spent because they are either not in spendable form or legally or contractually required to be maintained intact.

Restricted – Amounts constrained regarding the use from restrictions externally imposed by creditors, grantors, contributors, or laws or regulations of other governments or by restrictions imposed by law through constitutional provisions or enabling legislation.

Committed – Amounts constrained regarding use for specific purposes pursuant to requirements imposed by formal action of the City's highest level of decision-making authority.

Assigned – Amounts constrained by the City's intent to be used for specific purposes, but are neither restricted nor committed. The authority of assigning fund balance is expressed by the City Council, City manager or their designee as established in the City's Fund Balance Policy.

Unassigned – Amounts that have not been restricted, committed or assigned to specific purposes within the General fund. The general fund is the only fund that reports a positive unassigned fund balance amount. Other governmental funds besides the general fund can only report a negative unassigned fund balance amount.

**CITY OF RIPON**  
**NOTES TO THE BASIC FINANCIAL STATEMENTS**  
**JUNE 30, 2016**

**NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)**

**Basis of Presentation (Continued)**

Equity Classification (Continued)

When both restricted and unrestricted resources are available for use, it is the City's policy to use restricted resources first, then unrestricted resources (committed, assigned and unassigned) as they are needed. When unrestricted resources (committed, assigned and unassigned) are available for use, it is the City's policy to use committed resources first, then assigned, and then unassigned as they are needed.

Proprietary fund equity is classified the same as in the government-wide financial statements.

Internal and Interfund Balances and Activities

In the process of aggregating the financial information for the Government-Wide Statement of Net Position and Statement of Activities, some amounts reported as interfund activity and balances in the fund financial statements have been eliminated or reclassified.

Interfund activity, if any, within and among the governmental, proprietary, and fiduciary fund categories is reported as follows in the fund financial statements:

1. Interfund loans – Amounts provided with a requirement for repayment are reported as interfund receivables and payables.
2. Interfund services – Sales or purchases of goods and services between funds are reported as revenues and expenditures/expenses.
3. Interfund reimbursements – Repayments from funds responsible for certain expenditures/expenses to the funds that initially paid for them are not reported as reimbursements but as adjustments to expenditures/expenses in the respective funds.
4. Interfund transfers – Flow of assets from one fund to another where repayment is not expected are reported as transfers in and out.

Interfund activity and balances, if any, are eliminated or reclassified in the government-wide statements as follows:

1. Internal balances – Amounts reported in the fund financial statements as interfund receivables and payables are eliminated in the governmental and business-type activities columns of the Statement of Net Assets, except for the net residual amounts due between governmental and business-type activities, which are reported as Internal Balances.
2. Internal activities – Amounts reported as interfund transfers in the fund financial statements are eliminated in the Government-Wide Statement of Activities except for the net amount of transfers between governmental and business-type activities, which are reported as Transfers - Internal Activities. The effects of interfund services between funds, if any, are not eliminated in the Statement of Activities.

Use of Estimates

The preparation of financial statements in conformity with the modified cash basis of accounting described in Note 1 used by the City requires management to make estimates and assumptions that affect certain reported amounts and disclosures; accordingly, actual results could differ from those estimates.

**CITY OF RIPON**  
**NOTES TO THE BASIC FINANCIAL STATEMENTS**  
**JUNE 30, 2016**

**NOTE 1 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)**

**Basis of Presentation (Continued)**

Budgetary Accounting

The City does not adopt an appropriated budget and is not required to adopt such a budget by law. However, the City does adopt a nonappropriated budget annually which is approved by the City Council. The budget for all governmental and proprietary funds is on the cash basis of accounting.

Property Taxes

Property taxes in the State of California are administered for all local agencies at the county level, and consist of secured, unsecured, and utility tax rolls. The following is a summary of major policies and practices relating to property taxes.

Property valuations are established by the Assessor of the County of San Joaquin for the secured and unsecured property tax rolls; the utility property tax rolls are valued by the State Board of Equalization. Under the provisions of Article XIII A of the State Constitution (Proposition 13 adopted by voters on June 6, 1978) properties are assessed at 100% of full value. From this based of assessment, subsequent annual increases in valuation are limited to a maximum of 2%. However, increases to full value are allowed for property improvements or upon change in ownership. Personal property is excluded from these limitations, and is subject to annual reappraisal.

Tax levies are limited to 1% of full value which results in a tax rate of \$1.00 per \$100 assessed valuation, under the provisions of Proposition 13. Tax rates for voter-approved indebtedness are excluded from this limitation.

Tax levy dates are attached annually on January 1 proceeding the fiscal year for which the taxes are levied. The fiscal year begins July 1 and ends June 30 of the following year. Taxes are levied on both real and unsecured personal property, as it exists at that time. Liens against real estate, as well as the tax on personal property, are not relieved by subsequent renewal or change in ownership.

Tax collections are the responsibility of the county tax collector. Taxes and assessments on secured and utility rolls, which constitute a lien against the property, may be paid in two installments. The first is due on November 1 of the fiscal year and is delinquent if not paid by December 10. The second is due on March 1 of the fiscal year and is delinquent if not paid by April 10. Unsecured personal property taxes do not constitute a lien against real property unless the taxes become delinquent. Payment must be made in one installment, which is delinquent if not paid by August 31 of the fiscal year. Significant penalties are imposed by the county for late payments.

The County of San Joaquin levies bills and collects property taxes and special assessments for the City. Property taxes levied are recorded as revenue when received, in the fiscal year of the levy, due to the adoption of the "alternate method of property tax distribution", known as the Teeter Plan, by the City of Ripon and the County of San Joaquin. The Teeter Plan authorizes the Auditor/Controller of the County of San Joaquin to allocate 100 percent of the secured property taxes billed, but not yet paid. The County of San Joaquin remits tax monies to the City in three installments as follows:

- 50 percent remitted in December
- 45 percent remitted in April
- 5 percent remitted in June

**CITY OF RIPON  
NOTES TO THE BASIC FINANCIAL STATEMENTS  
JUNE 30, 2016**

**NOTE 2 – CASH AND INVESTMENTS**

Cash and investments as of June 30, 2016 are classified in the accompanying financial statements as follows:

Statement of net position:

Governmental and proprietary funds:

Cash and investments	\$ 24,361,539
Cash and investments - restricted	<u>1,913,419</u>
	26,274,958

Fiduciary funds:

Cash and investments	1,034,395
Cash and investments - restricted	<u>4,853,528</u>
	5,887,923

Total cash and investments	<u><u>\$ 32,162,881</u></u>
----------------------------	-----------------------------

Cash and investments as of June 30, 2016 consist of the following:

Cash on hand	\$ 1,300
Deposits with financial institutions	221,887
Investments	<u>31,939,694</u>
	<u><u>\$ 32,162,881</u></u>

The City maintains a cash and investment pool that is available for use by all funds. Each fund type's portion of this pool is displayed on the statement of net position and balance sheet as "cash and investments". California statutes authorize cities to invest idle or surplus funds in a variety of credit instruments as provided for in the California Government Code Section 53600.

The Government Code and the City of Ripon Investment Policy allow investments in the following instruments:

Investment Type	Maximum Maturity	Authorized Limit %	Required Rating
Local Agency Bonds	5 years	None	None
U.S. Treasury Bills, Notes or Bonds	5 years	None	None
State Registered Warrants, Notes, or Bonds	5 years	None	None
Notes and Bonds of Other Local California Agencies	5 years	None	None
U.S. Agencies	5 years	None	None
Bankers Acceptances	180 days	40%	None
Prime Commercial Paper	270 days	15% or 30%	A1/P1
Negotiable Certificates of Deposit	5 years	30%	None
Repurchase and Reverse Purchase Agreements	1 yr/92 days	None/20%	None
Medium Term Corporate Notes	5 years	30%	A
Money Market Mutual Funds	5 years	15%	2-AAA
Collateralized Bank Deposits	5 years	None	None
Mortgage Pass-Through Securities	5 years	20%	AA
Local Agency Investment Fund (LAIF)	N/A	None	None
County Pooled Investment Funds	N/A	None	None
Funds Held Under the Terms of a Trust Indenture			
Indenture or Other Contract	*	*	*

\* Such funds may be invested according to the provisions of those indentures or agreements.

**CITY OF RIPON  
NOTES TO THE BASIC FINANCIAL STATEMENTS  
JUNE 30, 2016**

**NOTE 2 – CASH AND INVESTMENTS (CONTINUED)**

Disclosures Relating to Interest Rate Risk

Interest rate risk is the risk that changes in market interest rates will adversely affect the fair value of an investment. Generally, the longer the maturity of an investment, the greater the sensitivity of its fair value to changes in market interest rates. One of the ways that the City manages its exposure to interest rate risk is by purchasing a combination of shorter term and longer term investments and by timing cash flows from maturities as necessary to provide the cash flow and liquidity needed for operations.

Information about the sensitivity of the fair values of the City’s investments (including investments held by bond trustee) to market interest rate fluctuations is provided by the following table that shows the distribution of the City’s investments by maturity:

Investment Type	Total Cost	Fair Value	12 Months or Less	13-24 Months	25-36 Months	37-48 Months	49-60 Months	More than 60 Months
State Investment Pool	9,784,052	9,790,130	9,790,130	-	-	-	-	-
CSJV Pool	19,348,760	19,937,828	19,937,828	-	-	-	-	-
Held by Bond Trustees:								
Money Market Funds	2,435,144	2,435,144	2,435,144	-	-	-	-	-
Guaranteed Investment Contracts	<u>371,738</u>	<u>371,738</u>	<u>371,738</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total	<u>\$ 31,939,694</u>	<u>\$ 32,534,840</u>	<u>\$ 32,534,840</u>	<u>\$ -</u>				

Disclosures Relating to Credit Risk

Generally, credit risk is the risk that an issuer of an investment will not fulfill its obligation to the holder of the investment. This is measured by the assignment of a rating by a nationally recognized statistical rating organization. Presented below is the minimum rating required by (where applicable) the California Government Code, the City’s investment policy, or debt agreements, and the actual rating as of fiscal year end for each investment type.

Investment Type	Amount	Fair Value	Minimum Legal Rating	Exempt from Disclosure	Rating as of Fiscal Year-End			
					AAA	AA	A	Not Rated
State Investment Pool	9,784,052	9,790,130	N/A	-	-	-	-	9,790,130
CSJV Pool	19,348,760	19,937,828 *	N/A	-	-	-	-	19,937,828
Held by Bond Trustees:								
Money Market Funds	2,435,144	2,435,144	N/A	-	-	-	-	2,435,144
Guaranteed Investment Contracts	<u>371,738</u>	<u>371,738</u>	<u>N/A</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>371,738</u>
Total	<u>\$ 31,939,694</u>	<u>\$ 32,534,840</u>	<u>N/A</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ -</u>	<u>\$ 32,534,840</u>

\* Average rating of portfolio AA/aa1

**NOTE 2 – CASH AND INVESTMENTS (CONTINUED)**

Concentration of Credit Risk

The investment policy of the City contains limitations on the amount that can be invested in certain types of investments. The City's investments are concentrated in external investment pools which are not subject to investment limits.

Custodial credit risk for *deposits* is the risk that, in the event of failure of a depository financial institution, a government will not be able to recover its deposit or will not be able to recover collateral securities that are in the possession of an outside party. The custodial credit risk for *investments* is the risk that, in the event of failure of the counterparty (e.g. broker-dealer) to a transaction, a government will not be able to recover the value of its investment or collateral securities that are in the possession of another party. The California Government Code and the City's investment policy do not contain legal or policy requirements that would limit the exposure to custodial risk for deposits or investments, other than the following provisions for deposits. The California Government Code requires that a financial institution secure deposits made by state or local governmental units by pledging securities in an undivided collateral pool held by a depository regulated under state law (unless so waived by the governmental unit). The market value of the pledged securities in the collateral pool must equal at least 110% of the total amount deposited by the public agencies. California law also allows financial institutions to secure City deposits by pledging first trust deed mortgage notes having a value of 150% of the secured public deposits.

As of June 30, 2016, none of the City's deposits with financial institutions were in excess of federal depository insurance limits.

A description of the City's investments follows:

Investment in State Investment Pool

Although the City did not participate in any securities lending transactions or enter into any reverse repurchase agreements during the year, the City does have an investment in the California Local Agency Investment Fund (LAIF) with fair value in the amount of \$9,790,130. The total amount invested by all public agencies in the LAIF is \$75,368,904,612, of which 1.55% is invested in medium-term and short-term structured notes and asset-backed securities. The Local Investment Advisory Board (Board) has oversight responsibility for the LAIF. The Board consists of five members as designated by state statute. The value of the pool shares in the LAIF, which may be withdrawn, is determined on an amortized cost basis, which is different than the fair value of the City's portion in the pool. Included in the LAIF's Investment Portfolio are United States Treasury and federal agency securities, International Bank for Reconstruction and Development federal agency floating rate debentures, bank notes, certificates of deposit, commercial paper, corporate floaters and bonds, time deposits, and California Assembly Bill 55 and State of California General Fund loans. Participant's equity in the LAIF is determined by the dollar amount at the participant's deposits, adjusted for withdrawals and distributed investment income. The State Treasurer's investment policy for the LAIF and separately issued financial statements for the LAIF are available at <http://www.treasurer.ca.gov/pmia-laif/>.

CSJV Pool

The City maintains an investment of \$19,348,760 in the Central San Joaquin Valley RMA Pool as of June 30, 2016. The total amount invested by all participants in the pool is \$78,386,906. The value of the pool shares which may be withdrawn is determined on an amortized cost basis, which is different than the fair value of the City's portion in the pool. The fair value of the City's share of the pool is determined monthly and is \$19,937,828 as of June 30, 2016. The pool is not registered with the Securities Exchange Commission; however, it is managed by a registered investment advisor. Participation in the pool is voluntary. The pool's average maturity is 2.65 years and the average rating is AA+/Aa1. The pool consists of 68% Securities of U.S. Government Agencies and 22% U.S. Corporate Obligations and 10% other. The pool complies with the City's investment policy.

**CITY OF RIPON  
NOTES TO THE BASIC FINANCIAL STATEMENTS  
JUNE 30, 2016**

**NOTE 2 – CASH AND INVESTMENTS (CONTINUED)**

Guaranteed Investment Contracts

The City invested \$371,738 (held by bond trustee) in a Guaranteed Investment Contract (GIC), yielding 3.31% annually and ends January 1, 2017. Interest is received semi-annually. The GIC was established as the reserve account for the Tax Allocation Bonds, Issue of 2003, which matures on November 1, 2032.

**NOTE 3 – INTERFUND TRANSACTIONS**

Interfund transactions consist of the following:

Transfers Between Funds

Resources may be transferred from one City fund to another with Council approval. The purpose of the majority of transfers is to reimburse a fund which has made an expenditure on behalf of another fund. Less often, a transfer may be made to open or close a fund.

Transfers between funds during the year ended June 30, 2016 were as follows:

<u>Fund</u>	<u>Transfers In</u>	<u>Transfers Out</u>
Major Governmental Funds:		
General Fund	\$ 100,000	\$ 2,164,197
Capital Projects Fund	3,474,281	-
Major Special Revenue Fund:		
Streets and Roads	1,583,551	29,667
Major Proprietary Funds:		
Sewer Enterprise	-	456,175
Water Enterprise	-	1,388,284
Refuse Enterprise	-	139,557
Nonmajor Governmental Funds:		
Special Revenue Funds:		
2103 Fund	-	100,000
2105 Fund	-	100,000
2106 Fund	-	125,000
2107 Fund	-	235,000
Measure K	-	200,000
Country Woods	35,426	-
Farmland Maintenance	5,013	-
Main Street	64,609	-
COG/LTF	-	325,000
	<u>                    </u>	<u>                    </u>
Total interfund transfers	<u>\$ 5,262,880</u>	<u>\$ 5,262,880</u>

**NOTE 3 – INTERFUND TRANSACTIONS (CONTINUED)**

Transfers Between Funds (Continued)

In general, the City uses interfund transfers to:

- Transfer unrestricted revenues collected in the General Fund to help finance various programs and capital projects accounted for in other funds
- Transfer unrestricted revenues collected in the General Fund to help cover excess expenditures over revenues accounted for in other funds
- Fund operations (move revenues from the funds that collect them to the funds that statute or budget requires to expend them)
- Fund interdepartmental cost reimbursement
- Fund capital projects

The effect of the interfund activity has been eliminated from the government-wide financial statements.

Internal Balances

Internal balances represent the net interfund receivables and payables remaining after the elimination of all such balances within governmental, business-type activities and fiduciary funds.

**NOTE 4 – LOAN DUE TO PRIVATE-PURPOSE TRUST FUND**

In 2011, the City entered into a loan agreement between the Redevelopment Agency and the City of Ripon. The borrower shall repay the Redevelopment Agency, the principal sum of up to five million dollars (\$5,000,000) and pay interest on the outstanding balance of said sum at the rate of 0.4625% (the LAIF rate as of December 2010) for the first year. Thereafter, at the end of each calendar year, the interest rate may be reset according to the December LAIF rate (0.37% at December 2015). A minimum of fifty percent (50%) of all development impact (PFFP) paid in connection with building activity of the City of Ripon for the same calendar year shall be applied towards the loan. Only after the interest accrued for that calendar year has been paid, shall the outstanding balance be reduced. During the current year, the full payment of principal and interest outstanding was due as of February 1, 2016, however the City has not made this repayment and continues to work with the Department of Finance to settle the liability.

As of February 1, 2012, the Redevelopment Agency was dissolved and the assets and activities of the Redevelopment Agency were transferred to a private-purpose trust fund (Successor Agency Trust). (See Note 13.)

**CITY OF RIPON  
NOTES TO THE BASIC FINANCIAL STATEMENTS  
JUNE 30, 2016**

**NOTE 5 – LONG-TERM DEBT**

The City incurs long-term debt to finance projects or purchase assets which will have useful lives equal to or greater than the related debt.

In governmental fund types, debt discounts and issuance costs are recognized in the current period. Debt discounts and issuance costs incurred by proprietary fund types have also been recorded in the period incurred in accordance with the modified cash basis of accounting.

**Current Year Transactions and Balances**

The City's debt issues and transactions are summarized below and discussed in further detail thereafter:

	Original Amount	Balance June 30, 2015	Additions	Reductions	Transfers	Balance June 30, 2016	Current Year
Business-Type Activity Debt <i>Enterprise long-term debt</i>							
2011 Refunding Revenue Bonds (Sewer)	\$ 2,195,000	\$ 1,720,000	\$ -	\$ 125,000	\$ -	\$ 1,595,000	\$ 130,000
2006 Revenue Bonds (Water)	7,845,000	6,250,000	-	240,000	-	6,010,000	250,000
2012 Refunding Revenue Bonds (Water)	<u>3,350,000</u>	<u>2,970,000</u>	<u>-</u>	<u>200,000</u>	<u>-</u>	<u>2,770,000</u>	<u>200,000</u>
	<u>\$ 13,390,000</u>	<u>\$ 10,940,000</u>	<u>\$ -</u>	<u>\$ 565,000</u>	<u>\$ -</u>	<u>\$ 10,375,000</u>	<u>\$ 580,000</u>

**Revenue Bonds**

Enterprise fund long-term debt outstanding as of June 30, 2016 consists of the following:

In 2011, the City entered into an Installment Purchase Agreement with Bank of America Leasing to refund the City's portion of the California Statewide Communities Development Authority Water and Wastewater Revenue Bonds (Pooled Financing Program) Series 1999A. The original borrowing was \$2,195,000. The bonds are secured by a pledge of net revenues of the Sewer Enterprise Fund. The Sewer Enterprise Fund is required to establish user fees and rates that will yield net revenues equal to at least 1.2 times the annual debt service. Principal payments are due annually on October 1. Interest payments are payable semi-annually on April 1 and October 1.

In August 2006, the City issued \$7,845,000 California Statewide Communities Development Authority Water Revenue Bonds Series 2006C. The bonds are secured by a pledge of net revenues of the Water Enterprise Fund. The Water Enterprise Fund is required to establish user fees and rates that will yield net revenues equal to at least 1.2 times the annual debt service. Principal payments are due annually on October 1. Interest payments are payable semi-annually on April 1 and October 1.

In September 2012, the City entered into an agreement with Union Bank, N.A. to refund the City's portion of the California Statewide Community Development Authority Water and Wastewater Revenue Bonds (Pooled Financing Agreement) Series 2002A. The original borrowing was \$3,350,000. The bonds are secured by a pledge of net revenues of the Water Enterprise Fund. The Water Enterprise Fund is required to establish user fees and rates that will yield net revenues equal to at least 1.2 times the annual debt service. Principal payments are due annually on October 1. Interest payments are payable semi-annually on April 1 and October 1.

**CITY OF RIPON  
NOTES TO THE BASIC FINANCIAL STATEMENTS  
JUNE 30, 2016**

**NOTE 5 – LONG-TERM DEBT (CONTINUED)**

Revenue Bonds (Continued)

Debt Outstanding as of June 30, 2016 consists of the following:

	<u>Interest Rate</u>	<u>Maturity Date</u>	<u>Amounts Issued</u>	<u>Outstanding</u>
<u>Business-Type Activity Debt</u>				
Enterprise Fund Bonds				
2011 Refunding Wastewater Revenue Bonds (Sewer)				
Term Bonds	4.20%	October 1, 2025	\$ 2,195,000	\$ 1,595,000
2006 Revenue Bonds (Water)				
Serial Bonds	3.625%-4.30%	October 1, 2021	3,475,000	1,640,000
Term Bonds	4.50%	October 1, 2027	2,120,000	2,120,000
Term Bonds	4.50%	October 1, 2032	2,250,000	2,250,000
			<u>7,845,000</u>	<u>6,010,000</u>
2012 Refunding Revenue Bonds (Water)				
Serial Bonds	2.00%-3.375%	October 1, 2027	3,350,000	2,770,000
			<u>\$ 13,390,000</u>	<u>\$ 10,375,000</u>

Annual debt service requirements are shown below for all long-term debt:

<u>For the Year Ending June 30,</u>	<u>Principal</u>	<u>Interest</u>
2017	\$ 580,000	\$ 399,324
2018	600,000	379,916
2019	620,000	359,636
2020	650,000	338,464
2021	665,000	315,834
2022-2026	3,715,000	1,181,294
2027-2031	2,585,000	520,066
2032-2036	960,000	65,250
Total	<u>\$ 10,375,000</u>	<u>\$ 3,559,784</u>

**CITY OF RIPON  
NOTES TO THE BASIC FINANCIAL STATEMENTS  
JUNE 30, 2016**

**NOTE 6 – SITE LEASE**

On October 11, 2006, the City entered into a lease-financing agreement with Municipal Finance Corporation to facilitate the purchase of street lighting. Municipal Finance Corporation assigned the lease to Westamerica Bank, which in turn leases the property to the City. Westamerica prepaid all rental payments totaling \$420,422 in November 2006.

Future minimum annual rental expense on the sublease with Westamerica Bank follows:

Year Ending June 30,		
2017	\$	39,147
2018		39,147
2019		39,147
2020		39,147
2021		39,147
2022		39,147
Total	\$	234,882

Rental expense related to the site totaled \$39,147 for the year ended June 30, 2016.

**NOTE 7 – NET POSITION AND FUND BALANCES**

Net position and fund balances consist of the following:

Net Position

Net Position in the Government-Wide Financial Statements represents the excess of all the City's assets over all its liabilities, regardless of fund. Net Position is divided into three captions, described as follows:

*Capital Assets, net of related debt* – Describes the portion of net position which is represented by the current net book value of the City's capital assets, less the outstanding balance of any debt issued to finance these assets. However, the City has not capitalized capital assets. Therefore, this caption reflects only the outstanding debt used to finance capital assets.

*Restricted net position* – Describes the portion of net position which is restricted as to use by the terms and conditions of agreements with outside parties, governmental regulations, laws, or other restrictions which the City cannot unilaterally alter. These include developer fees and intergovernmental revenue received for use on capital projects.

*Unrestricted* – Describes the portion of net position which is not restricted as to use.

Fund Balances

In the fund financial statements, fund balances represent the net current assets of each fund. Portions of a fund balance may be restricted.

Restrictions are placed by outside entities, such as other governments, which restrict the expenditures of the reserved funds to the purpose intended by the entity which provides the funds.

Restrictions for capital outlay are the portions of unspent bond proceeds reserved for capital projects, or unspent proceeds from grants from other governments.

**CITY OF RIPON  
NOTES TO THE BASIC FINANCIAL STATEMENTS  
JUNE 30, 2016**

3A

**NOTE 7 – NET POSITION AND FUND BALANCES (CONTINUED)**

Fund Balance and Net Position Deficits

*Major Funds*

The Sewer Enterprise Fund and the Water Enterprise Fund had net position (deficits) of \$1,033,804 and \$7,065,109, respectively, at June 30, 2016. These deficits are due to the City incurring costs in excess of revenues and are expected to be offset in the future from the receipts of revenues from charges for services and construction permits.

*Nonmajor Funds*

A deficit fund balance of \$10,437 exists in the Community Development Block Grant Fund. The deficit is due to the City incurring costs that have not been reimbursed as of June 30, 2016. The fund deficit is expected to be offset in the future from the receipt of grant revenue.

A deficit fund balance of \$118,287 exists in the Ripon Lighting Assessment District Fund. The deficit is due to the City incurring costs in advance of revenues. The fund deficit is expected to be offset in the future from the receipt of assessment revenue.

**NOTE 8 – EMPLOYEE RETIREMENT SYSTEMS**

**Defined Contribution Plan**

The City provides pension benefits for all of its permanent full-time employees, who completed six consecutive months of employment, through the City of Ripon Money Purchase Thrift Pension Plan (the Contribution Plan), a defined contribution plan, with the exception of public safety officers. On April 4, 1990, the public safety officers elected to participate in the California Public Employees Retirement System. In a defined contribution plan, benefits depend solely on amounts contributed to the plan plus investment earnings. As of June 30, 2016, there were 58 plan members. The Contribution Plan provisions and contribution requirements are established and may be amended by the City of Ripon City Council. The City contributes 10% of the total compensation of all participants who agree to contribute 5% of their compensation to the plan. The City entered into a Memorandum of Understanding with the Union representing its employees which requires the City to “pick up” the 5% employee contribution. All such contributions are designated as employee contributions and are fully vested. The Contribution Plan also allows additional employee contributions up to 6.6% of annual compensation, and the City will match such contributions at a 50% rate up to 3.3% of the qualified employee’s annual compensation.

The trust agreement provides that each participant directs the investments held in his/her individual account. Participants are immediately vested in their contributions plus actual earnings thereon. The City’s contributions for each employee and interest allocated to the employee’s account are fully vested after six years of continuous service. City contributions for, and interest forfeited by, employees who leave employment before six years of service are redistributed to all participants based on salary and vesting levels with the exception of the additional contributions up to 6.6% of annual compensation referenced above, which are immediately fully vested.

The City’s total payroll in fiscal year 2016 was \$5,832,107. The City’s contributions were calculated using the base salary amount of \$3,219,167 for employees participating in the City’s defined contribution plan. The total employee and employer contributions for the year ended June 30, 2016 were \$218,385 and \$482,875, respectively. The employee and employer contributions represented 6.78% and 15% of covered payroll, respectively.

**CITY OF RIPON  
NOTES TO THE BASIC FINANCIAL STATEMENTS  
JUNE 30, 2016**

**NOTE 8 – EMPLOYEE RETIREMENT SYSTEMS (CONTINUED)**

**Defined Contribution Plan (Continued)**

The Contribution Plan's investments are reported at fair value in the separately issued plan financial Statements. The methods used to measure fair value may produce an amount that may not be indicative of net realizable value or reflective future fair values. Furthermore, although the Contribution Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The fair value measurement accounting literature establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. This hierarchy consists of three broad levels: Level 1 inputs consist of unadjusted quoted prices in active markets for identical assets and have the highest priority; Level 2 inputs are from other than quoted prices included in Level 1 that are observable for the asset or liability, either directly or indirectly; and Level 3 inputs are unobservable and have the lowest priority. The Contribution Plan uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments. When available, the Contribution Plan measures fair value using Level 1 inputs because they generally provide the most reliable evidence of fair value. Level 3 inputs were used only when Level 1 or Level 2 inputs were not available.

*Level 1 Fair Value Measurements*

The fair value of registered investment companies is based on quoted net asset values of the shares held by the Plan at year-end.

The following tables set forth, by level within the fair value hierarchy, the Plan's investments at fair value as of June 30, 2016:

	Fair Value	Fair Value Measurements Using Quoted Prices in Active Markets for Identical Assets (Level1)
Cash and cash equivalents	\$ 3,632,199	\$ 3,632,199
U.S. Government securities	218,530	218,530
Corporate obligations	1,067,571	1,067,571
Mutual funds	3,085,831	3,085,831
Common and preferred stock	1,742,543	1,742,543
	\$ 9,746,674	\$ 9,746,674

**CITY OF RIPON  
NOTES TO THE BASIC FINANCIAL STATEMENTS  
JUNE 30, 2016**

**NOTE 8 – EMPLOYEE RETIREMENT SYSTEMS (CONTINUED)**

**California Public Employees Retirement System**

**Plan Descriptions** – All public safety officers are eligible to participate in the City’s Safety Employee Pension Plan (the Plan), a cost-sharing multiple employer defined benefit pension plan administered by the California Public Employees’ Retirement System (CalPERS). Benefit provisions under the plan are established by State statute and City resolution. CalPERS issues publicly available reports that include a full description of the pension plans regarding benefit provisions, assumptions and membership information that can be found on the CalPERS website.

**Benefits Provided** – CalPERS provides service retirement and disability benefits, annual cost of living adjustments and death benefits to plan members, who must be public employees and beneficiaries. Benefits are based on years of credited service, equal to one year of full time employment. Members with five years of total service are eligible to retire at age 50 with statutorily reduced benefits. All members are eligible for non-duty disability benefits after 10 years of service. The death benefit is one of the following: the Basic Death Benefit, the 1957 Survivor Benefit, or the Optional Settlement 2W Death Benefit. The cost of living adjustments for each plan are applied as specified by the Public Employees’ Retirement Law.

The Plans’ provisions and benefits in effect at June 30, 2016 are summarized as follows:

	<b>Safety - Tier 1</b>	
	Prior to	On or after
	<u>January 1, 2013</u>	<u>January 1, 2013</u>
Hire date		
Benefit formula	3% @ 50	2.7% @ 57
Benefit vesting schedule	5 years service	5 years service
Benefit payments	monthly for life	monthly for life
Retirement age	50	50 - 57
Monthly benefits, as a % of eligible compensation	3.000%	2.000% to 2.700%
Required employee contribution rates	9.000%	11.500%
Required employer contribution rates	17.557%	11.153%
	<b>Safety - Tier 2</b>	
	Prior to	On or after
	<u>January 1, 2013</u>	<u>January 1, 2013</u>
Hire date		
Benefit formula	3% @ 55	2.7% @ 57
Benefit vesting schedule	5 years service	5 years service
Benefit payments	monthly for life	monthly for life
Retirement age	50 - 55	50 - 57
Monthly benefits, as a % of eligible compensation	2.400% to 3.000%	2.000% to 2.700%
Required employee contribution rates	9.000%	11.500%
Required employer contribution rates	15.627%	11.153%

**CITY OF RIPON  
NOTES TO THE BASIC FINANCIAL STATEMENTS  
JUNE 30, 2016**

**NOTE 8 – EMPLOYEE RETIREMENT SYSTEMS (CONTINUED)**

**California Public Employees Retirement System (Continued)**

**Contributions** – Section 20814c of the California Public Employees’ Retirement Law requires that the employer contribution rates for all public employers be determined on an annual basis by the actuary and shall be effective on the July 1 following notice of a change in the rate. Funding contributions for both Plans are determined annually on an actuarial basis as of June 30 by CalPERS. The actuarially determined rate is the estimated amount necessary to finance the costs of benefits earned by employees during the year, with an additional amount to finance any unfunded accrued liability. The City is required to contribute the difference between the actuarially determined rate and the contribution rate of employees.

For the year ended June 30, 2016, the contributions recognized as part of pension expense for each Plan were as follows:

	<u>Safety</u>
Contributions - employer	\$ 507,837
Contributions - employee (paid by employer)	-

**NOTE 9 – DEFERRED COMPENSATION PLAN AND TRUST**

The City offers its employees a deferred compensation plan created in accordance with Internal Revenue Code Section 457. The Plan, available to all City employees, permits them to defer a portion of their salary until future years. The deferred compensation is not available to employees until termination, retirement, death, or unforeseeable emergency.

All amounts of compensation deferred under the plan, all property and rights purchased with those amounts, and all income attributable to those amounts, property, or rights (until paid or made available to the employee or other beneficiary) are solely the property and rights of the employees. Accordingly, the plan/trust assets have been excluded from the City’s reported assets.

**NOTE 10 – RISK MANAGEMENT**

The City is exposed to various risks of loss related to torts, errors and omissions and injuries to employees. The City participates in a pooled liability program for general liability and workers compensation through the Central San Joaquin Valley Risk Management Authority (Authority). The pooled liability program is an insurance pool provided through the deposits of approximately 58 member cities. The Authority is not an insurance company and does not charge a premium for coverage. Audited financial statements are available from the Central San Joaquin Valley Risk Management Authority at 1750 Creekside Oaks Drive, Suite, 200, Sacramento, CA 95833.

**General Liability**

The liability coverage provided by the Authority is completely self-insured by its member cities and all losses and related costs are shared among the other member cities in the pool above the member City’s retained limit of \$50,000 for the fiscal year ended June 30, 2016. The Authority determines the amount of risk each member city brings to the pool and calculates a relative risk distribution factor. The unobligated balance of program years 2011 through 2015 is \$25,328 as of June 30, 2015 (most recent information). At the time the respective program year is closed, any surplus will be refunded to the City and net deficits will be billed to the City. The City financial statements are prepared on the modified cash basis of accounting and, accordingly, a liability for net fund deficits, if any, on open program years has not been recorded. Disbursements to the Authority for general liability coverage during the year ended June 30, 2016 were \$140,924.

**NOTE 10 – RISK MANAGEMENT (CONTINUED)**

*Workers' Compensation*

The workers' compensation coverage is provided by the Authority through a pooling feature which requires the member cities to self-insure a portion of each claim. Sharing of the risk is accomplished by forming pooled layers above the member city's retained limit of \$50,000 for the fiscal year ended June 30, 2016. The unobligated balance is \$75,018 as of June 30, 2015 (most recent information). At the time the respective program year is closed any surplus will be refunded to the City and net deficits will be billed to the City. The Pooled Workers' Compensation Program participates in Local Agency Workers' Compensation Joint Powers Authority (LAWCX).

Members of LAWCX risk share the layer between \$2,000,000 and \$5,000,000 (most recent information). Effective July 1, 2003, LAWCX joined the California State Association of Counties Excess Insurance Authority (CSAC EIA), a joint powers authority comprised of a number of individual public entities and other joint power authorities. CSAC EIA provides coverage above \$5,000,000. The City's financial statements are prepared on the modified cash basis of accounting and, accordingly, a liability for net fund deficits, if any, on open program years has not been recorded. Disbursements to the Authority for workers' compensation coverage during the year ended June 30, 2016 were \$395,651.

**NOTE 11 – CONTINGENCIES**

*Grant Program Involvement*

In the normal course of operations, the City participates in various federal or state grant/loan programs from year to year. The grant/loan programs are often subject to additional audits by agents of the granting or loaning agency, the purpose of which is to ensure compliance with the specific conditions of the grant or loan. Any liability or reimbursement that may arise as a result of these audits cannot be reasonably determined at this time, although it is believed the amount, if any, would not be material.

**NOTE 12 – REVENUE LIMITATIONS IMPOSED BY CALIFORNIA PROPOSITION 218**

Proposition 218, which was approved by the voters in November 1996, regulates the City's ability to impose, increase, and extend taxes, assessments and fees. Any new increased or extended taxes, assessments and fees subject to the provisions of Proposition 218, require voter approval before they can be implemented. Additionally, Proposition 218 provides that these taxes, assessments and fees are subject to the voter initiative process and may be rescinded in the future years by the voters.

**NOTE 13 – SUCCESSOR AGENCY TRUST FOR ASSETS OF FORMER REDEVELOPMENT AGENCY**

On December 29, 2011, the California Supreme Court upheld Assembly Bill 1X 26 ("the Bill") that provides for the dissolution of all redevelopment agencies in the State of California. This action impacted the reporting entity of the City of Ripon that previously had reported a redevelopment agency within the reporting entity of the City as a blended component unit.

The Bill provides that upon dissolution of a redevelopment agency, either the city or another unit of local government will agree to serve as the "successor agency" to hold the assets until they are distributed to other units of state and local governments. On January 17, 2012, the City Council elected to become the Successor Agency for the former redevelopment agency in accordance with the Bill as part of City Resolution Number 12-7.

**CITY OF RIPON  
NOTES TO THE BASIC FINANCIAL STATEMENTS  
JUNE 30, 2016**

**NOTE 13 – SUCCESSOR AGENCY TRUST FOR ASSETS OF FORMER REDEVELOPMENT AGENCY  
(CONTINUED)**

After enactment of the law, which occurred on June 28, 2011, redevelopment agencies in the State of California cannot enter into new projects, obligations or commitments. Subject to the control of a newly established oversight board, remaining assets can only be used to pay enforceable obligations in existence at the date of dissolution (including the completion of any unfinished projects that were subject to legally enforceable contractual commitments).

In future fiscal years, successor agencies will only be allocated revenue in the amount that is necessary to pay the estimated annual installment payments on enforceable obligations of the former redevelopment agency until all enforceable obligations of the prior redevelopment agency have been paid in full and all assets have been liquidated.

The Bill directs the State Controller of the State of California to review the propriety of any transfers of assets between redevelopment agencies and other public bodies that occurred after January 1, 2011. If the public body that received such transfers is not contractually committed to a third party for the expenditure or encumbrance of those assets, the State Controller is required to order the available assets to be transferred to the public body designated as the successor agency by the Bill.

In accordance with the timeline set forth in the Bill (as modified by the California Supreme Court on December 29, 2011) all redevelopment agencies in the State of California were dissolved and ceased to operate as a legal entity as of February 1, 2012.

After the date of dissolution, the assets and activities of the dissolved redevelopment agency are reported as fiduciary funds (private-purpose trust funds) in the financial statements of the City.

Long-Term Debt

The Successor Agency's debt issues and transactions are summarized below and discussed in detail thereafter:

	Original Amount	Balance June 30, 2015	Additions	Reductions	Balance June 30, 2016	Current
<b>Fiduciary Fund</b>						
Former Redevelopment Agency of the City of Ripon						
2003 Tax Allocation Bonds	\$ 6,320,000	\$ 5,130,000	\$ -	\$ 130,000	\$ 5,000,000	\$ 135,000
2005 Tax Allocation Bonds	5,660,000	4,740,000	-	120,000	4,620,000	125,000
2007 Tax Allocation Refunding Bonds	<u>20,395,000</u>	<u>16,720,000</u>	<u>-</u>	<u>510,000</u>	<u>16,210,000</u>	<u>530,000</u>
	<u>\$ 32,375,000</u>	<u>\$ 26,590,000</u>	<u>\$ -</u>	<u>\$ 760,000</u>	<u>\$ 25,830,000</u>	<u>\$ 790,000</u>

Tax Allocation Bonds

In 2003, the Former Redevelopment Agency of the City of Ripon issued Tax Allocation Bonds in the amount of \$6,320,000 to finance redevelopment projects. Agency tax increment revenue is pledged for the repayment of these Bonds. Principal and interest are payable semi-annually each May 1 and November 1.

In 2005, the Former Redevelopment Agency of the City of Ripon issued Tax Allocation Bonds in the amount of \$5,660,000 to finance redevelopment projects. Agency tax increment revenue is pledged for the repayment of these Bonds. Principal and interest are payable semi-annually each May 1 and November 1.

**CITY OF RIPON  
NOTES TO THE BASIC FINANCIAL STATEMENTS  
JUNE 30, 2016**

**NOTE 13 – SUCCESSOR AGENCY TRUST FOR ASSETS OF FORMER REDEVELOPMENT AGENCY  
(CONTINUED)**

*Tax Allocation Bonds (Continued)*

In 2007, the Former Redevelopment Agency of the City of Ripon, Ripon Community Redevelopment Project issued Tax Allocation Bonds in the amount of \$20,395,000 to provide funds for certain redevelopment projects and to defease 2000 Tax Allocation Bonds. The bond issue included \$11,025,000 of serial bonds with interest rates ranging from 4% to 4.4% with maturities ranging from 2007 through 2027, \$4,300,000 of term bonds with an interest rate of 4.5% maturing in 2034 and \$5,070,000 of escrow term bonds with an interest rate of 4.5% maturing in 2034 and \$5,070,000 of escrow term bonds with an interest rate of 4.75% maturing in 2036. The bonds maturing on or after November 1, 2018 may be called before maturity and redeemed at the option of the Former Redevelopment Agency of the City of Ripon, in whole or in part from proceeds of refunding bonds or other available funds, on November 1, 2017 or on any date thereafter. The net proceeds of the 2007 Tax Allocation Refunding Bonds were \$19,581,738, after paying issuance costs of \$813,262. The Former Redevelopment Agency of the City of Ripon deposited \$10,066,703, representing a portion of net proceeds from the issuance of the 2007 Tax Allocation Refunding Bonds and \$806,580 representing funds held by trustee relating to the 2000 Tax Allocation Bonds into an irrevocable trust with an escrow agent for investment in U.S. government securities to defease the 2000 Tax Allocation Bonds. The funds deposited with the escrow agent were used to pay the principal and interest on the refunded bonds on November 1, 2010, at a redemption price equal to 102% of par.

Fiduciary Fund debt outstanding as of June 30, 2016 consists of the following:

<u>Fiduciary Fund</u>	<u>Interest Rate</u>	<u>Maturity Date</u>	<u>Amounts Issued</u>	<u>Outstanding</u>
<b>Redevelopment Agency 2003</b>				
<b>Tax Allocation Bonds</b>				
Serial Bonds	3.65%-4.55%	Nov. 1, 2020	\$ 2,060,000	\$ 740,000
Term Bonds	4.45%	Nov. 1, 2027	1,345,000	1,345,000
Term Bonds	4.75%	Nov. 1, 2032	<u>2,915,000</u>	<u>2,915,000</u>
			<u>6,320,000</u>	<u>5,000,000</u>
<b>Redevelopment Agency 2005</b>				
<b>Tax Allocation Bonds</b>				
Serial Bonds	3.50%-3.625%	Nov. 1, 2013	805,000	-
Term Bonds	3.00%	Nov. 1, 2017	485,000	250,000
Term Bonds	5.00%	Nov. 1, 2025	1,230,000	1,230,000
Term Bonds	4.75%	Nov. 1, 2035	<u>3,140,000</u>	<u>3,140,000</u>
			<u>5,660,000</u>	<u>4,620,000</u>
<b>Redevelopment Agency 2007</b>				
<b>Tax Allocation Bonds</b>				
Serial Bonds	4.00%-5.00%	Nov. 1, 2027	11,025,000	6,840,000
Term Bonds	4.50%	Nov. 1, 2034	4,300,000	4,300,000
Term Bonds	4.75%	Nov. 1, 2036	<u>5,070,000</u>	<u>5,070,000</u>
			<u>20,395,000</u>	<u>16,210,000</u>
			<u>\$ 32,375,000</u>	<u>\$ 25,830,000</u>

**CITY OF RIPON  
NOTES TO THE BASIC FINANCIAL STATEMENTS  
JUNE 30, 2016**

**NOTE 13 – SUCCESSOR AGENCY TRUST FOR ASSETS OF FORMER REDEVELOPMENT AGENCY  
(CONTINUED)**

Annual debt service requirements are shown below for fiduciary fund long-term debt:

For the Year Ending June 30,	Fiduciary Activities	
	Principal	Interest
2017	\$ 790,000	\$ 1,166,930
2018	815,000	1,133,183
2019	855,000	1,098,120
2020	890,000	1,060,861
2021	935,000	1,021,706
2022-2026	5,315,000	5,531,879
2027-2031	6,645,000	4,514,538
2032-2036	7,910,000	2,702,475
2037-2041	<u>1,675,000</u>	<u>401,466</u>
Total	<u>\$ 25,830,000</u>	<u>\$ 18,631,158</u>

**NOTE 14 – SUBSEQUENT EVENTS**

Management of the City has evaluated subsequent events through September 12, 2016, the date these financial statements were available to be issued, and has determined there were no material events requiring disclosure.

**SUPPLEMENTARY INFORMATION**

**CITY OF RIPON**  
**COMBINING BALANCE SHEET – MODIFIED CASH BASIS**  
**NONMAJOR GOVERNMENTAL FUNDS**  
**JUNE 30, 2016**

	2103 Fund	2105 Fund	2106 Fund	2107 Fund	2107.5 Fund	Measure K	COG/LTF Fund	STA/BUS Fund
<b>ASSETS</b>								
Cash and investments	\$ 17,702	\$ 5,874	\$ 16,230	\$ 19,539	\$ 4,750	\$ 1,473,906	\$ 46,422	\$ 26,909
Cash and investments - restricted	-	-	-	-	-	-	-	217,499
<b>Total assets</b>	<b>\$ 17,702</b>	<b>\$ 5,874</b>	<b>\$ 16,230</b>	<b>\$ 19,539</b>	<b>\$ 4,750</b>	<b>\$ 1,473,906</b>	<b>\$ 46,422</b>	<b>\$ 244,408</b>
<b>LIABILITIES</b>								
Loan due to private-purpose trust funds	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
<b>Total liabilities</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>FUND BALANCES</b>								
Restricted for:								
Public safety	-	-	-	-	-	-	-	-
Street construction and maintenance	17,702	5,874	16,230	19,539	4,750	1,473,906	46,422	-
Assessment districts	-	-	-	-	-	-	-	-
Capital projects	-	-	-	-	-	-	-	244,408
Committed to:								
Capital projects	-	-	-	-	-	-	-	-
Assigned to:								
Subsequent year expenditures	-	-	-	-	-	-	-	-
Other purposes	-	-	-	-	-	-	-	-
Unassigned	-	-	-	-	-	-	-	-
<b>Total fund balances</b>	<b>17,702</b>	<b>5,874</b>	<b>16,230</b>	<b>19,539</b>	<b>4,750</b>	<b>1,473,906</b>	<b>46,422</b>	<b>244,408</b>
<b>Total liabilities and fund balances</b>	<b>\$ 17,702</b>	<b>\$ 5,874</b>	<b>\$ 16,230</b>	<b>\$ 19,539</b>	<b>\$ 4,750</b>	<b>\$ 1,473,906</b>	<b>\$ 46,422</b>	<b>\$ 244,408</b>

**CITY OF RIPON  
 COMBINING BALANCE SHEET – MODIFIED CASH BASIS  
 NONMAJOR GOVERNMENTAL FUNDS  
 JUNE 30, 2016**

CDBG	Dutch Meadows	Country Woods	Farmland Estates	Jacobs Landing	Carolina's Landscape	Boesch/ Kingery Assessment	Main Street Landscape	Cornerstone I	Ripon Lighting	Total Nonmajor Governmental Funds
\$ (10,437)	\$ -	\$ 14,612	\$ -	\$ 25,137	\$ 10,926	\$ 47,899	\$ -	\$ 107,762	\$ (118,287)	\$ 1,688,944
-	-	-	-	-	-	-	-	-	-	217,499
<u>\$ (10,437)</u>	<u>\$ -</u>	<u>\$ 14,612</u>	<u>\$ -</u>	<u>\$ 25,137</u>	<u>\$ 10,926</u>	<u>\$ 47,899</u>	<u>\$ -</u>	<u>\$ 107,762</u>	<u>\$ (118,287)</u>	<u>\$ 1,906,443</u>
\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
-	-	-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-	-	-
-	-	14,612	-	25,137	10,926	47,899	-	107,762	-	1,584,423
-	-	-	-	-	-	-	-	-	-	206,336
-	-	-	-	-	-	-	-	-	-	244,408
-	-	-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-	-	-
<u>(10,437)</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>(118,287)</u>	<u>(128,724)</u>
<u>(10,437)</u>	<u>-</u>	<u>14,612</u>	<u>-</u>	<u>25,137</u>	<u>10,926</u>	<u>47,899</u>	<u>-</u>	<u>107,762</u>	<u>(118,287)</u>	<u>1,906,443</u>
<u>\$ (10,437)</u>	<u>\$ -</u>	<u>\$ 14,612</u>	<u>\$ -</u>	<u>\$ 25,137</u>	<u>\$ 10,926</u>	<u>\$ 47,899</u>	<u>\$ -</u>	<u>\$ 107,762</u>	<u>\$ (118,287)</u>	<u>\$ 1,906,443</u>

**CITY OF RIPON**  
**COMBINING STATEMENT OF REVENUES, EXPENDITURES AND CHANGES**  
**IN FUND BALANCES – MODIFIED CASH BASIS**  
**NONMAJOR GOVERNMENTAL FUNDS**  
**JUNE 30, 2016**

	2103 Fund	2105 Fund	2106 Fund	2107 Fund	2107.5 Fund	Measure K	COG/LTF Fund	STA/BUS Fund
<b>REVENUES</b>								
Taxes	\$ 76,654	\$ 83,780	\$ 53,515	\$ 109,092	\$ 3,000	\$ -	\$ -	\$ -
Assessments	-	-	-	-	-	-	-	-
Licenses, permits and fees	-	-	-	-	-	-	-	-
Investment income	521	469	627	1,129	21	7,422	1,647	1,302
Intergovernmental revenue	-	-	-	-	-	300,000	360,000	53,304
Charges for services	-	-	-	-	-	-	-	2,597
Fines and forfeitures	-	-	-	-	-	-	-	-
Housing/loan program income	-	-	-	-	-	-	-	-
Contributions	-	-	-	-	-	-	-	-
Other	-	-	-	-	-	-	-	-
Total revenues	<u>77,175</u>	<u>84,249</u>	<u>54,142</u>	<u>110,221</u>	<u>3,021</u>	<u>307,422</u>	<u>361,647</u>	<u>57,203</u>
<b>EXPENDITURES</b>								
General government:								
Administration	-	-	-	-	-	-	-	-
Legislative	-	-	-	-	-	-	-	-
Public safety:								
Police	-	-	-	-	-	-	-	-
Streets and public works:								
Streets	-	-	-	-	-	-	-	42,142
Planning	-	-	-	-	-	-	-	-
Engineering	-	-	-	-	-	-	-	-
Building	-	-	-	-	-	-	-	-
Culture and recreation:								
Library	-	-	-	-	-	-	-	-
Parks and recreation	-	-	-	-	-	-	-	-
Community Center	-	-	-	-	-	-	-	-
Community development block grant	-	-	-	-	-	-	-	-
Special assessment districts	-	-	-	-	-	-	-	-
Successor agency administration	-	-	-	-	-	-	-	-
Allocation to other department costs	-	-	-	-	-	-	-	7,090
Other	-	-	-	-	-	-	-	-
Capital outlay	-	-	-	-	-	-	-	-
Total expenditures	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>49,232</u>
Excess (deficiency) of revenues over expenditures	<u>77,175</u>	<u>84,249</u>	<u>54,142</u>	<u>110,221</u>	<u>3,021</u>	<u>307,422</u>	<u>361,647</u>	<u>7,971</u>
<b>OTHER FINANCING SOURCES (USES)</b>								
Operating transfers in	-	-	-	-	-	-	-	-
Operating transfers out	(100,000)	(100,000)	(125,000)	(235,000)	-	(200,000)	(325,000)	-
Total other financing sources (uses)	<u>(100,000)</u>	<u>(100,000)</u>	<u>(125,000)</u>	<u>(235,000)</u>	<u>-</u>	<u>(200,000)</u>	<u>(325,000)</u>	<u>-</u>
Net change in fund balances	<u>(22,825)</u>	<u>(15,751)</u>	<u>(70,858)</u>	<u>(124,779)</u>	<u>3,021</u>	<u>107,422</u>	<u>36,647</u>	<u>7,971</u>
Fund balances, beginning of year,	<u>40,527</u>	<u>21,625</u>	<u>87,088</u>	<u>144,318</u>	<u>1,729</u>	<u>1,366,484</u>	<u>9,775</u>	<u>236,437</u>
Fund balances, end of year	<u>\$ 17,702</u>	<u>\$ 5,874</u>	<u>\$ 16,230</u>	<u>\$ 19,539</u>	<u>\$ 4,750</u>	<u>\$ 1,473,906</u>	<u>\$ 46,422</u>	<u>\$ 244,408</u>

**CITY OF RIPON  
 COMBINING STATEMENT OF REVENUES, EXPENDITURES AND CHANGES  
 IN FUND BALANCES – MODIFIED CASH BASIS  
 NONMAJOR GOVERNMENTAL FUNDS  
 JUNE 30, 2016**

CDBG	Dutch Meadows	Country Woods	Farmland Estates	Jacobs Landing	Carolina's Landscape	Boesch/ Kingery Assessment	Main Street Landscape	Cornerstone I	Ripon Lighting	Total Nonmajor Governmental Funds
\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 26,976	\$ 353,017
-	4,540	7,810	3,351	5,701	12,055	6,060	10,818	17,901	254,410	322,646
-	-	-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-	-	13,138
32,597	-	-	-	-	-	-	-	-	-	745,901
-	-	-	-	-	-	-	-	-	-	2,597
-	-	-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-	-	-
<u>32,597</u>	<u>4,540</u>	<u>7,810</u>	<u>3,351</u>	<u>5,701</u>	<u>12,055</u>	<u>6,060</u>	<u>10,818</u>	<u>17,901</u>	<u>281,386</u>	<u>1,437,299</u>
-	-	-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-	-	42,142
-	-	-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-	-	-
-	-	-	-	-	-	-	-	-	-	-
30,415	-	-	-	-	-	-	-	-	-	30,415
-	7,933	4,451	3,635	6,712	3,334	2,935	14,595	3,310	223,182	270,087
-	-	-	-	-	-	-	-	-	-	-
-	1,335	749	612	1,129	561	494	2,456	557	37,548	52,531
-	-	-	-	-	-	-	-	-	-	-
<u>30,415</u>	<u>9,268</u>	<u>5,200</u>	<u>4,247</u>	<u>7,841</u>	<u>3,895</u>	<u>3,429</u>	<u>17,051</u>	<u>3,867</u>	<u>260,730</u>	<u>395,175</u>
<u>2,182</u>	<u>(4,728)</u>	<u>2,610</u>	<u>(896)</u>	<u>(2,140)</u>	<u>8,160</u>	<u>2,631</u>	<u>(6,233)</u>	<u>14,034</u>	<u>20,656</u>	<u>1,042,124</u>
-	35,426	-	5,013	-	-	-	64,609	-	-	105,048
-	-	-	-	-	-	-	-	-	-	(1,085,000)
-	<u>35,426</u>	-	<u>5,013</u>	-	-	-	<u>64,609</u>	-	-	<u>(979,952)</u>
<u>2,182</u>	<u>30,698</u>	<u>2,610</u>	<u>4,117</u>	<u>(2,140)</u>	<u>8,160</u>	<u>2,631</u>	<u>58,376</u>	<u>14,034</u>	<u>20,656</u>	<u>62,172</u>
<u>(12,619)</u>	<u>(30,698)</u>	<u>12,002</u>	<u>(4,117)</u>	<u>27,277</u>	<u>2,766</u>	<u>45,268</u>	<u>(58,376)</u>	<u>93,728</u>	<u>(138,943)</u>	<u>1,844,271</u>
<u>\$ (10,437)</u>	<u>\$ -</u>	<u>\$ 14,612</u>	<u>\$ -</u>	<u>\$ 25,137</u>	<u>\$ 10,926</u>	<u>\$ 47,899</u>	<u>\$ -</u>	<u>\$ 107,762</u>	<u>\$ (118,287)</u>	<u>\$ 1,906,443</u>

**CITY OF RIPON  
 ADDITIONAL INFORMATION ON THE  
 SUCCESSOR AGENCY TRUST FUND**

The following information is presented to supplement the basic financial statements and provides additional information about the Successor Agency Trust Fund. The information includes: the Statement of Fiduciary Net Position and the Statement of Changes in Fiduciary Net Position in accordance with generally accepted accounting principles as of and for the year ended June 30, 2016. Accordingly, the fiduciary fund financial statements utilize the accrual basis of accounting. Also included in this section is a schedule of capital assets held by the Successor Agency Trust Fund. For additional information on the Successor Agency Trust Fund, refer to the notes to the City's basic financial statements.

**STATEMENT OF FIDUCIARY NET POSITION  
 PRIVATE-PURPOSE TRUST FUND  
 JUNE 30, 2016**

	<u>Successor Agency Trust Fund</u>
<b>ASSETS</b>	
Cash and investments	\$ 1,034,395
Cash and investments - restricted	4,853,528
Interest receivable	9,473
Loan due from capital projects fund	2,085,648
Capital assets, net of accumulated depreciation	<u>1,157,200</u>
Total assets	<u>9,140,244</u>
<b>LIABILITIES</b>	
Interest payable	192,308
Due to State	1,297,266
Long-term liabilities	
Debt due within one year	790,000
Debt due in more than one year	<u>25,040,000</u>
Total liabilities	<u>27,319,574</u>
<b>NET POSITION</b>	
Restricted for capital outlay	1,589,195
Restricted for debt service	1,967,066
Unrestricted	<u>(21,735,591)</u>
Total net position	<u>\$ (18,179,330)</u>

**CITY OF RIPON  
 ADDITIONAL INFORMATION ON THE  
 SUCCESSOR AGENCY TRUST FUND**

STATEMENT OF CHANGES IN FIDUCIARY NET POSITION  
 PRIVATE-PURPOSE TRUST FUND  
 FOR THE YEAR ENDED JUNE 30, 2016

	Successor Agency Trust Fund
<b>Additions</b>	
Investment income	\$ 68,126
Program income	619,741
Property taxes	2,555,948
Project cost reimbursements	-
Total additions	3,243,815
<b>Deductions</b>	
General operating	266,325
Debt service - interest	1,187,634
Total deductions	1,453,959
Change in net position	1,789,856
Total net position - beginning of year	(19,969,186)
Total net position - end of year	\$ (18,179,330)

Schedule of capital asset activity for the fiscal year ended June 30, 2016 is as follows:

	Beginning Balance	Acquisitions	Dispositions	Ending Balance
<u>Fiduciary Fund</u>				
Capital assets, not being depreciated				
Land	\$ 1,157,200	\$ -	\$ -	\$ 1,157,200

## RESOLUTION NO. 16-\_\_

RESOLUTION OF THE CITY OF RIPON ACCEPTING THE  
CITY OF RIPON MONEY PURCHASE THRIFT PENSION PLAN  
FINANCIAL STATEMENTS AND  
INDEPENDENT AUDITOR'S REPORT  
JUNE 30, 2016 and 2015

WHEREAS, the City of Ripon Independent Auditors' Report of the Money Purchase Thrift Pension Plan for June 30, 2016 and 2015 has now been completed and filed with the City of Ripon, and

WHEREAS, Price Paige & Company Accountancy Corporation has now completed its audit of the form and content of the information included in the financial statements in accordance with generally accepted auditing standards.

NOW, THEREFORE, BE IT RESOLVED, by the City Council of the City of Ripon, that the City of Ripon does hereby accept the financial statement and Independent Auditors' Report of the Money Purchase Thrift Pension Plan for June 30, 2016 and 2015, by Price Paige & Company Accountancy Corporation, the independent certified public accountant employed by the City of Ripon to audit the financial records.

PASSED AND ADOPTED at a regular meeting of the City Council of the City of Ripon this 8th day of November, 2016, by the following vote:

AYES:

NOES:

ABSENT:

ABSTAINING:

THE CITY OF RIPON  
A Municipal Corporation

By \_\_\_\_\_  
JACOB PARKS, Mayor

ATTEST:

By \_\_\_\_\_  
LISA ROOS, City Clerk



September 29, 2016

To the Honorable City Council  
City of Ripon, California

We have audited the financial statements of City of Ripon Money Purchase Thrift Pension Plan (the Plan) for the year ended June 30, 2016, and have issued our report thereon dated September 29, 2016. Professional standards require that we provide you with information about our responsibilities under generally accepted auditing standards, as well as certain information related to the planned scope and timing of our audit. We have communicated such information in our letter to you dated February 3, 2016. Professional standards also require that we communicate to you the following information related to our audit.

#### Significant Audit Findings

##### *Qualitative Aspects of Accounting Practices*

Management is responsible for the selection and use of appropriate accounting policies. The significant accounting policies used by City of Ripon Money Purchase Thrift Pension Plan are described in Note 2 to the financial statements. No new accounting policies were adopted and the application of existing policies was not changed during 2016. We noted no transactions entered into by the Plan during the year for which there is a lack of authoritative guidance or consensus. All significant transactions have been recognized in the financial statements in the proper period.

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. There were no such estimates affecting the financial statements.

The financial statement disclosures are neutral, consistent, and clear.

##### *Difficulties Encountered in Performing the Audit*

We encountered no significant difficulties in dealing with management in performing and completing our audit.

##### *Corrected and Uncorrected Misstatements*

Professional standards require us to accumulate all misstatements identified during the audit, other than those that are clearly trivial, and communicate them to the appropriate level of management. There were no such misstatements identified during the audit.

677 Scott Avenue  
Clovis, CA 93612

tel 559.299.9540  
fax 559.299.2344

### *Disagreements with Management*

For purposes of this letter, a disagreement with management is a financial accounting, reporting, or auditing matter, whether or not resolved to our satisfaction, that could be significant to the financial statements or the auditor's report. We are pleased to report that no such disagreements arose during the course of our audit.

### *Management Representations*

We have requested certain representations from management that are included in the management representation letter dated September 29, 2016.

### *Management Consultations with Other Independent Accountants*

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the Plan's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

### *Other Audit Findings or Issues*

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the Plan's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

### Other Matters

With respect to the supplementary information accompanying the financial statements, we made certain inquiries of management and evaluated the form, content, and methods of preparing the information to determine that the information complies with the Government Code Section 7504 Rules and Regulations for Reporting and Disclosure. The method of preparing it has not changed from the prior period and the information is appropriate and complete in relation to our audit of the financial statements. We compared and reconciled the supplementary information to the underlying accounting records used to prepare the financial statements or to the financial statements themselves.

This information is intended solely for use by The City of Ripon's Management and City Council, and is not intended to be, and should not be, used by anyone other than these specified parties.

Very truly yours,

*Price Pange & Company*

**CITY OF RIPON  
MONEY PURCHASE THRIFT PENSION PLAN  
  
FINANCIAL STATEMENTS  
AND  
INDEPENDENT AUDITOR'S REPORT  
  
FOR THE YEAR ENDED  
JUNE 30, 2016 AND 2015**

**CITY OF RIPON  
MONEY PURCHASE THRIFT PENSION PLAN**

**JUNE 30, 2016 AND 2015**

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## INDEPENDENT AUDITOR'S REPORT

To the Honorable City Council of the  
City of Ripon  
Ripon, California

### **Report on the Financial Statements**

We have audited the accompanying financial statements of City of Ripon Money Purchase Thrift Pension Plan, which comprise the statement of net position available for benefits as of June 30, 2016 and 2015, and the related statement of changes in net position available for benefits for the years then ended, and the related notes to the financial statements.

### **Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### **Auditor's Responsibility**

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the City's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the City's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

677 Scott Avenue  
Clovis, CA 93612

tel 559.299.9540  
fax 559.299.2344

**Opinion**

In our opinion, the financial statements referred to above present fairly, in all material respects, the net position available for benefits of City of Ripon Money Purchase Thrift Pension Plan as of June 30, 2016 and 2015, and the changes in its net position available for benefits for the years then ended in accordance with accounting principles generally accepted in the United States of America.

**Other Matters***Required Supplementary Information*

Management has omitted the management discussion and analysis that accounting principles generally accepted in the United States of America require to be presented to supplement the basic financial statements. Such missing information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. Our opinion on the basic financial statements is not affected by this missing information.

*Price Pange & Company*

Clovis, California  
September 29, 2016

**CITY OF RIPON MONEY PURCHASE THRIFT PENSION PLAN  
STATEMENTS OF NET POSITION AVAILABLE FOR BENEFITS  
JUNE 30, 2016 AND 2015**

3B

	<u>2016</u>	<u>2015</u>
<b>Assets</b>		
Cash and cash equivalents	3,632,199	2,687,814
U.S. government securities	218,530	208,426
Corporate obligations	1,067,571	1,278,208
Mutual funds	3,085,831	3,415,752
Common and preferred stocks	<u>1,742,543</u>	<u>1,606,266</u>
 Total investments	 9,746,674	 9,196,466
Receivables:		
Participant notes receivable	<u>343,356</u>	<u>313,123</u>
 Total assets	 10,090,030	 9,509,589
 <b>Liabilities</b>	 <u>-</u>	 <u>-</u>
 <b>Net position available for benefits</b>	 <u>\$ 10,090,030</u>	 <u>\$ 9,509,589</u>

The accompanying notes are an integral part of these financial statements.

**CITY OF RIPON MONEY PURCHASE THRIFT PENSION PLAN  
STATEMENTS OF CHANGES IN NET POSITION  
AVAILABLE FOR BENEFITS  
FOR THE YEARS ENDED JUNE 30, 2016 AND 2015**

3B

	<u>2016</u>	<u>2015</u>
<b>Additions to net position attributed to:</b>		
Investment income:		
Net appreciation (depreciation) in the fair value of investments	\$ (119,204)	\$ 98,956
Interest	17,710	14,061
Dividends	134,097	147,116
Contributions:		
Employer	394,727	393,611
Employee	<u>309,775</u>	<u>324,320</u>
 Total additions	 <u>737,105</u>	 <u>978,064</u>
 <b>Deductions from net position attributed to:</b>		
 Benefits paid to participants	 144,289	 1,908,303
Administration	<u>12,375</u>	<u>21,578</u>
 Total deductions	 <u>156,664</u>	 <u>1,929,881</u>
 <b>Change in net position</b>	 580,441	 (951,817)
 <b>Net position available for benefits</b>		
Beginning of year	<u>9,509,589</u>	<u>10,461,406</u>
 End of year	 <u>\$ 10,090,030</u>	 <u>\$ 9,509,589</u>

The accompanying notes are an integral part of these financial statements.

**NOTE 1 – PLAN DESCRIPTION**

The financial statements of the City of Ripon Money Purchase Thrift Pension Plan (the Plan), a single-employer defined contribution plan, have been prepared in conformity with accounting principles generally accepted in the United States of America as applied to pension plans, and in accordance with the Plan agreement.

The following description of the Plan provides only general information. Participants should refer to the Plan agreement for a more complete description of the Plan's provisions. The Plan is sponsored by the City of Ripon, California (the City).

**General**

The Plan covers all permanent, full-time employees, excluding public safety employees, of the City who have completed six consecutive months of employment. As of June 30, 2016, there were 58 plan members. Plan provisions and contribution requirements are established and may be amended by the City Council of the City of Ripon. The Plan is subject to the provisions of Government Code Section 7504. The Plan is not subject to the provisions of the Employee Retirement Income Security Act of 1974 (ERISA) as ERISA does not apply to government sponsored plans. Under the Internal Revenue Code, the Plan meets the requirements of Section 401(a) and is exempt from federal income tax under Section 510(a).

The City has the right under the Plan provisions to terminate the Plan by delivering to the trustee and administrator written notice of such termination. In the event of plan termination, participants will become 100% vested in their accounts.

**Employee Contributions**

Eligible employees can elect to defer 5% of their compensation to the Plan. The Plan allows additional employee elective contributions up to 6.6% of annual compensation.

**Employer Contributions**

The City makes contributions to the Plan equal to 10% of the total compensation, excluding overtime, of all participants in the Plan under the mandatory provision and up to 3.3% of the qualified employee's annual compensation under the voluntary provision.

The City entered into a Memorandum of Understanding with the Union representing its employees which requires the City to "pick up" the 5% employee contribution referenced above. All such contributions are designated as employee contributions and are fully vested.

**Participant Accounts**

In accordance with the trust agreement, each member directs the investments held in his/her individual account. The participants' accounts are held by a corporation-administered trust fund.

**Vesting**

Participants are immediately vested in their contributions plus actual earnings thereon. Vesting in the City contributions under the mandatory provision and earnings thereon is based on years of continuous service. A member is 100% vested after six years of credited service. Participants are fully vested in the City contributions under the voluntary provision and earnings thereon.

**NOTE 1 – PLAN DESCRIPTION (Continued)**

**Payment of Benefits**

Upon termination of service or attainment of earliest retirement age of 55, a participant may elect to receive the value of the account under one of the methods as described in the Plan.

The City contribution for, and interest forfeited by, employees who leave employment before six years of service are redistributed to all participants based on salary and vesting levels.

**Participant Notes Receivable**

The Plan provides for loans to participants which are secured by their vested account balances and can be no greater than the lesser of 50% of the participant's vested account balance or \$50,000. The loans are secured by the balance in the participant's account and bear interest at rates which are commensurate with local prevailing rates as determined by the Plan administrator. Principal and interest is paid ratably through payroll deductions.

**Expenses**

Plan administrator charges are paid by the City of Ripon. Investment related expenses are paid from the Plan assets.

**NOTE 2 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

**Basis of Accounting**

The financial statements of the Plan are prepared on the accrual basis of accounting.

**Investment Valuation and Income Recognition**

The Plan's investments are reported at fair value. Securities traded on national exchanges are valued at the last reported sales price. The Plan's investments are held in trust by Bank of Stockton.

Purchases and sales of securities are recorded on a trade-date basis. Interest and dividend income are recorded on the accrual basis.

**Fair Market Value of Financial Instruments**

Unless otherwise noted, the carrying value of financial instruments, including investments, receivables and liabilities, approximate fair value due to the short-term maturities of these instruments.

**Notes Receivable from Participants**

Loans to participants are reported at their unpaid balances plus any accrued but unpaid interest.

**Payments of Benefits**

Benefits are recorded when paid.

**NOTE 2 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)**

**Estimates**

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires the Plan administrator to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results may differ from the estimates.

**Budgetary Accounting**

The Plan does not utilize formal budget procedures and is not required to adopt such procedures by law. Accordingly, budgetary comparison information is not required or presented.

**Reporting Entity**

The Plan, governed by the Retirement Board, is considered an independent entity. Plan management considered all potential component units for inclusion in the reporting entity by applying the criteria set forth in accounting principles generally accepted in the United States of America. The Plan concluded that there were no potential component units which should be included in the reporting entity.

**NOTE 3 – FAIR VALUE MEASUREMENTS**

The Plan's investments are reported at fair value in the accompanying statement of net position available for benefits. The methods used to measure fair value may produce an amount that may not be indicative of net realizable value or reflective future fair values. Furthermore, although the Plan believes its valuation methods are appropriate and consistent with other market participants, the use of different methodologies or assumptions to determine the fair value of certain financial instruments could result in a different fair value measurement at the reporting date.

The fair value measurement accounting literature establishes a fair value hierarchy that prioritizes the inputs to valuation techniques used to measure fair value. This hierarchy consists of three broad levels: Level 1 inputs consist of unadjusted quoted prices in active markets for identical assets and have the highest priority; Level 2 inputs are from other than quoted prices included in Level 1 that are observable for the asset or liability, either directly or indirectly; and Level 3 inputs are unobservable and have the lowest priority. The Plan uses appropriate valuation techniques based on the available inputs to measure the fair value of its investments. When available, the Plan measures fair value using Level 1 inputs because they generally provide the most reliable evidence of fair value. Level 3 inputs were used only when Level 1 or Level 2 inputs were not available.

**CITY OF RIPON MONEY PURCHASE THRIFT PENSION PLAN  
NOTES TO THE FINANCIAL STATEMENTS  
JUNE 30, 2016 AND 2015**

**NOTE 3 – FAIR VALUE MEASUREMENTS (Continued)**

*Level 1 Fair Value Measurements*

The fair value of registered investment companies is based on quoted net asset values of the shares held by the Plan at year-end.

The following tables set forth, by level within the fair value hierarchy, the Plan's investments at fair value as of June 30, 2016:

	<u>Fair Value</u>	<u>Fair Value Measurements Using Quoted Prices in Active Markets for Identical Assets (Level1)</u>
Cash and cash equivalents	\$ 3,632,199	\$ 3,632,199
U.S. Government securities	218,530	218,530
Corporate obligations	1,067,571	1,067,571
Mutual funds	3,085,831	3,085,831
Common and preferred stock	<u>1,742,543</u>	<u>1,742,543</u>
	<u>\$ 9,746,674</u>	<u>\$ 9,746,674</u>

The following tables set forth, by level within the fair value hierarchy, the Plan's investments at fair value as of June 30, 2015:

	<u>Fair Value</u>	<u>Fair Value Measurements Using Quoted Prices in Active Markets for Identical Assets (Level1)</u>
Cash and cash equivalents	\$ 2,687,814	\$ 2,687,814
U.S. Government securities	208,426	\$ 208,426
Corporate obligations	1,278,208	\$ 1,278,208
Mutual funds	3,415,752	\$ 3,415,752
Common and preferred stock	<u>1,606,266</u>	<u>\$ 1,606,266</u>
	<u>\$ 9,196,466</u>	<u>\$ 9,196,466</u>

**CITY OF RIPON MONEY PURCHASE THRIFT PENSION PLAN  
NOTES TO THE FINANCIAL STATEMENTS  
JUNE 30, 2016 AND 2015**

3B

**NOTE 4 – INVESTMENTS**

There were no investments in excess of 5% or more of the Plan's net position during the year ended June 30, 2016 and 2015.

Investment income results from each participant's self-directed account. Investment income by major asset category is provided in the trustee reports.

**NOTE 5 – RISKS AND UNCERTAINTES**

The Plan invests in various investment securities. Investment securities are exposed to various risks such as interest rate, market, and credit risks. Due to the level of risk associated with certain investment securities, it is at least reasonably possible that changes in the values of investment securities will occur in the near term and that such changes could materially affect participants' account balances and the amounts reported in the statement of net position available for benefits.

**NOTE 6 – SUBSEQUENT EVENTS**

The Plan has evaluated subsequent events through September 29, 2016, the date on which the financial statements were available to be issued. There were no subsequent events identified by management which would require disclosure in the financial statements.

RESOLUTION NO. 16-

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF RIPON AUTHORIZING PARTICIPATION IN THE CALIFORNIA FRANCHISE TAX BOARD INTERAGENCY INTERCEPT COLLECTION PROGRAM

WHEREAS, the Franchise Tax Board Interagency Intercept Collection Program (the Program) is a program whereby the Franchise Tax Board (FTB) intercepts California state income tax refunds of individuals to pay other agency debts owed by that individual, which include delinquent fines, bails, vehicle parking penalties, court-ordered payments and other permitted debts;

WHEREAS, participation in the Program is voluntary, and offers another means by which the City can collect needed revenues in an efficient manner;

WHEREAS, participation in the Program can be authorized by ordinance or resolution of the City Council;

WHEREAS, the City of Ripon began participating in the Program in 2016 and would like to continue to participate in the Program;

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Ripon, as follows:

The City Council hereby authorizes the Mayor to execute such documents as are necessary for participation in the Franchise Tax Board Interagency Intercept Collection Program annually until further action is taken by Council to discontinue the Program.

PASSED AND ADOPTED at a regular meeting of the City Council of the City of Ripon this \_\_day of, by the following vote:

- AYES:
- NOES:
- ABSENT:
- ABSTAINING:

THE CITY OF RIPON,  
A Municipal Corporation

By: \_\_\_\_\_  
JACOB PARKS, Mayor

ATTEST:

\_\_\_\_\_  
LISA ROOS, City Clerk



Mark this box if you are making revisions.

Email: [iicgroup@ftb.ca.gov](mailto:iicgroup@ftb.ca.gov)  
 Telephone: 916.845.5344  
 Fax: 916.843.2460

## Intent to Participate

Complete both sides of this form, sign, and either fax or mail it to us.

### Part 1 – Agency type

#### Individual debts

State  City  County  Court  College  Special District

#### Corporation or limited liability company (LLC) debt:

State

### Part 2 – Agency

Agency name: City of Ripon	Process year: <b>2017</b>
Division/branch:	Agency code: <b>LA</b>

### Part 3 – Public contact unit (provide an address and phone number for your debtors to contact you directly.)

Agency name: City of Ripon	Unit name:	Phone: 209-599-2108 Ext.
Agency address/PO Box: 259 N. Wilma Ave		Room/suite/floor:
City: Ripon	State: CA	ZIP Code: 95366

Mark this box if the public contact unit is a private collection firm.

Provider name: \_\_\_\_\_

### Part 4 – FTB Intercept Program liaisons

Provide the names and **direct** phone numbers of up to three individuals we may contact to resolve issues or obtain account information. These individuals should be authorized to request intercept services. We may provide email addresses to the State Controller's Office (SCO) for billing purposes.

Name: Sarah Hollander	Position: Bookkeeper	Phone: 209-599-0218 Ext.
Email address: shollander@cityofripon.org		
Name: Steve Merchant	Position: Lieutenant	Phone: 209-599-0262 Ext.
Email address: smerchant@cityofripon.org		
Name: Lisa Roos	Position: City Clerk/Finance Director	Phone: 209-599-0217 Ext.
Email address: lroos@cityofripon.org		

### Part 5 – Agency mailing address (to send warrants, fund transfers, and billings [exclude private collection firm information]) We may provide email addresses to the State Controller's Office (SCO) for billing purposes.

Agency name: City of Ripon	Unit name:	Phone: 209-599-2108 Ext.
Agency address/PO Box: 259 N. Wilma Ave		Room/suite/floor:
City: Ripon	State: CA	ZIP Code: 95366
Contact name: Lisa Roos		Phone: 209-599-0217 Ext.
Email address: lroos@cityofripon.org		Fax: 209-599-2685

**Part 6 – SWIFT agency contact information**

Name: Sarah Hollander	Phone: 209-599-0218 Ext.
SWIFT email address or group email address: shollander@cityofripon.org	

**Part 7 – Select your agency type (select only one)**

- State agency (complete either A, B, or C. The State Controller will credit the intercepts accordingly.)
  - A. General checking account number (three digit number): \_\_\_\_\_
  - B. Special fund – Fund number: \_\_\_\_\_ Organization code: \_\_\_\_\_  
State Controller’s account number: \_\_\_\_\_ (Contact your accounting office for this number.)
  - C. Warrant
- City, county agency, or college.  
Special Districts – bridge tolls and high occupancy toll lane fees. (Government Code Section 12419.12)

A warrant will be issued to your agency listing the intercept funds sent to you.

**Agency Certification**

Complete the following information in full and sign.

This document notifies FTB that the City of Ripon plans to participate in the Interagency Intercept Collections Program for the 20 17 process year. In doing so, I certify that all debtors received due process and the debts submitted for offset comply with the following Government Code Sections (please mark one):

- State agencies and colleges — 12419.5, 12419.7, 12419.9, 12419.10, 12419.11, and 12419.12
- Counties, city agencies, and special districts — 12419.8, 12419.10, and 12419.12  
(Does not apply to corporations or LLCs.)

Type of debt we intend to collect for individual debts:

- Fines       Parking Citations       Dishonored Check       Fees       Judgments
- Taxes       Tuition       Insurance       Unpaid Services       Overpayment

Type of debt we intend to collect for corporation and LLC debts:

- Dishonored Check       Fees       Taxes
- Other (list the debt type):  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

I certify that the City of Ripon agrees to pay administrative costs to the California State Controller’s Office for processing these offset accounts, and that I am authorized to request services on behalf of this agency/college. Administrative costs include any direct or indirect expense incurred by FTB or SCO to process your request, including any expense to respond to administrative or civil complaints for an offset performed at your request.

I certify that all records, copies, files, and media submissions received by the City of Ripon shall be destroyed in a manner acceptable to FTB.

The approved destruction methods that permanently render data unreadable and unusable include:

- Damage to disks that prevents their use in any disk drive.
- Crisscross shredding if the shreds are 5/16 inch or smaller.

All unauthorized or suspected access, uses, and/or disclosures (incidents) of the information received under this agreement shall be thoroughly reviewed by FTB. We comply with the incident reporting requirements, in accordance with Civil Code Section 1798.29 and SAM Chapter 5300 (Information Security). The participant shall immediately notify FTB’s Information Security Audit Unit of all incidents involving the information obtained under this agreement as applicable, and provide the appropriate information to facilitate the required reporting to the taxpayers or state oversight agencies. Notification can be made by email at: SecurityAuditMail@ftb.ca.gov or by calling 916.845.5555.

Agencies using a private collection firm or data service provider need to read, sign, adhere to, and maintain FTB 7904, *Confidentiality Statement*, and *Interagency Intercept Collection Program Special Terms and Conditions*. Agencies need to identify and maintain these documents for **every** employee within their agency that has access to the daily and weekly reports. This requirement includes, but is not limited to, agency/vendor IT department staff, agency/vendor management, agency/vendor fiscal staff, agency/vendor collector staff, etc. **It is the responsibility of the agency, college, or district to safeguard the data.**

Failure to maintain FTB 7904 and *Interagency Intercept Collection Program Special Terms and Conditions* could result in unauthorized disclosure or access. Penalties for unauthorized disclosure or access could result in fines and imprisonment under California Law (R&TC Sections 19542, 19542.1, and 19542.3 and Government Code Section 90005).

Penalties may extend to the signature and names listed on the intent form as well as individuals listed on FTB 7904.

Contact the Interagency Intercept desk for FTB 7904 and the *Interagency Intercept Collection Program Special Terms and Conditions*. Franchise Tax Board may request a completed copy of FTB 7904 at any time.

**All Agencies**

Initial here \_\_\_\_ Prior to sending debts to the Franchise Tax Board, the participating agency must send the debtor a *Pre-Intercept Notice* allowing 30 days to resolve or dispute the liability before submitting the debt to the Interagency Intercept Collection Program. Submit a copy of the *Pre-Intercept Notice* with your completed FTB 2280, *Intent to Participate*, to the Interagency Intercept Collection group.

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I agree that our agency's fax signatures sent to FTB should be treated as original signatures.

Print name: Jacob Parks	Title: Mayor	Phone: 209-599-2108
Signature: <b>X</b>		Date:

**FTB will not send or receive taxpayer social security numbers through regular email. Confidential taxpayer information should not be sent through regular email.** Call the IIC Program staff at 916.845.5344 to register for our secure internet file transfer service.

**CONSENT CALENDAR**  
**Miscellaneous Items**



# MEMO

To: Honorable City Council  
From: Lisa Roos  
Date: October 18, 2016  
Subject: Quarterly Investment Policy Review

---

The following report is the City’s quarterly investment report for the period ending September 30, 2016.

Please accept this investment report.

Thank you.

<b>CITY OF RIPON</b> <b>Investment Policy</b> <b>Quarterly Report</b>
---

July 1, 2016 – September 30, 2016

The City of Ripon's investment policy was adopted by Resolution 96-63 on June 18, 1996 and it has been amended by Resolution 03-68 on August 19, 2003. This policy provides for the legislative body of a local agency to invest all money, belonging to, or in the custody of the local agency in accordance with the provisions of California Government Code Sections 5922, 53601 et seq., and 53635.

This annual review complies with all of the elements required by California Government Code section 53646(b).

Based upon the Treasurer's report, the entire investment of the City of Ripon is in FULL COMPLIANCE with the 2003 City of Ripon Investment Policy and the California Government Code.

For the quarter ending September 30, 2016, the City received a return on all its investments of 0.22%.

At the end of September 2016, City funds are held in the following investments as listed:

#### INVESTMENTS

1.	State Treasurer - LAIF - (fair value basis 1.0) (Interest rate 0.60% at 9/30/16)		\$9,543,969
2.	CSJVRMA Pool - fair value		19,934,427
	Bank of New York (book value - \$19,409,580)	<u>Ratings</u>	
	Investments:		
	Agency	38.4%	AA+
	US Corporate	23.7%	AA-
	US Treasury	22.2%	AA+
	Money Market Fund	0.2%	AAA
	ABS	7.9%	AAA
	Commercial Paper	3.8%	A-1
	Supranational	1.9%	AAA
	Negotiable CD	1.9%	A-1
3.	U S Treasury - (fair value basis 1.0) (Interest rate 0.01% at 9/30/16)		641,523
	Bank of Stockton		
4.	U S Treasury - (fair value basis 1.0) (Interest rate .21% at 9/30/16)		
	Union Bank		
	Water revenue bonds - 2006		840,290
	Water revenue bonds – 2012		4

5.	U S Treasury - (fair value basis 1.0) (Interest rate .10% at 9/30/16) U S Bank	
	Redevelopment agency 2003 tax allocation bonds	16,395
	Redevelopment agency 2005 tax allocation bonds	347,529
	Redevelopment agency 2007 tax allocation bonds	1,231,491
6.	Government Investment Contract (Ixis Funding Corporation - yielding 3.31%)	
	Redevelopment Agency 2003 tax allocation bonds	371,738
	<b>Total Investments</b>	<b>\$32,927,366</b>

This report certifies that all investment actions executed prior to this report have been made in full compliance with the City of Ripon Investment Policy. This report also certifies that the City of Ripon will meet its expenditure obligations for the next six months as required by California Government Code Section 53646(b)(2) and (3).

Respectfully Submitted,

*Lisa Roos*

Lisa Roos  
City Clerk/Finance Director



# MEMO

To: Honorable City Council  
From: Lisa Roos  
Date: October 17, 2016  
Subject: 2006 Water Revenue Bonds Arbitrage Calculation

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## BACKGROUND:

Arbitrage is the profit from borrowing funds in the tax-exempt market and investing them in the taxable market. As a City, our municipal bonds are considered arbitrage bonds because they are tax exempt, yet they are invested into a taxable market. Section 148 of the Internal Revenue Code contains allocation and accounting regulations for arbitrage bonds. Part of that section refers to arbitrage rebate requirements. Generally, tax-exempt bonds issues which were issued on or after September 1, 1986 are subject to the arbitrage rebate requirements. The arbitrage rebate requirements require that any profit or “arbitrage” be “rebated” to the Federal Government. This rebate amount is equal to the excess of the amount actually earned compared to the amount that could have been earned. The rebate computation and payment is required to be made at least every five years.

Hawkins Delafield & Wood, LLP have completed the arbitrage calculation on the 2006 Water Revenue Bonds. This report was required because the last calculation on these bonds was in 2011. This report states that there is currently no rebate liability with respect to the bonds and there is no requirement to file anything with the IRS.

Action from Council: Accept the arbitrage calculation report from Hawkins, Delafield & Wood, LLP for the Water Revenue Bonds, Series 2006C.

**\$7,845,000**  
**CALIFORNIA STATEWIDE COMMUNITIES**  
**DEVELOPMENT AUTHORITY**  
**WATER REVENUE BONDS**  
**SERIES 2006C**  
**CITY OF RIPON (SAN JOAQUIN COUNTY)**

**ARBITRAGE REBATE AND YIELD RESTRICTION**  
**COMPLIANCE REPORT FOR THE PERIOD**  
**AUGUST 29, 2011 THROUGH AUGUST 29, 2016**



***Financial Analysis & Services Group***

28 LIBERTY STREET  
NEW YORK, NY 10005  
PHONE: 212-820-9400  
FAX: 212-820-9310  
WEBSITE: WWW.HAWKINS.COM

**OCTOBER 14, 2016**

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*Hawkins Delafield & Wood LLP*

28 LIBERTY STREET  
NEW YORK, NY 10005  
WWW.HAWKINS.COM

October 14, 2016

Ms. Lisa Roos  
City Clerk  
City of Ripon  
City Hall  
259 North Wilma Avenue  
Ripon, CA 95366

\$7,845,000  
California Statewide Communities  
Development Authority  
Water Revenue Bonds  
Series 2006C  
City of Ripon (San Joaquin County)

*Arbitrage Rebate and Yield Restriction  
Compliance Report for the Period  
August 29, 2011 through August 29, 2016*

Dear Ms. Roos:

At the request of City of Ripon (the "Participant"), we have prepared the accompanying report (the "Report") regarding the accrued arbitrage rebate liability (the "Rebate Liability") and the accrued yield restriction liability (the "Yield Restriction Liability") of the California Statewide Communities Development Authority (the "Authority") with respect to the investment of gross proceeds of the above-referenced obligations (the "Bonds") in nonpurpose investments for the above-referenced period (the "Computation Period"). The calculations in the Report have been prepared under the provisions of Section 148(f) of the Internal Revenue Code of 1986, as amended (the "Code"), and the United States Treasury Regulations effective as of July 1, 1993, as amended (the "1993 Regulations").

The findings are summarized in Schedule A1 of the Report. The methodology and assumptions used in determining the Rebate Liability and the Yield Restriction Liability are summarized in Exhibit One of the Report.

Ms. Lisa Roos  
October 14, 2016  
Page 2

We have reviewed the following documents and records:

- records provided by Union Bank of California (the "Trustee"), detailing the investment and expenditure of gross proceeds of the Bonds, including the actual earnings received on such investments, and the actual or deemed prices received for such investments upon disposition;
- the portions of the Official Statement detailing the terms and redemption provisions of the Bonds;
- the Tax Certificate, including the exhibits thereto, with respect to the Bonds (the "Tax Certificate"); and
- the Arbitrage Rebate and Yield Restriction Compliance Report for the Bonds, prepared by Hawkins Delafield & Wood LLP, dated September 23, 2011, for the period August 29, 2006 through August 29, 2011 (the "Fifth Year Compliance Report").

With the consent of the Participant, we have relied on, without independent verification, the information that is contained in the above-referenced documents and records and the advice that was provided by the Participant and the Trustee with respect to such information for the purposes of calculating the Rebate Liability and the Yield Restriction Liability. We express no opinion as to the completeness, accuracy or suitability of such information for such purposes.

Based on and subject to the foregoing, it is our opinion that the methodology used in computing the Rebate Liability and the Yield Restriction Liability is in conformance with the Code and the 1993 Regulations.

The terms of our engagement are such that we have no obligation to update the Report because of events occurring, or data or information coming to our attention, subsequent to the date of the Report.

We are furnishing the Report to the Participant solely for its benefit and the benefit of the Authority. The Report is not to be used, circulated, quoted or otherwise referred to or relied upon for any other purpose or by any other person without our prior written consent.

Very truly yours,



Hawkins Delafield & Wood LLP

1. Purpose of the Bonds: As stated in Section 1.4 of the Tax Certificate, the Bonds were issued to: (a) finance the costs of the Projects; (b) pay the costs of issuance with respect to the Bonds; (c) purchase a municipal bond insurance policy (the "Bond Insurance") with respect to the Bonds; and (d) purchase a surety bond (the "Surety Bond" and collectively with the Bond Insurance, the "Credit Enhancement") to fund a reasonably required reserve with respect to the Bonds. Capitalized terms have the meanings ascribed thereto in the Tax Certificate.
2. Defeasances and Early Redemptions: The Trustee has advised that no portion of the Bonds has been refunded, redeemed prior to maturity or defeased during the Computation Period.
3. Bond Year: Consistent with the Fifth Year Compliance Report, each Bond Year ends on August 29 or on the final redemption date of the Bonds.
4. Prior Rebate or Yield Reduction Payments: The Participant has advised that no rebate or yield reduction payment has been made to the United States Treasury Department with respect to the Bonds.
5. One Issue: As stated in Section 1.6 of the Tax Certificate, the Bonds are a single issue of obligations for certain federal income tax purposes.
6. Fair Market Value: Each investment purchased or sold is assumed: (i) to be at fair market value, (ii) except as specified herein, not to include any brokerage or other acquisition fees, and (iii) to be an arm's-length transaction which did not reduce the Rebate Liability or the Yield Restriction Liability with respect to the Bonds.
7. Broker's Commissions and Sweep Fees: Broker's commissions, sweep fees, or similar fees paid in connection with any guaranteed investment contracts or similar investments purchased with gross proceeds of the Bonds that are treated as administrative expenses are not taken into account in determining the yield on such investments.
8. Yield Determination: Except as specified herein, all yields are computed on the basis of a 30/360 day year, compounded semiannually. Present values and future values are computed on the basis of a 30/360 day year with semiannual compounding.
9. Bond Yield:
  - (a) The Bonds are treated as a fixed yield issue under 1993 Regulations Section 1.148-1(b) because the yield on the Bonds was fixed and determinable as of the issue date using the assumptions and rules provided in 1993 Regulations Section 1.148-4(b).
  - (b) The Participant has advised that no qualified hedge (as defined in the 1993 Regulations) has been entered into for the Computation Period. Accordingly, no qualified hedge payments and receipts are taken into account in determining the yield on the Bonds.
  - (c) The issue price of the Bonds is based on the initial public offering prices and/or yields set forth in the Official Statement.

(d) Pursuant to Section 3.16(a) of the Tax Certificate, the fees paid for the Credit Enhancement are treated as "qualified guarantee" fees under 1993 Regulations Section 1.148-4(f) and are taken into account in determining the yield on the Bonds.

10. Funds and Accounts: Gross proceeds of the Bonds may be or have been held in the following funds and accounts as described in the Tax Certificate or shown in the records and data we have reviewed. Each fund or account subject to the rebate and/or the yield restriction requirements is known as a Gross Proceeds Fund. Certain Gross Proceeds Funds that qualify as a bona fide debt service fund pursuant to 1993 Regulations Section 1.148-1(b) are known collectively as part of the Bona Fide Debt Service Fund.

- (a) Project Fund: *Gross Proceeds Fund*
- (b) Costs of Issuance Fund: *Gross Proceeds Fund (no investment activity during the Computation Period)*
- (c) Reserve Fund: *Gross Proceeds Fund (funded with a surety bond; the Trustee has advised that no gross proceeds of the Bonds were held in the fund during the Computation Period)*
- (d) Interest Fund: *Part of the Bona Fide Debt Service Fund or Gross Proceeds Fund*
- (e) Principal Fund: *Part of the Bona Fide Debt Service Fund or Gross Proceeds Fund*
- (f) Sinking Fund: *Part of the Bona Fide Debt Service Fund or Gross Proceeds Fund*
- (g) Revenue Fund: *Part of the Bona Fide Debt Service Fund (to the extent used to pay debt service on the Bonds) or Gross Proceeds Fund*
  - i.. Installment Payment Account (COI Moneys): *Part of the Bona Fide Debt Service Fund and Gross Proceeds Fund with respect to the proceeds used for costs of issuance for the Bonds (no investment activity during the Computation Period)*
- (h) Rebate Fund: *Contains no gross proceeds*

The Interest Fund, the Principal Fund, the Sinking Fund and the Revenue Fund (to the extent used to pay debt service on the Bonds) including the Installment Payment Account therein, are collectively called the "Debt Service Funds and Account."

Except for the foregoing funds and accounts, it is assumed that gross proceeds of the Bonds are not held during the Computation Period in any other funds and accounts of the Participant, the Authority or their respective agents.

11. Bona Fide Debt Service Fund: Since the Bonds bear interest at fixed rates, have an average maturity of more than five years, and were not issued as private activity bonds, the Bona Fide Debt Service Fund is not taken into account in determining the Rebate Liability pursuant to Section 148(f)(4) of the Code. For Bond Years for which the Debt Service Funds and Account are determined not to qualify as a Bona Fide Debt Service Fund, such funds and account are taken into account in determining the Yield Restriction Liability. Based on the

Trustee's records, the Debt Service Funds and Account qualify as a Bona Fide Debt Service Fund during the Computation Period; therefore, such funds and account are not taken into account in determining the Rebate Liability and the Yield Restriction Liability.

12. Expenditures: All amounts withdrawn from the Gross Proceeds Funds are assumed to be expended for the purposes of the Bonds on the day they are withdrawn and such expenditures are assumed to involve a current outlay of cash for a governmental purpose of the Bonds.
13. Transferred Proceeds: The Trustee has advised that the Bonds have not been refunded and the proceeds of the Bonds have not been used to refund any other obligations. Based on such advice, transferred proceeds are not allocated to the Bonds and proceeds are not de-allocated from the Bonds during the Computation Period.
14. Spending Exceptions to the Rebate Requirement: No spending exceptions to the rebate requirement are applicable for the Computation Period. Spending exceptions to the rebate requirement for the first five year computation period are discussed in the Fifth Year Compliance Report.
15. Election into September 26, 2007 Proposed Regulations: The Authority has advised that it has elected to apply Section 1.148-3(d)(4) of the Proposed Regulations published on September 26, 2007 with respect to the computation date credit amount.

1. As set forth in Schedule A1 hereto, no positive Rebate Liability or Yield Restriction Liability accrued as of August 29, 2016; therefore, no payment is required to be made to the United States Treasury Department.
2. Future arbitrage rebate and yield restriction compliance calculations are required. The next arbitrage rebate or yield reduction payment, if any, will be due 60 days after the earlier of:
  - (i) August 29, 2021 (i.e., the end of the fifteenth Bond Year with respect to the Bonds), or
  - (ii) the final redemption date of the Bonds.

## Summary And Conclusions

### Transaction Information

**Par Amount:** \$7,845,000  
**Issuer:** California Statewide Communities Development Authority  
**Description:** Water Revenue Bonds, Series 2006C  
**Description:** City of Ripon (San Joaquin County)

**Dated Date:** August 29, 2006  
**Issue Date:** August 29, 2006  
**Start of Computation Period:** August 29, 2011  
**End of Computation Period:** August 29, 2016

**Bond Yield:** 4.559764%

### Rebate Analysis Summary

Rebatable Funds	Actual Invested Rate	Actual Earnings	Over/(Under) Invested	Accrued Liability
Prior Accrued Rebate Liability	N/A	N/A	N/A	(17,850.87) [Schedule A2]
Comp Credits 1 - 10				(18,290.30) [Schedule A2]
<b>All Rebatable Funds</b>		-		<b>(36,141.17)</b>
Prior Payment				-
0% of Total Rebate Liability				-
<b>100% of Total Rebate Liability Payment</b>				<b>(36,141.17)</b>

### Yield Restriction Analysis Summary

Yield Restricted Funds	Actual Invested Rate	Actual Earnings	Over/(Under) Invested	Accrued Liability
Prior Accrued Yield Restriction Liability	N/A	N/A	N/A	(98,694.58) [Schedule A2]
Project Fund	0.041897%	1,756.35	-4.517866%	(210,019.39) [Schedule B2]
<b>All Yield Restricted Funds</b>		<b>1,756.35</b>		<b>(308,713.97)</b>
Prior Payment				-
0% of Total Yield Restriction Liability				-
<b>100% of Total Yield Restriction Liability Payment</b>				<b>(308,713.97)</b>

### Payment Requirements

<b>Total Rebate Amount Due To The United States Treasury Department</b>	<b>\$0.00</b>
<b>Total Yield Restriction Amount Due To The United States Treasury Department</b>	<b>\$0.00</b>
<b>Total Amount Due To The United States Treasury Department</b>	<b>\$0.00</b>

**Due Date** **October 28, 2016**

### Notes

## Summary And Conclusions

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### Prior Rebate Analysis Summary

---

Rebatable Funds	Fund Status	Accrued Rebate Liability for the Period ending 08/29/11 <sup>(1)</sup>	Future Value on on 08/29/16 @ Bond Yield 4.559764%
Project Fund	Inactive	(14,266.52)	(17,873.94)
Costs of Issuance Fund	Inactive	17.94	22.48
Installment Payment Account (COI Moneys)	Inactive	0.47	0.59
<b>Prior Accrued Rebate Liability</b>		<b><u>(14,248.11)</u></b>	<b><u>(17,850.87)</u></b>

---

### Prior Yield Restriction Analysis Summary

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Yield Restricted Funds	Fund Status	Accrued Yield Rest. Liability for the Period ending 08/29/11 <sup>(1)</sup>	Future Value on on 08/29/16 @ Bond Yield 4.559764%
Project Fund	Active	(78,775.49)	(98,694.58)
<b>Prior Accrued Yield Restriction Liability</b>		<b><u>(78,775.49)</u></b>	<b><u>(98,694.58)</u></b>

---

### Computation Date Credits

---

Description <sup>(3)</sup>	Date	Credits	Future Value on on 08/29/16 @ Bond Yield 4.559764%
Comp Credit 1	08/29/07	(1,000.00)	(1,500.46)
Comp Credit 2	08/29/08	(1,430.00)	(2,051.07)
Comp Credit 3	08/29/09	(1,490.00)	(2,042.91)
Comp Credit 4	08/29/10	(1,500.00)	(1,965.96)
Comp Credit 5	08/29/11	(1,520.00)	(1,904.35)
Comp Credit 6	08/29/12	(1,550.00)	(1,856.32)
Comp Credit 7	08/29/13	(1,590.00)	(1,820.28)
Comp Credit 8	08/29/14	(1,620.00)	(1,772.87)
Comp Credit 9	08/29/15	(1,650.00)	(1,726.09)
Comp Credit 10	08/29/16	(1,650.00)	(1,650.00)
<b>Total</b>		<b><u>(15,000.00)</u></b>	<b><u>(18,290.30)</u></b>

#### Notes

<sup>(1)</sup> From the Fifth Year Compliance Report.

**Project Fund  
Yield Restriction Calculation**

Account#: UBOC 6711791707

No	Date	Description	Cash Flow	Cumulative Cash Flow	Principal Payments and Receipts	Interest Gains/(Loss) Payments and Receipts	Total Payments and Receipts on Investments	Future Value on 08/29/16 @ Bond Yield 4.559764%	Internal Rate of Return Equals 0.041897%
1	08/29/11	Asset Value	(838,632.05)	-	(838,625.16)	(6.89)	(838,632.05)	(1,050,687.66)	(838,632.05)
2	09/01/11	Interest	7.13	7.13	-	7.13	7.13	8.93	7.13
3	09/01/11	Purchase	(7.13)	-	(7.13)	-	(7.13)	(8.93)	(7.13)
4	10/03/11	Interest	6.90	6.90	-	6.90	6.90	8.61	6.90
5	10/03/11	Purchase	(6.90)	-	(6.90)	-	(6.90)	(8.61)	(6.90)
6	11/01/11	Interest	7.13	7.13	-	7.13	7.13	8.86	7.13
7	11/01/11	Purchase	(1.24)	5.89	(1.24)	-	(1.24)	(1.54)	(1.24)
8	11/01/11	Sweep Fee	(5.89)	-	-	-	-	-	-
9	12/01/11	Interest	6.90	6.90	-	6.90	6.90	8.55	6.90
10	12/01/11	Purchase	(1.50)	5.40	(1.50)	-	(1.50)	(1.86)	(1.50)
11	12/01/11	Sweep Fee	(5.40)	-	-	-	-	-	-
12	01/03/12	Interest	7.13	7.13	-	7.13	7.13	8.80	7.13
13	01/03/12	Purchase	(1.24)	5.89	(1.24)	-	(1.24)	(1.53)	(1.24)
14	01/03/12	Sweep Fee	(5.89)	-	-	-	-	-	-
15	01/04/12	Sell	838,643.17	838,643.17	838,643.17	-	838,643.17	1,034,381.22	838,521.19
16	01/04/12	Gain/Loss	200.00	838,843.17	-	200.00	200.00	246.68	199.97
17	01/06/12	Purchase	(838,843.17)	-	(838,843.17)	-	(838,843.17)	(1,034,368.78)	(838,719.21)
18	02/01/12	Interest	6.67	6.67	-	6.67	6.67	8.20	6.67
19	02/01/12	Deposit	0.46	7.13	-	-	-	-	-
20	02/01/12	Purchase	(7.13)	-	(7.13)	-	(7.13)	(8.76)	(7.13)
21	03/01/12	Interest	6.67	6.67	-	6.67	6.67	8.17	6.67
22	03/01/12	Purchase	(1.45)	5.22	(1.45)	-	(1.45)	(1.78)	(1.45)
23	03/01/12	Sweep Fee	(5.22)	-	-	-	-	-	-
24	04/02/12	Interest	7.13	7.13	-	7.13	7.13	8.70	7.13
25	04/02/12	Purchase	(1.55)	5.58	(1.55)	-	(1.55)	(1.89)	(1.55)
26	04/02/12	Sweep Fee	(5.58)	-	-	-	-	-	-
27	05/01/12	Interest	6.90	6.90	-	6.90	6.90	8.39	6.90
28	05/01/12	Purchase	(1.80)	5.10	(1.80)	-	(1.80)	(2.19)	(1.80)
29	05/01/12	Sweep Fee	(5.10)	-	-	-	-	-	-
30	06/01/12	Interest	7.13	7.13	-	7.13	7.13	8.63	7.13
31	06/01/12	Purchase	(1.86)	5.27	(1.86)	-	(1.86)	(2.25)	(1.86)
32	06/01/12	Sweep Fee	(5.27)	-	-	-	-	-	-
33	07/02/12	Interest	7.84	7.84	-	7.84	7.84	9.46	7.84
34	07/02/12	Purchase	(1.54)	6.30	(1.54)	-	(1.54)	(1.86)	(1.54)
35	07/02/12	Sweep Fee	(6.30)	-	-	-	-	-	-
36	08/01/12	Interest	7.13	7.13	-	7.13	7.13	8.57	7.13
37	08/01/12	Purchase	(7.13)	-	(7.13)	-	(7.13)	(8.57)	(7.13)
38	09/04/12	Interest	7.13	7.13	-	7.13	7.13	8.53	7.13
39	09/04/12	Purchase	(1.86)	5.27	(1.86)	-	(1.86)	(2.23)	(1.86)
40	09/04/12	Sweep Fee	(5.27)	-	-	-	-	-	-
41	10/01/12	Interest	6.90	6.90	-	6.90	6.90	8.23	6.90
42	10/01/12	Purchase	(1.80)	5.10	(1.80)	-	(1.80)	(2.15)	(1.80)
43	10/01/12	Sweep Fee	(5.10)	-	-	-	-	-	-
44	11/01/12	Interest	7.13	7.13	-	7.13	7.13	8.47	7.13
45	11/01/12	Purchase	(7.13)	-	(7.13)	-	(7.13)	(8.47)	(7.13)
46	12/03/12	Interest	6.91	6.91	-	6.91	6.91	8.18	6.91
47	12/03/12	Purchase	(0.91)	6.00	(0.91)	-	(0.91)	(1.08)	(0.91)
48	12/03/12	Sweep Fee	(6.00)	-	-	-	-	-	-
49	01/02/13	Interest	7.13	7.13	-	7.13	7.13	8.41	7.13
50	01/02/13	Purchase	(0.93)	6.20	(0.93)	-	(0.93)	(1.10)	(0.93)
51	01/02/13	Sweep Fee	(6.20)	-	-	-	-	-	-
52	02/01/13	Interest	7.13	7.13	-	7.13	7.13	8.38	7.13
53	02/01/13	Purchase	(0.93)	6.20	(0.93)	-	(0.93)	(1.09)	(0.93)
54	02/01/13	Sweep Fee	(6.20)	-	-	-	-	-	-
55	03/01/13	Interest	6.44	6.44	-	6.44	6.44	7.54	6.44
56	03/01/13	Purchase	(6.44)	-	(6.44)	-	(6.44)	(7.54)	(6.44)
57	04/01/13	Interest	7.13	7.13	-	7.13	7.13	8.32	7.13
58	04/01/13	Purchase	(7.13)	-	(7.13)	-	(7.13)	(8.32)	(7.13)
59	05/01/13	Interest	6.90	6.90	-	6.90	6.90	8.02	6.90
60	05/01/13	Purchase	(1.50)	5.40	(1.50)	-	(1.50)	(1.74)	(1.50)
61	05/01/13	Sweep Fee	(5.40)	-	-	-	-	-	-
62	06/03/13	Interest	7.13	7.13	-	7.13	7.13	8.25	7.12
63	06/03/13	Purchase	(7.13)	-	(7.13)	-	(7.13)	(8.25)	(7.12)
64	07/01/13	Interest	6.90	6.90	-	6.90	6.90	7.96	6.89
65	07/01/13	Purchase	(6.90)	-	(6.90)	-	(6.90)	(7.96)	(6.89)
66	08/01/13	Interest	7.13	7.13	-	7.13	7.13	8.19	7.12

**Project Fund  
Yield Restriction Calculation**

Account#: UBOC 6711791707

No	Date	Description	Cash Flow	Cumulative Cash Flow	Principal Payments and Receipts	Interest Gains/(Loss) Payments and Receipts	Total Payments and Receipts on Investments	Future Value on 08/29/16 @ Bond Yield 4.559764%	Internal Rate of Return Equals 0.041897%
67	08/01/13	Purchase	(7.13)	-	(7.13)	-	(7.13)	(8.19)	(7.12)
68	09/03/13	Interest	7.13	7.13	-	7.13	7.13	8.16	7.12
69	09/03/13	Purchase	(7.13)	-	(7.13)	-	(7.13)	(8.16)	(7.12)
70	10/01/13	Interest	6.90	6.90	-	6.90	6.90	7.87	6.89
71	10/01/13	Purchase	(6.90)	-	(6.90)	-	(6.90)	(7.87)	(6.89)
72	11/01/13	Interest	7.13	7.13	-	7.13	7.13	8.10	7.12
73	11/01/13	Purchase	(7.13)	-	(7.13)	-	(7.13)	(8.10)	(7.12)
74	12/02/13	Interest	25.24	25.24	-	25.24	25.24	28.56	25.22
75	12/02/13	Purchase	(7.54)	17.70	(7.54)	-	(7.54)	(8.53)	(7.53)
76	12/02/13	Sweep Fee	(17.70)	-	-	-	-	-	-
77	01/02/14	Interest	28.29	28.29	-	28.29	28.29	31.89	28.26
78	01/02/14	Purchase	(10.00)	18.29	(10.00)	-	(10.00)	(11.27)	(9.99)
79	01/02/14	Sweep Fee	(18.29)	-	-	-	-	-	-
80	02/03/14	Interest	7.13	7.13	-	7.13	7.13	8.01	7.12
81	02/03/14	Purchase	(7.13)	-	(7.13)	-	(7.13)	(8.01)	(7.12)
82	03/03/14	Interest	6.44	6.44	-	6.44	6.44	7.20	6.43
83	03/03/14	Purchase	(6.44)	-	(6.44)	-	(6.44)	(7.20)	(6.43)
84	04/01/14	Interest	7.13	7.13	-	7.13	7.13	7.95	7.12
85	04/01/14	Purchase	(7.13)	-	(7.13)	-	(7.13)	(7.95)	(7.12)
86	05/01/14	Interest	6.90	6.90	-	6.90	6.90	7.66	6.89
87	05/01/14	Purchase	(6.90)	-	(6.90)	-	(6.90)	(7.66)	(6.89)
88	06/02/14	Interest	7.13	7.13	-	7.13	7.13	7.89	7.12
89	06/02/14	Purchase	(7.13)	-	(7.13)	-	(7.13)	(7.89)	(7.12)
90	07/01/14	Interest	6.90	6.90	-	6.90	6.90	7.61	6.89
91	07/01/14	Purchase	(6.90)	-	(6.90)	-	(6.90)	(7.61)	(6.89)
92	08/01/14	Interest	7.13	7.13	-	7.13	7.13	7.83	7.12
93	08/01/14	Purchase	(7.13)	-	(7.13)	-	(7.13)	(7.83)	(7.12)
94	09/02/14	Interest	7.13	7.13	-	7.13	7.13	7.80	7.12
95	09/02/14	Purchase	(7.13)	-	(7.13)	-	(7.13)	(7.80)	(7.12)
96	10/01/14	Interest	6.90	6.90	-	6.90	6.90	7.52	6.89
97	10/01/14	Purchase	(6.90)	-	(6.90)	-	(6.90)	(7.52)	(6.89)
98	11/03/14	Interest	8.23	8.23	-	8.23	8.23	8.93	8.22
99	11/03/14	Purchase	(8.23)	-	(8.23)	-	(8.23)	(8.93)	(8.22)
100	12/01/14	Interest	24.12	24.12	-	24.12	24.12	26.09	24.09
101	12/01/14	Purchase	(24.12)	-	(24.12)	-	(24.12)	(26.09)	(24.09)
102	01/02/15	Interest	23.05	23.05	-	23.05	23.05	24.84	23.02
103	01/02/15	Purchase	(23.05)	-	(23.05)	-	(23.05)	(24.84)	(23.02)
104	02/02/15	Interest	20.93	20.93	-	20.93	20.93	22.47	20.90
105	02/02/15	Purchase	(20.93)	-	(20.93)	-	(20.93)	(22.47)	(20.90)
106	03/02/15	Interest	10.12	10.12	-	10.12	10.12	10.82	10.11
107	03/02/15	Purchase	(10.12)	-	(10.12)	-	(10.12)	(10.82)	(10.11)
108	04/01/15	Interest	7.13	7.13	-	7.13	7.13	7.60	7.12
109	04/01/15	Purchase	(7.13)	-	(7.13)	-	(7.13)	(7.60)	(7.12)
110	05/01/15	Interest	6.90	6.90	-	6.90	6.90	7.33	6.89
111	05/01/15	Purchase	(6.90)	-	(6.90)	-	(6.90)	(7.33)	(6.89)
112	06/01/15	Interest	7.13	7.13	-	7.13	7.13	7.54	7.12
113	06/01/15	Purchase	(7.13)	-	(7.13)	-	(7.13)	(7.54)	(7.12)
114	07/01/15	Interest	6.90	6.90	-	6.90	6.90	7.27	6.89
115	07/01/15	Purchase	(6.90)	-	(6.90)	-	(6.90)	(7.27)	(6.89)
116	08/03/15	Interest	7.13	7.13	-	7.13	7.13	7.48	7.12
117	08/03/15	Purchase	(7.13)	-	(7.13)	-	(7.13)	(7.48)	(7.12)
118	09/01/15	Interest	7.13	7.13	-	7.13	7.13	7.46	7.12
119	09/01/15	Purchase	(7.13)	-	(7.13)	-	(7.13)	(7.46)	(7.12)
120	10/01/15	Interest	6.90	6.90	-	6.90	6.90	7.19	6.89
121	10/01/15	Purchase	(6.90)	-	(6.90)	-	(6.90)	(7.19)	(6.89)
122	11/02/15	Interest	7.13	7.13	-	7.13	7.13	7.40	7.12
123	11/02/15	Purchase	(7.13)	-	(7.13)	-	(7.13)	(7.40)	(7.12)
124	12/01/15	Interest	11.80	11.80	-	11.80	11.80	12.20	11.78
125	12/01/15	Purchase	(11.80)	-	(11.80)	-	(11.80)	(12.20)	(11.78)
126	01/04/16	Interest	41.53	41.53	-	41.53	41.53	42.77	41.45
127	01/04/16	Purchase	(41.53)	-	(41.53)	-	(41.53)	(42.77)	(41.45)
128	02/01/16	Interest	80.46	80.46	-	80.46	80.46	82.58	80.31
129	02/01/16	Purchase	(80.46)	-	(80.46)	-	(80.46)	(82.58)	(80.31)
130	03/01/16	Interest	109.19	109.19	-	109.19	109.19	111.65	108.98
131	03/01/16	Purchase	(109.19)	-	(109.19)	-	(109.19)	(111.65)	(108.98)
132	04/01/16	Interest	129.18	129.18	-	129.18	129.18	131.60	128.93

**Project Fund  
Yield Restriction Calculation**

Account#: UBOC 6711791707

No	Date	Description	Cash Flow	Cumulative Cash Flow	Principal Payments and Receipts	Interest Gains/(Loss) Payments and Receipts	Total Payments and Receipts on Investments	Future Value on 08/29/16 @ Bond Yield 4.559764%	Internal Rate of Return Equals 0.041897%
133	04/01/16	Purchase	(129.18)	-	(129.18)	-	(129.18)	(131.60)	(128.93)
134	05/02/16	Interest	133.13	133.13	-	133.13	133.13	135.10	132.87
135	05/02/16	Purchase	(133.13)	-	(133.13)	-	(133.13)	(135.10)	(132.87)
136	06/01/16	Interest	149.93	149.93	-	149.93	149.93	151.59	149.63
137	06/01/16	Purchase	(149.93)	-	(149.93)	-	(149.93)	(151.59)	(149.63)
138	07/01/16	Interest	155.05	155.05	-	155.05	155.05	156.18	154.74
139	07/01/16	Purchase	(155.05)	-	(155.05)	-	(155.05)	(156.18)	(154.74)
140	08/01/16	Interest	149.80	149.80	-	149.80	149.80	150.33	149.49
141	08/01/16	Purchase	(149.80)	-	(149.80)	-	(149.80)	(150.33)	(149.49)
142	08/29/16	Comp Date/Asset Value	840,274.05	840,274.05	840,119.78	154.27	840,274.05	840,274.05	838,515.81
					<b>0.00</b>	<b>1,756.35</b>	<b>1,756.35</b>	<b>(210,019.39)</b>	<b>0.00</b>

Project Fund Summary				
	Total Fund Earnings	Accrued Yield Rest. Amount	Bond Yield	Investment IRR
August 29, 2011 through August 29, 2016	1,756.35	(210,019.39)	4.559764%	0.041897%
<b>Total</b>	<b>1,756.35</b>	<b>(210,019.39)</b>		

### Investment Valuations

*Project Fund*

<b>Investment Description</b>	<b>Principal Amount</b>	<b>Coupon</b>	<b>Purchase Date</b>	<b>Maturity</b>	<b>Purchase Dollar Price</b>	<b>Yield to Maturity</b>	<b>Current Dollar Price</b>	<b>Pricing Method</b>	<b>Accrued Interest</b>	<b>Value as of 08/29/11</b>
Blackrock T-Fund	838,625.16	VR	N/A	N/A	100.0000	VR	100.0000	Par	6.89	838,632.05
<b>Totals:</b>									<u>6.89</u>	<u>838,632.05</u>

*Project Fund*

<b>Investment Description</b>	<b>Principal Amount</b>	<b>Coupon</b>	<b>Purchase Date</b>	<b>Maturity</b>	<b>Purchase Dollar Price</b>	<b>Yield to Maturity</b>	<b>Current Dollar Price</b>	<b>Pricing Method</b>	<b>Accrued Interest</b>	<b>Value as of 08/29/16</b>
Blackrock T-Fund	840,119.78	VR	N/A	N/A	100.0000	VR	100.0000	Par	154.27	840,274.05
<b>Totals:</b>									<u>154.27</u>	<u>840,274.05</u>

## Sources And Uses Of Funds

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**Total Sources Of Funds**


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Par Amount of Bonds	7,845,000.00
Original Issue Premium/(Discount)	(111,418.45)
Plus: Accrued Interest	-
	7,733,581.55

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**Total Uses Of Funds**


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Project Fund	7,500,000.00
Bond Insurance	53,220.34
Surety Bond	12,851.13
Underwriter's Discount	86,295.00
Costs of Issuance Fund	81,215.08
	7,733,581.55

## Bond Yield Analysis

Maturity Date	Principal Amount	Coupon	Interest	Total Debt Service	Annual Debt Service	Present Value to 29-Aug-06 4.559764%
08/29/06						
08/29/06						
04/01/07	-	-	194,865.54	194,865.54	-	189,759.86
10/01/07	150,000	3.500%	165,451.88	315,451.88	510,317.42	300,339.32
04/01/08	-	-	162,826.88	162,826.88	-	151,570.59
10/01/08	185,000	3.500%	162,826.88	347,826.88	510,653.75	316,564.19
04/01/09	-	-	159,589.38	159,589.38	-	142,007.87
10/01/09	190,000	3.500%	159,589.38	349,589.38	509,178.75	304,142.03
04/01/10	-	-	156,264.38	156,264.38	-	132,919.28
10/01/10	200,000	3.600%	156,264.38	356,264.38	512,528.75	296,285.35
04/01/11	-	-	152,664.38	152,664.38	-	124,132.43
10/01/11	205,000	3.625%	152,664.38	357,664.38	510,328.75	284,336.77
04/01/12	-	-	148,948.75	148,948.75	-	115,772.12
10/01/12	215,000	3.650%	148,948.75	363,948.75	512,897.50	276,577.69
04/01/13	-	-	145,025.00	145,025.00	-	107,753.05
10/01/13	220,000	3.700%	145,025.00	365,025.00	510,050.00	265,166.76
04/01/14	-	-	140,955.00	140,955.00	-	100,112.14
10/01/14	230,000	3.750%	140,955.00	370,955.00	511,910.00	257,594.90
04/01/15	-	-	136,642.50	136,642.50	-	92,770.87
10/01/15	240,000	3.850%	136,642.50	376,642.50	513,285.00	250,014.34
04/01/16	-	-	132,022.50	132,022.50	-	85,682.74
10/01/16	250,000	3.875%	132,022.50	382,022.50	514,045.00	242,406.42
04/01/17	-	-	127,178.75	127,178.75	-	78,900.45
10/01/17	255,000	4.000%	127,178.75	382,178.75	509,357.50	231,814.86
04/01/18	-	-	122,078.75	122,078.75	-	72,397.67
10/01/18	265,000	4.050%	122,078.75	387,078.75	509,157.50	224,436.57
04/01/19	-	-	116,712.50	116,712.50	-	66,163.95
10/01/19	280,000	4.125%	116,712.50	396,712.50	513,425.00	219,882.03
04/01/20	-	-	110,937.50	110,937.50	-	60,117.65
10/01/20	290,000	4.250%	110,937.50	400,937.50	511,875.00	212,427.19
04/01/21	-	-	104,775.00	104,775.00	-	54,275.13
10/01/21	300,000	4.300%	104,775.00	404,775.00	509,550.00	205,006.05
04/01/22	-	-	98,325.00	98,325.00	-	48,688.54
10/01/22	315,000	4.500%	98,325.00	413,325.00	511,650.00	200,107.90
04/01/23	-	-	91,237.50	91,237.50	-	43,187.27
10/01/23	330,000	4.500%	91,237.50	421,237.50	512,475.00	194,948.16
04/01/24	-	-	83,812.50	83,812.50	-	37,923.70
10/01/24	345,000	4.500%	83,812.50	428,812.50	512,625.00	189,705.15
04/01/25	-	-	76,050.00	76,050.00	-	32,894.30
10/01/25	360,000	4.500%	76,050.00	436,050.00	512,100.00	184,402.81
04/01/26	-	-	67,950.00	67,950.00	-	28,095.09
10/01/26	375,000	4.500%	67,950.00	442,950.00	510,900.00	179,062.86
04/01/27	-	-	59,512.50	59,512.50	-	23,521.70
10/01/27	395,000	4.500%	59,512.50	454,512.50	514,025.00	175,637.08
04/01/28	-	-	50,625.00	50,625.00	-	19,126.93
10/01/28	410,000	4.500%	50,625.00	460,625.00	511,250.00	170,152.15
04/01/29	-	-	41,400.00	41,400.00	-	14,952.03
10/01/29	430,000	4.500%	41,400.00	471,400.00	512,800.00	166,455.86
04/01/30	-	-	31,725.00	31,725.00	-	10,952.69
10/01/30	450,000	4.500%	31,725.00	481,725.00	513,450.00	162,602.89
04/01/31	-	-	21,600.00	21,600.00	-	7,128.41
10/01/31	470,000	4.500%	21,600.00	491,600.00	513,200.00	158,620.94
04/01/32	-	-	11,025.00	11,025.00	-	3,478.06
10/01/32	490,000	4.500%	11,025.00	501,025.00	512,050.00	154,535.27
<b>Totals</b>	<b>7,845,000</b>		<b>5,460,084.92</b>	<b>13,305,084.92</b>	<b>13,305,084.92</b>	<b>7,667,510.08</b>

## Bond Yield Target

Par Amount	+	7,845,000.00
OIP/(OID)	+	(111,418.45)
Credit Enhancement	+	(66,071.47)
Accrued Interest	+	-
<b>Total</b>		<b>7,667,510.08</b>

Target: 7,667,510.08  
Proof: -

## Debt Service to Stated Maturity

Maturity Date	Principal Amount	Coupon	Interest	Total Debt Service	Annual Debt Service
08/29/06					
08/29/06					
04/01/07	-	-	194,865.54	194,865.54	-
10/01/07	150,000	3.500%	165,451.88	315,451.88	510,317.42
04/01/08	-	-	162,826.88	162,826.88	-
10/01/08	185,000	3.500%	162,826.88	347,826.88	510,653.75
04/01/09	-	-	159,589.38	159,589.38	-
10/01/09	190,000	3.500%	159,589.38	349,589.38	509,178.75
04/01/10	-	-	156,264.38	156,264.38	-
10/01/10	200,000	3.600%	156,264.38	356,264.38	512,528.75
04/01/11	-	-	152,664.38	152,664.38	-
10/01/11	205,000	3.625%	152,664.38	357,664.38	510,328.75
04/01/12	-	-	148,948.75	148,948.75	-
10/01/12	215,000	3.650%	148,948.75	363,948.75	512,897.50
04/01/13	-	-	145,025.00	145,025.00	-
10/01/13	220,000	3.700%	145,025.00	365,025.00	510,050.00
04/01/14	-	-	140,955.00	140,955.00	-
10/01/14	230,000	3.750%	140,955.00	370,955.00	511,910.00
04/01/15	-	-	136,642.50	136,642.50	-
10/01/15	240,000	3.850%	136,642.50	376,642.50	513,285.00
04/01/16	-	-	132,022.50	132,022.50	-
10/01/16	250,000	3.875%	132,022.50	382,022.50	514,045.00
04/01/17	-	-	127,178.75	127,178.75	-
10/01/17	255,000	4.000%	127,178.75	382,178.75	509,357.50
04/01/18	-	-	122,078.75	122,078.75	-
10/01/18	265,000	4.050%	122,078.75	387,078.75	509,157.50
04/01/19	-	-	116,712.50	116,712.50	-
10/01/19	280,000	4.125%	116,712.50	396,712.50	513,425.00
04/01/20	-	-	110,937.50	110,937.50	-
10/01/20	290,000	4.250%	110,937.50	400,937.50	511,875.00
04/01/21	-	-	104,775.00	104,775.00	-
10/01/21	300,000	4.300%	104,775.00	404,775.00	509,550.00
04/01/22	-	-	98,325.00	98,325.00	-
10/01/22	315,000	4.500%	98,325.00	413,325.00	511,650.00
04/01/23	-	-	91,237.50	91,237.50	-
10/01/23	330,000	4.500%	91,237.50	421,237.50	512,475.00
04/01/24	-	-	83,812.50	83,812.50	-
10/01/24	345,000	4.500%	83,812.50	428,812.50	512,625.00
04/01/25	-	-	76,050.00	76,050.00	-
10/01/25	360,000	4.500%	76,050.00	436,050.00	512,100.00
04/01/26	-	-	67,950.00	67,950.00	-
10/01/26	375,000	4.500%	67,950.00	442,950.00	510,900.00
04/01/27	-	-	59,512.50	59,512.50	-
10/01/27	395,000	4.500%	59,512.50	454,512.50	514,025.00
04/01/28	-	-	50,625.00	50,625.00	-
10/01/28	410,000	4.500%	50,625.00	460,625.00	511,250.00
04/01/29	-	-	41,400.00	41,400.00	-
10/01/29	430,000	4.500%	41,400.00	471,400.00	512,800.00
04/01/30	-	-	31,725.00	31,725.00	-
10/01/30	450,000	4.500%	31,725.00	481,725.00	513,450.00
04/01/31	-	-	21,600.00	21,600.00	-
10/01/31	470,000	4.500%	21,600.00	491,600.00	513,200.00
04/01/32	-	-	11,025.00	11,025.00	-
10/01/32	490,000	4.500%	11,025.00	501,025.00	512,050.00
<b>Totals</b>	<b>7,845,000</b>		<b>5,460,084.92</b>	<b>13,305,084.92</b>	<b>13,305,084.92</b>

## Production Report

Maturity Date	Principal Amount	Coupon	Yield	Price	Priced to--	Issue Price	Years to Maturity	Bond Years	Yield To Call Bond?
08/29/06									
08/29/06									
04/01/07				-		-	-	-	-
10/01/07	150,000	3.500%	3.500%	100.000	Maturity	150,000.00	1.09	163,333	-
04/01/08				-		-	-	-	-
10/01/08	185,000	3.500%	3.540%	99.917	Maturity	184,846.45	2.09	386,124	-
04/01/09				-		-	-	-	-
10/01/09	190,000	3.500%	3.600%	99.707	Maturity	189,443.30	3.09	585,169	-
04/01/10				-		-	-	-	-
10/01/10	200,000	3.600%	3.680%	99.696	Maturity	199,392.00	4.09	815,292	-
04/01/11				-		-	-	-	-
10/01/11	205,000	3.625%	3.720%	99.560	Maturity	204,098.00	5.09	1,038,632	-
04/01/12				-		-	-	-	-
10/01/12	215,000	3.650%	3.760%	99.403	Maturity	213,716.45	6.09	1,301,296	-
04/01/13				-		-	-	-	-
10/01/13	220,000	3.700%	3.820%	99.258	Maturity	218,367.60	7.09	1,547,984	-
04/01/14				-		-	-	-	-
10/01/14	230,000	3.750%	3.880%	99.102	Maturity	227,934.60	8.09	1,843,738	-
04/01/15				-		-	-	-	-
10/01/15	240,000	3.850%	3.940%	99.315	Maturity	238,356.00	9.09	2,166,391	-
04/01/16				-		-	-	-	-
10/01/16	250,000	3.875%	4.000%	98.967	Maturity	247,417.50	10.09	2,496,168	-
04/01/17				-		-	-	-	-
10/01/17	255,000	4.000%	4.080%	99.289	Maturity	253,186.95	11.09	2,807,562	No
04/01/18				-		-	-	-	-
10/01/18	265,000	4.050%	4.160%	98.960	Maturity	262,244.00	12.09	3,170,239	No
04/01/19				-		-	-	-	-
10/01/19	280,000	4.125%	4.250%	98.751	Maturity	276,502.80	13.09	3,619,114	No
04/01/20				-		-	-	-	-
10/01/20	290,000	4.250%	4.350%	98.951	Maturity	286,957.90	14.09	4,042,918	No
04/01/21				-		-	-	-	-
10/01/21	300,000	4.300%	4.420%	98.685	Maturity	296,055.00	15.09	4,467,141	No
04/01/22				-		-	-	-	-
10/01/22	315,000	4.500%	4.620%	98.390	10/1/2027	309,928.50	16.09	4,986,405	No
04/01/23				-		-	-	-	-
10/01/23	330,000	4.500%	4.620%	98.390	10/1/2027	324,687.00	17.09	5,548,540	No
04/01/24				-		-	-	-	-
10/01/24	345,000	4.500%	4.620%	98.390	10/1/2027	339,445.50	18.09	6,140,192	No
04/01/25				-		-	-	-	-
10/01/25	360,000	4.500%	4.620%	98.390	10/1/2027	354,204.00	19.09	6,761,361	No
04/01/26				-		-	-	-	-
10/01/26	375,000	4.500%	4.620%	98.390	10/1/2027	368,962.50	20.09	7,412,047	No
04/01/27				-		-	-	-	-
10/01/27	395,000	4.500%	4.620%	98.390	Maturity	388,640.50	21.09	8,195,996	No
04/01/28				-		-	-	-	-
10/01/28	410,000	4.500%	4.650%	97.742	10/1/2032	400,742.20	22.09	8,851,950	No
04/01/29				-		-	-	-	-
10/01/29	430,000	4.500%	4.650%	97.742	10/1/2032	420,290.60	23.09	9,704,043	No
04/01/30				-		-	-	-	-
10/01/30	450,000	4.500%	4.650%	97.742	10/1/2032	439,839.00	24.09	10,595,233	No
04/01/31				-		-	-	-	-
10/01/31	470,000	4.500%	4.650%	97.742	10/1/2032	459,387.40	25.09	11,525,519	No
04/01/32				-		-	-	-	-
10/01/32	490,000	4.500%	4.650%	97.742	Maturity	478,935.80	26.09	12,494,903	No
<b>Totals</b>	<b>7,845,000.00</b>					<b>7,733,581.55</b>		<b>122,667,288.92</b>	

Total Interest Cost	+	5,460,084.92
OIP/(OID)	-	(111,418.45)
Accrued Interest	-	-
<b>Adjusted Total</b>		<b>5,571,503.37</b>

<b>W.A.M.</b>	=	15.8616
(Weighted Average Maturity)		
<b>N.I.C.</b>	=	4.541900%
(Net Interest Cost)		

ORDINANCE NO. \_\_\_\_\_

**AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF RIPON REPEALING  
ORDINANCE NOS. 486, 763 AND 346**

BE IT ORDAINED BY THE CITY OF COUNCIL OF THE CITY OF RIPON AS  
FOLLOWS:

**SECTION 1. REPEALING ORDINANCES**

1. That Ordinance No. 486 Adding Chapter 5.28 to the Ripon Municipal Code, entitled “Cable Communications” is hereby repealed in its entirety.
2. That Ordinance No. 763 Adding Chapter 5.29 to the Ripon Municipal Code, entitled “State Video Service Franchises” is hereby repealed in its entirety.
3. That Ordinance No. 346 Adding Chapter 5.32 to the Ripon Municipal Code, entitled “Cable Television Installation Requirements” is hereby repealed in its entirety.

**SECTION 2. NO MANDATORY DUTY OF CARE.** This Ordinance is not intended to, and shall not be construed or given effect in a manner that imposes upon the City or any of its officers, agents, employees or volunteers, a mandatory duty of care towards persons and property, so as to provide a basis of civil liability for damages, except as otherwise imposed by law.

**SECTION 3. SEVERABILITY.** If any section, subsection, sentence, clause or phrase of this Chapter is for any reason held to be invalid or unconstitutional by a decision of a court of competent jurisdiction, such decision shall not affect the validity of the remaining portions of this Chapter. The City Council hereby declares that it would have passed the ordinance codified in this Chapter, and each and every section, subsection, sentence, clause or phrase not declared invalid or unconstitutional without regard to whether any portion of this Chapter would be subsequently declared invalid or unconstitutional.

**SECTION 4. EFFECTIVE DATE.** This ordinance shall go into effect and be in full force and operation from and after thirty (30) days after its final passage and adoption.

**SECTION 6. PUBLICATION.** At least two (2) days prior to its final adoption, copies of this ordinance shall be posted at City Hall and on the City of Ripon website, and a notice shall be published once in *The Manteca Bulletin*, the official newspaper of the City of Ripon, setting forth the title of this ordinance, the date of its introduction and the places where this ordinance is posted.

The foregoing ordinance was introduced at a regular meeting of the Council of the City of Ripon held on the \_\_\_\_\_, by Councilmember \_\_\_\_\_, who moved its introduction and passage to print, which motion being duly seconded by Councilmember \_\_\_\_\_, was upon roll call carried and ordered printed and published by the following vote:

AYES:  
NOES:  
ABSENT:  
APPROVED:

---

JACOB PARKS, Mayor

ATTEST:  
By

---

LISA ROOS, City Clerk



# MEMO

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## Engineering Department

TO: Honorable City Council

FROM: James Pease, Engineering Supervisor

SUBJECT: Memorandum of Understanding between the San Joaquin Council of Governments (SJCOG) and the City of Ripon

DATE: October 31, 2016

In 2012, the City of Ripon was added to the Manteca Urbanized Area (UZA) as a result of the 2010 census; previously Ripon was included in the Modesto UZA. With that, Manteca is able to claim Federal Transit Administration (FTA) funding for capital, operating, and planning assistance for the delivery of transit projects. Based on Ripon's population, Ripon accounts for 11 percent of the UZA population.

Cal Trans, who administers the FTA funding, requires that a Memorandum of Understanding has been agreed to between SJCOG and each of the cities within the UZA that describes the coordination of transit planning and programming of federal funds that support the on-going and future transit needs in the San Joaquin region.

Staff is recommending that the City Council authorize the City Administrator to sign the MOU between SJCOG and City of Ripon.

Attachments:  
Memorandum of Understanding – SJCOG/Ripon

**MEMORANDUM OF UNDERSTANDING**

**BETWEEN THE**

**SAN JOAQUIN COUNCIL OF GOVERNMENTS**

**AND**

**CITY OF RIPON.**

*“Regarding the Coordination of Ongoing Transit Planning and Programming of Federal Funds that Support the Ongoing and Future Deployment of Transit Services in the San Joaquin Region*

This Memorandum of Understanding (MOU) is entered into between the SAN JOAQUIN COUNCIL OF GOVERNMENTS (SJCOG) and the CITY OF RIPON (“CITY OF RIPON”), hereinafter referred to as the (Parties) and singularly as (Party), as of this \_\_\_ day of \_\_\_\_\_, 2016.

*WITNESSES THAT:*

*WHEREAS*, CITY OF RIPON is a public transportation operator that provides service in the Manteca urbanized area and is eligible to apply for and receive Federal Transit Administration (FTA) and/or Federal Highways Administration (FHWA) transit funding for capital, operating, and planning assistance for the delivery of public mass transportation; and

*WHEREAS*, SJCOG is the Regional Transportation Planning Agency (RTPA) for the county of San Joaquin, and the cities therein, and is the Metropolitan Planning Organization (MPO) for the San Joaquin region, directed by a duly comprised Board of Directors of elected officials with a committee structure that represents all of the transit operators in the region, the Interagency Transit Committee (ITC), to advise the SJCOG Board on all planning and policy questions for transit issues of regional concern; and

*WHEREAS*, SJCOG, CITY OF RIPON, and transit operators in the San Joaquin region rely upon a cooperative relationship to foster comprehensive regional transit planning which feeds directly into state and national planning; and

*WHEREAS*, this MOU (Contract #C-2017-27), replaces and supersedes any other Transit MOU between the Parties.

*WHEREAS*, this MOU describes the planning and programming relationship between SJCOG and CITY OF RIPON; and

*WHEREAS*, the (Fixing America’s Surface Transportation Act, or “FAST Act,” requires MPOs to work cooperatively with public transit operators to develop Regional Transportation Plans (RTPs) and Federal Transportation Improvement Programs (FTIPs) for urbanized areas, which are intended to further the national interest to encourage and promote the safe and efficient management, operation, and development of surface transportation systems to serve the mobility of people and freight and foster economic growth and development within and through urbanized areas, while minimizing transportation-related fuel consumption and air pollution; and

*WHEREAS*, the FAST Act also requires MPOs to work cooperatively with public transit operators to implement performance-based planning and programming for urbanized areas, which are intended to improve enhance the

improve the safety of the nation's public transportation systems, ensure that those systems are in a state of good repair, and provide increased transparency into agencies' budgetary decision-making processes; and

*WHEREAS*, the FTA in 23 CFR Section 450.310, requires either an MOU or an overall (unified) planning work program between the MPO and all local authorities and transit operators receiving FTA funds to specify the procedures for carrying out transportation planning and fund programming; and

*WHEREAS*, SJCOG will work cooperatively with the CITY OF RIPON and transit operators in the San Joaquin region to establish a process and a set of guiding principles for the selection of transit projects to be included in the TIP;

*NOW, THEREFORE*, in consideration of the mutual benefits to the transit operators and jurisdictions hereto, and in consideration of the covenants and conditions herein contained, SJCOG and CITY OF RIPON agree as follows:

## **SECTION 1: Cooperative Relationship**

### **1.1 MOU Purpose and Intent**

The purposes of this MOU are to:

- a) Set forth the basic structure for cooperative planning and decision making regarding transit planning and programming between SJCOG, CITY OF RIPON, and transit operators in the San Joaquin region; and
- b) Foster a mutually beneficial working relationship between the Parties for the provision of comprehensive, effective, and coordinated transit planning between each jurisdiction's public mass transportation system; and
- c) Identify the regional transit planning responsibilities, in coordination with the State of California, for programming federal funds within the SJCOG Federal Transportation Improvement Program (FTIP); and
- d) Codify the process for selection of transit projects and sub-allocation of federal funds in the Manteca urbanized area (UZA); and
- e) Ensure that federal transit funds are distributed in the region in compliance with federal requirements; and

The intent of this MOU is to:

- a) Articulate a transparent process for funding transit projects with federal dollars in full accordance with federal and state regulations; and
- b) Support implementation of a performance-based approach to transportation decision making; and
- c) Foster economies of scale through assistance in the coordination of funding for mutually beneficial capital projects, including shared transit facilities and bus purchase contracts; and
- d) Provide for coordinated planning and foster coordinated services; and
- e) Apply federal transit dollars to implement transit priorities identified in planning documents for the San Joaquin region, such as the SJCOG Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS) and Ripon's Short Range Transit Plan (SRTP).

## 1.2 Representation on SJCOG Interagency Transit Committee

The Interagency Transit Committee (ITC) was created to improve communication among the transit agencies within San Joaquin County and serves as the primary committee to coordinate regional transit planning and programming of federal transit related funds. Representatives from transit operators in the region, as well as from SJCOG member agencies, are invited to serve on the ITC. Each Party shall provide one (1) representative and one (1) alternate to serve on ITC on matters that pertain to this MOU, or shall identify an ITC representative from another agency/jurisdiction to serve as their representative. Each Party agrees to work cooperatively with SJCOG, other transit operators and member agencies in ensuring the provision of coordinated, region-wide transit services. SJCOG shall coordinate and facilitate activities related to the ITC.

## 1.3 Communication and Agreements

A critical component of coordination involves open and productive communication. SJCOG is required to update the FTIP/RTP every even-numbered year and the RTP every four (4) years. Responsive communication between the Parties is imperative in order to meet this mandate.

Within the designated urbanized area, transit operators that meet the applicable federal requirements are eligible to apply for FTA and/or FHWA transit funding for capital, operating, and planning assistance for the delivery of public mass transportation under arrangements made through an MOU between the Party and SJCOG consistent with FTA and FHWA requirements or MOUs between Parties who will be recipients of federal funds.

### Annual Certifications and Assurances Regarding FTA Grant Programs

By signing this Agreement, each Party, and its subrecipients, certifies to comply with the applicable Annual Certifications and Assurances for FTA Grant Programs, including the Urbanized Area Formula Grants (5307), published annually in the Federal Register, and agree to forward to SJCOG a signed copy of the Certifications and Assurances form for each year prior to the time the Party receives its first FTA Urbanized Area Formula Grants (5307) and Bus and Bus Facilities (5339) Programs grant award for the year.

### Public Involvement

The federal regulations for metropolitan planning under FAST Act are incorporated within the SJCOG adopted public participation plan. Federal law requires that the MPO work cooperatively with the state department of transportation and the regional transit operators to provide citizens, affected public agencies, representatives of transportation agencies, freight shippers, private providers of transportation, representative users of public transit, and other interested transit operators and jurisdictions a reasonable opportunity to comment on proposed transportation plans and programs.

To receive a FTA grant, a grant applicant must meet certain public participation requirements in development of the FTA programs. Per FTA Circular 9030.1D, Chapter IV, FTA considers a grantee to have met the public participation requirements associated with the annual development of the Program of Projects (POP) when the grantee follows the public involvement process outlined in the FHWA/FTA planning regulations for the TIP (see MOU Section 3).

All SJCOG public involvement efforts are consistent with Title VI of the Civil Rights Act and the Executive Order on Environmental Justice.

## 1.4 Responsibilities

The Executive Director of SJCOG and City Administrator of CITY OF RIPON are the primary individuals responsible for ensuring compliance with the provisions specified in this MOU.

## SECTION 2: Transit Planning

### 2.1 Planning Assistance

Upon request, or in order to maintain eligibility for federal funds, SJCOG will assist in the development of transit planning documents produced by the Party. The type of assistance provided by SJCOG will include, but is not limited to, the following:

- a) Obtain and analyze data from various sources to develop concrete demographic, growth, and use assumptions for the purpose of transit forecasting and development (e.g., trip generation tables, census information, maps);
- b) Assist in obtaining state and federal funding of projects consistent with the SJCOG RTP/SCS and FTIP/RTP (e.g., completing paper work, facilitating FTIP/RTP amendments);
- c) Provide a program through the FTIP/RTP or Overall Work Program (OWP) through which federal funds can be authorized for expenditure; and
- d) Support operators in our MPO function in compliance with FAST Act mandates

A final copy of all transit planning documents, including FTA Triennial Audits, National Transit Database, and State Controller Reports, as well as the transit asset management plans and safety plans produced by the Party, will be forwarded to SJCOG. This will assist SJCOG in overall transit planning coordination as well as ensuring that FTA and FHWA transit funds are used as planned, as per FTA and FHWA requirements.

### 2.2 Regional Planning (Regional Transit Coordination)

SJCOG will provide a forum that will foster partnerships and coordination in the development of public transit services throughout the San Joaquin region. Items to be considered should include fares, transfer and pass policies, transit information, marketing, schedules, service coordination, data needed to meet periodic reporting requirements, and other activities as required.

As part of its MPO role, SJCOG will continue the cooperative and coordinated planning of the transportation system in each jurisdiction Party to this MOU and the relationship of the regional and interregional transit network within the regional transportation system.

As MPO, SJCOG will also be responsible for the development of required regional planning documents for the San Joaquin region, such as the RTP/SCS. The Party will provide technical information during the development of these regional planning documents through the SJCOG committee structure.

### 2.3 Long-Range Regional Transportation Plan - Regional Transportation Plan

SJCOG agrees to prepare, adopt and maintain, as required, a long-range Regional Transportation Plan (RTP). In accordance with the planning regulations and FTA and FHWA guidance, the CITY OF RIPON will participate in the development of SJCOG's RTP, referred to as the Regional Transportation Plan/Sustainable Communities Strategy (RTP/SCS). The RTP/SCS will assess the transportation needs of the region and set forth improvements necessary to address those needs over a minimum twenty (20) year period. SJCOG updates its RTP/SCS every four (4) years, consistent with federal and state guidelines.

In order to comply with the planning regulations and federal guidance for the development of the RTP/SCS, the CITY OF RIPON agrees to work cooperatively in the refinement of the RTP through the conduct of and participation in multimodal transportation studies. The CITY OF RIPON also agrees to provide information required to fully comply with the federal requirements. Examples of the type of information required to be provided to SJCOG by transit operators include, but are not limited to, the following:

- a) An overview of key performance measures of existing transit systems;
- b) Transit demand projections;
- c) Anticipated fleet replacement and expansion needs (Transit Asset Management Plan and targets and Agency Safety Plans and targets);
- d) Anticipated equipment replacement and rehabilitation needs;
- e) Anticipated facility needs;
- f) System improvement strategies with time frames for action;
- g) A financial plan, including expected revenues, planned expenditures, documentation of fiscal ability to operate and expand services and strategies to deal with potential funding support changes; and

To the extent that a current, adopted Short-Range Transit Plan, required by FTA for direct receipt of federal transit funding, includes the foregoing information, then providing SJCOG with a copy of a Short-Range Transit Plan will be deemed in compliance with the RTP information submittal requirements.

## **2.4 Short-Range Transit Plan**

In response to FTA and FHWA planning regulations and guidance, the CITY OF RIPON will prepare SRTPs that set out transit planning and programming for a ten-year period. The SRTP will be updated every five years. These SRTPs will provide input for SJCOG's preparation of the Transportation Improvement Program. The SRTPs will address unmet transit needs and service level sustainment, in addition to other agency-specific concerns. Future SRTPs shall contain a list of projects for future FTA and FHWA transit funding. The project list shall:

- a) Identify and describe the scope of the specific projects and services, which address ongoing and increased transit demands. These projects and services, which include but are not limited to, Americans with Disabilities Act (ADA) and Transportation Control Measures (TCMs), shall be described with sufficient detail (design, concept, and scope) to permit air quality conformity analysis to be performed by SJCOG. The list shall also address the issues related to unmet transit needs that are reasonable to meet.
- b) Identify the amount and type of federal and non-federal funds required to support the projects for each year represented in the Plan. In addition, the list shall identify anticipated discretionary funding estimates for the FTIP/RTP and RTP.

SJCOG will work cooperatively with the CITY OF RIPON in their efforts to generate information needed to prepare their SRTPs and future updates.

## **2.5 Air Quality**

Currently, the San Joaquin Valley (or portions thereof) is designated as nonattainment with respect to Federal air quality standards for ozone, and particulate matter under 2.5 microns in diameter (PM<sub>2.5</sub>); and has a maintenance plan for particulate matter under 10 microns in diameter (PM-10), as well as a maintenance plan for carbon monoxide (CO) for the urbanized/metropolitan areas of Kern, Fresno, Stanislaus and San Joaquin Counties. Therefore, transportation plans and programs for the nonattainment areas for the San Joaquin County area must satisfy the requirements of the Federal transportation conformity regulation.

In nonattainment areas for air quality standards, the MPO is responsible for determining conformity of the TIP and RTP with the State Implementation Plan to achieve air quality standards. The goal is to ensure that transportation plans, programs, and projects do not adversely affect the region's air quality standards.

Conformity consultation in the San Joaquin Region is to be done in accordance with 40 CFR 93.105. Under these requirements, SJCOG consults with local governments and appropriate State and federal agencies on the TIP, RTP, conformity analysis, and the SJCOG Overall Work Program. For local government consultation, the CITY OF RIPON and SJCOG will work collaboratively on amendments to the TIP and RTP and ensure those amendments are in compliance with air quality conformity requirements.

## **2.6 Overall Work Program**

Since 1969, SJCOG has filled a variety of roles for its member jurisdictions and San Joaquin County, including serving as the Regional Transportation Planning Agency, Metropolitan Planning Organization, Airport Land Use Commission, Census Data Center, Congestion Management Agency, Local Transportation Authority, Regional Housing Needs Allocation, Lead Agency – Transportation Air Quality Attainment, and San Joaquin County Multi-Species Conservation Plan.

The Overall Work Program (OWP) is a management tool and is developed to address the core planning functions, tasks, and products that SJCOG will undertake to deliver during each fiscal year. SJCOG develops its annual program of projects in consultation with interested transit operators and local government agencies. Through a collaborative process with federal, state and local agencies, SJCOG also seeks input on the OWP from the public on key issues facing the San Joaquin region. Planning for the OWP is a continuous process. Each year, the draft OWP is provided to local, state and federal agencies for review. The draft OWP is presented to the SJCOG Board in the month of February and, upon approval, is sent to Caltrans and FTA/FHWA, and posted on the web site for general public review. SJCOG then responds to the comments received and the Board adopts the Final OWP by April 1 of each year.

## **SECTION 3: Programming of Federal Funds**

### **3.1 Federal Funds Sub-Allocation Process (FTA Grant Process)**

FTA planning guidelines state that using a predetermined split or formula for sub-allocating funds in the Urbanized Area (UZA) is not necessarily consistent with the goals of the metropolitan planning process.

Therefore, to sub-allocate FTA Urbanized Area Formula Grants (5307) and Bus and Bus Facilities (5339) Program funds, SJCOG, in cooperation and coordination with the CITY OF RIPON (direct and/or subrecipients of the Federal formula funds in the Manteca UZA), will develop a locally-acceptable methodology for the programming of the Federal formula funds. This methodology will be re-visited on an annual basis through a comprehensive planning process and will include collaboration with all transit stakeholders in the UZA. The methodology will go through the ITC committee for a region-wide consultation process. Changes to this methodology that are approved by the Parties will also go through regular ITC meetings.

The same notices of intent, publication of proposed projects, and public involvement and review also shall be used to fulfill the public hearing requirements of 49 USC Section 5307, covering review and approval of FTA grant applications for FTIP/RTP projects.

### **3.2 Federal Transportation Improvement Program (FTIP) Programming**

The parties agree to use the Earned Share Sub-allocation process as the focal point for making an annual determination regarding the distribution of federal funds available for allocation by SJCOG within the Manteca urbanized area. The Parties agree that it is desirable to ensure that a stable funding stream is available for all area operators that allows the operators to carry out coordinated services throughout the urbanized area.

SJCOG will use the Sub-Allocation process to develop its biennial program of projects for FTA formula funds. Following direct consultation with the Party, as well as all transit operators and member agencies, SJCOG distributes notices of intent to develop or amend the FTIP/MTIP, publishes the proposed program of projects to be adopted, and carries out a public involvement and review process for FTIP/RTP adoption or amendment, in compliance with 23 CFR Sections 450.312 and 450.324. Any transit operator or member agency seeking FTIP/RTP programming and subsequent grant approvals will provide SJCOG with sufficient project detail to convey understanding of the projects by all interested agencies and persons, meet FTA grant application requirements, and provide a clear linkage to FTIP/RTP project descriptions.

As part of the FTIP/RTP process, projects are programmed in the FTIP/RTP on behalf of all transit providers receiving federal funds. SJCOG, the Party, and all other transit operators affected by the UZA funding, shall meet annually to use the locally-developed Sub-Allocation process and recommend a prioritized list of projects for the allocation of federal funds, which would include all FTA 5307 funds apportioned to the UZA plus additional federal funds that may be available for distribution from FTA and FHWA.

The ITC will identify priority projects and endeavor to program the use of said funds based on factors that are cooperatively developed by the SJCOG, the CITY OF RIPON , and other affected transit operators, with final approval by SJCOG Board.

### **3.3 Applications for Transit Funding (FTA Grant Administration)**

As the Metropolitan Planning Organization, SJCOG is responsible for programming federal formula funds allocated under the Federal Transit Act and identifying these funds in the federal transportation improvement program. Participation in this MOU is required for the receipt of Federal FTA and/or FHWA funds, specifically the FTA Urbanized Area Formula Grants (5307) and Bus and Bus Facilities (5339) Programs.

After the completion of the Sub-allocation process, each Party seeking federal transit funding, in association with the other transit operators and jurisdictions, will prepare applications to the FTA or FHWA for federal transit funding. Draft applications will be submitted to SJCOG using the FTA Transportation Electronic Award and Management (TEAM) system or TrAMS, FTA's next generation of TEAM or another mutually agreed upon method, in advance of the FTA or FHWA submittal to confirm accuracy and consistency with FTIP/RTP programming requirements and with the local SRTP and SJCOG RTP/SCS, as required by federal guidelines.

All Parties agree to work in good faith to develop consistent programming, documentation, and funding requests in a manner consistent with FTA or FHWA requirements.

## **SECTION 4: FTIP Project Monitoring & Maintenance**

### **4.1 Progress Reporting**

SJCOG is responsible for tracking the overall progress of all projects in the FTIP/RTP and is required to produce an annual list of projects for which federal funds have been obligated in the preceding year and will ensure that it is made available for public review.

The Party will assist SJCOG's efforts to track the overall progress of transit projects in the FTIP/RTP through providing basic access to their FTA TEAM accounts. At a minimum, milestone/progress reports submitted to FTA and reviewed by SJCOG shall contain all of the information required in FTA Circular 5010, as amended, for grant administration procedures. If project specific questions are raised by FTA or SJCOG that cannot be answered through review of the TEAM documentation, the Party will, upon request, provide SJCOG additional information. Examples of information that may be periodically requested may include the following:

- a) A classification of the projects by the individual categories, as identified in the FTIP/RTP;
- b) A documentation of the stage of project implementation;
- c) An explanation for any project delays if the project is behind schedule;
- d) The reasons for any cost overruns if the project is over budget;
- e) A status update on the amount of federal funding obligated, received, and used to support projects;
- f) Any identified needs for an FTIP/RTP amendment; and
- g) Project savings to be reverted, if any, at project completion;

### **4.2 FTIP/RTP Amendments**

SJCOG processes FTIP/RTP modifications and amendments periodically. Each transit operator or member agency receiving transit funding is responsible for notifying SJCOG if there is the need to amend the FTIP/RTP. Amendments may require three to four months to process for approval. SJCOG typically processes FTIP/RTP amendments on a quarterly basis. A formal request for changes in project cost, scope, or schedule must be made to be incorporated in an amendment. Certain minor adjustments and administrative and project budget modifications can be made outside the formal amendment process, but must be requested in writing. Due to the complexity of making federal funding changes, the Transit Agency should allow plenty of time and engage SJCOG early.

As part of the quarterly progress report, or more frequent reporting if required, each transit operator or member agency receiving transit funding will notify SJCOG regarding the reasons an amendment to the FTIP/RTP is needed. Amendments may be needed to address issues such as funding shortfalls, delays in project implementation, and/or new projects that need to be included in the FTIP/RTP. Subrecipients of FTA funding shall regularly update SJCOG on project status, and SJCOG shall periodically provide a grant status review to the ITC.

## **SECTION 5: Additional Terms and Conditions of the MOU**

### **5.1 MOU Amendments**

This AGREEMENT may be amended at any time by the mutual agreement of the parties hereto.

### **5.2 MOU Withdrawal; MOU Termination**

Participation in the MOU may be terminated by any of the parties hereto provided that the terminating party provides notice to the other party at least ninety (90) days prior to the date of termination. Termination or withdrawal by any single Party will result in the halt of certain activities, which in turn, may affect the selecting, funding, and grant application of state and federally funded transit projects. It also does not relieve any Party from state and federal mandates. Furthermore, a termination or withdrawal means that all Parties who are signatory to the MOU will need to meet and confer. All Parties must determine how best to continue the purpose and intent of the MOU and discuss how to get a replacement MOU implemented.

### **5.3 Notice**

Any notice under this MOU shall be in writing and either personally delivered or sent by First Class U.S. Mail, postage pre-paid, addressed as follows:

**SJCOG**

Andrew Chesley  
Executive Director  
San Joaquin Council of Governments  
555 E. Weber Avenue  
Stockton, CA 95202

**CITY OF RIPON**

Kevin Werner  
City Administrator  
City of Ripon  
259 N. Wilma Avenue  
Ripon, CA 95366

### **5.4 Counterparts**

The Parties agree that this MOU may be signed in one or more counterparts, each of which will constitute an original and all of which taken together shall constitute one and the same instrument.





# MEMO

## Engineering Department

TO: Honorable City Council

FROM: James Pease, Engineering Supervisor

SUBJECT: River Road Intersection and Sidewalk Improvement Project  
General Services Agreement – MID Electrical Pole Relocation

DATE: October 31, 2016

As you are aware, staff is moving forward with the River Road Intersection and Sidewalk Installation Project. The Modesto Irrigation District currently has an existing utility pole located within the project limits serving the existing house on the north east corner of River and Fulton. In order to submit the proper right-of-way clearance documentation to Caltrans for the road widening portion of the project, the pole will need to be relocated outside of the project limits.

### Fiscal Impact

The attached proposal from the Modesto Irrigation District to complete the utility pole relocation is for \$4,900. Below is the original estimate and approved funding for the project. The cost for the pole relocation is within the estimate for right-of-way services for the project.

Project Element	Engineers Estimate	Funding Source
Design	\$ 30,000	City Transportation Fund
Right of Way	\$ 30,000	City Transportation Fund
Environmental	\$ 29,500	City Transportation Fund
Construction	\$ 1,364,000	ATP/CMAQ/RSTP
Construction Management	\$ 36,000	RSTP

### Recommendation

I am requesting Council approve the proposal from MID to relocate the utility pole, authorize the mayor to sign the agreement and for staff to proceed.

Attachments:  
Modesto Irrigation District Proposal

October 4, 2016

City of Ripon  
Attn: James Pease  
259 N. Wilma Ave  
Ripon, CA 95366

Subject: Relocating (1) MID Pole  
Location: 14281 W River Rd, Ripon CA

The District has received your request to relocate the existing MID pole #5M2-X4-04 currently located at the above location. You will be required to do the following prior to M.I.D. relocating this pole.

Please be advised if the scope of the work should change by request, customer shall be charged for all additional work.

- 1) The customer will be responsible for all cost incurred by the District. The estimated cost of **\$4,900.00** shall be deposited with the District prior to construction. This includes an administration fee of **\$1,059.09**. After the District completes all work, the customer will be billed or refunded the difference between the estimated and actual cost.
- 2) City of Ripon will provide MID all necessary easements or rights-of-way for the relocated facilities, and that the relocated facilities are acceptable to neighboring customers.
- 3) City of Ripon will be responsible to obtain all the necessary permits or authorizations for the relocated facilities.
- 4) City of Ripon will be required to provide MID with proper survey monumentation for MID's use in staking the new pole location. In addition, existing monuments shall be located prior to any construction activity. Monuments shall be properly staked and preserved during all construction activities. This may include right of way, grade, back of walk, and traffic signaling. City of Ripon Surveyor shall coordinate this information with MID's Electric Engineering Department.
- 5) The customer is responsible for contacting all other utilities to determine the respective utilities' requirements and costs, if any.
- 6) City of Ripon is required to maintain proper clearances as per State of California – California Public Utilities Commission's General Order No. 95 on all street lights and traffic signals.
- 7) City of Ripon will be responsible for any tree trimming to accommodate new and/or relocated power lines in accordance with MID tree trimming requirements. Contact MID's Mike Sturdivan at (209)557-1520 for the requirements.

If you agree to the terms described in this letter, please sign and return one copy of this letter.

If you have any questions regarding this request, please feel free to contact me at (209)526-7460 or e-mail me at [bruce.curtis@mid.org](mailto:bruce.curtis@mid.org).

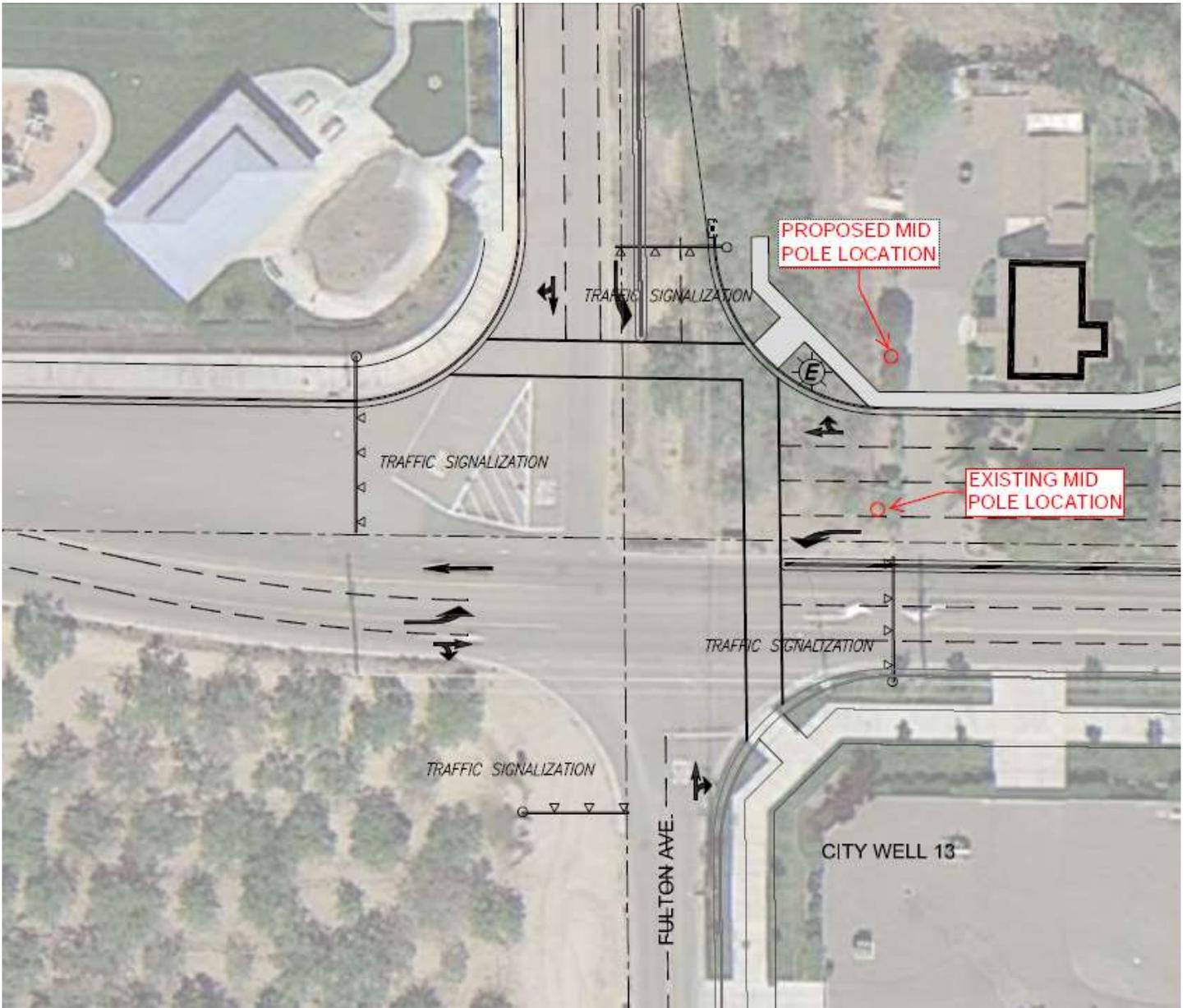
Sincerely,

MODESTO IRRIGATION DISTRICT



Bruce Curtis  
Engineering Technician I

Approved by: \_\_\_\_\_ Date: \_\_\_\_\_





# MEMO

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Engineering Department

**TO:** Honorable City Council

**FROM:** James Pease, Engineering Supervisor

**SUBJECT:** Project Award - Well 5 and 12 Rehabilitation Project

**DATE:** October 31, 2016

## BACKGROUND

In late 2014 the City contracted with Wood Rodgers, Inc. to evaluate potential well site locations based on known contamination, existing well site locations, and proximity to existing infrastructure. At the February 17, 2015 council meeting Wood Rodgers, Inc. presented their findings to the Council. During the well site evaluation, Wood Rodgers, Inc. discovered that two existing wells (5 and 12) appear to be conduits connecting the shallow aquifers with the intermediate aquifers. The majority of the City's potable water supply meeting the State drinking water standards comes from the intermediate and lower aquifers. The conduit provided through well 5 and 12 allows any contaminants from the upper aquifers to migrate down and compromise the water quality of the lower aquifers. This has affected the water quality of wells 5 and 12 and over time the cross contamination will negatively affect the quality of water in surrounding wells. In May of 2015, the City Council approved a proposal from Wood Rodgers, Inc. to provide an assessment of water quality and design a work plan to rehabilitate wells 5 and 12 to eliminate the cross contamination.

## WELL 5 & 12 REHABILITATION PROJECT

Wood Rodgers, Inc. completed the work plan design and on October 25, 2016 bids for the Well 5 and 12 Rehabilitation Project were opened. Four bids were received, as summarized below:

North State Drilling:	\$273,475.00
Abundant Water Wells:	\$305,883.00
Stonehouse Drilling:	\$342,351.00
Zim Industries:	\$389,970.00

The project is funded through the City enterprise capital water fund. City staff has reviewed the bids and found North State Drilling to be the lowest responsible and responsive bidder. The Contractor has submitted the bid bond and all required documents. I am recommending that City Council award the contract to North State Drilling in the amount of \$273,475.00 and authorize staff to proceed.



# MEMO

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## Engineering Department

**TO:** Honorable City Council

**FROM:** James Pease, Engineering Supervisor

**SUBJECT:** Asphalt Rubber Cape Seal Project 2016 – Change Order #2

**DATE:** October 31, 2016

### BACKGROUND

The contract for the Asphalt Rubber Cape Seal Project 2016 was awarded to American Pavement Systems, Inc. at the August 13, 2016 Council meeting for \$599,656. A change order was approved at the October 11, 2016 Council meeting for \$21,015 to repair failed sections of the valley gutters and asphalt on Main Street between Acacia and Industrial Avenues. Construction of the project is currently underway.

### CHANGE ORDER

As part of the project, four different test methods were included to evaluate the different applications over roadways in very poor condition. The intent was to observe these alternate treatments over time to determine if more cost effective methods are viable compared with the expensive cost of reconstructing the entire roadway. Two of the test section methods on Mulholand Drive between Manley Road and Azalea Street included treating the large cracks with different filling materials and then a light grind with a two inch asphalt overlay. During the grinding operation performed by the contractor, it was found that the existing roadway section on Mulholand Drive was only two inches of asphalt over native soil with no sub-base material. Staff was left with two options to moving forward, either place back two inches of asphalt over the native soil or remove the native soil and place a proper aggregate sub-base and an increased asphalt section. Rather than replace the existing section with the same method which has clearly failed over time, staff requested a change order from American Pavement Systems to fully reconstruct the roadway.

### FISCAL IMPACT:

The cost of the attached change order to properly reconstruct Mulholand Drive between Manley Drive and Azalea Street is \$52,090. Below is a summary of the project total project cost:

Original Contract Price:	\$	599,656
Previous Change Orders:	\$	21,015
Total cost of this change order (not to exceed):	\$	<u>52,090</u>
Revised Contract Price:	\$	672,761

I am requesting the City Council's approval to execute a contract amendment with American Pavement Systems, Inc. for the Asphalt Rubber Cape Seal Project in the amount of \$52,090. The additional project cost is to be funded through the Street and Road Fund.

Attachments:  
Change Order #2



# Proclamation

## Women’s Center – Youth & Family Services National Homeless Youth Awareness Month

WHEREAS, the Women’s Center- Youth & Family Services works to empower women, children, youth and families to continue to improve and change their lives, providing services and shelter for victims of domestic violence, sexual assault, and homeless and runaway youth; and

WHEREAS, runaway and homeless youth are young people who are deeply in need of resources and opportunities but do not always have access to those resources; and

WHEREAS, in San Joaquin County it is estimated that hundreds of children and youth are homeless every night, sheltered and unsheltered, who come from every life circumstance, and who often experience a home environment that is unsafe and unhealthy; and

WHEREAS, by working together, we can prevent situations that compel youth to run away by helping them discover life-saving skills; awareness of what it means to run away and why running away will not solve their problems; resources for building a safety net of trusted people and organizations; and communication and stress management skills; and

WHEREAS, to help prevent youth from running away, families and communities must understand the facts about runaway youth, help our youth protect themselves and assure youth of the opportunities and support available, and act as mentors and role models for youth, guiding them toward available resources and safe, healthy productive choices; and

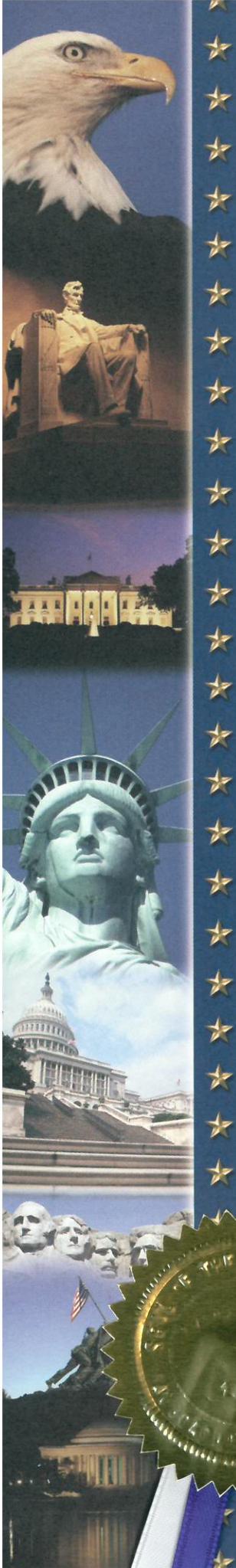
Now, THEREFORE, I Jacob Parks, Mayor of the City of Ripon do hereby proclaim the month of November as

### National Homeless Youth Awareness Month

FURTHERMORE, the City Council of the City of Ripon, speaking on behalf of all our people, commends this observance to all citizens.

IN WITNESS WHEREOF, I do hereby set my hand and cause the Corporate Seal of the City of Ripon to be affixed this 8<sup>th</sup> day of November in the year 2016.

\_\_\_\_\_  
Jacob Parks, Mayor





# MEMO

Engineering Department

**TO:** Honorable City Council

**FROM:** James Pease, Engineering Supervisor

**SUBJECT:** Project Award for Compressed Natural Gas (CNG) Powered Refuse Collection Truck Purchase

**DATE:** November 1, 2016

In 2014, the City of Ripon was awarded a Congestion Mitigation of Air Quality (CMAQ) for an amount of \$74,240 to partially fund the purchase of a new CNG Refuse Truck. The remaining amount will be paid for through the City capital garbage fund.

On October 31, 2016 bids for the CNG Refuse Truck were opened. Three proposals were received, as summarized below:

TEC of California:	\$318,328.00
Western Truck Center:	\$323,541.78
Western Truck Center:	\$325,314.90

After reviewing the bids, staff has determined that the apparent low proposal received from TEC of California does not comply with all of the requirements detailed in the specifications and must be rejected. The second lowest proposal received from Western Truck Center in the amount of \$323,541.78 meets all of the requirements detailed in the specifications. The required bid bond from Western Truck Center was received with the proposal.

I am recommending City Council reject the bid received from TEC of California due to non-compliance with the specifications; award the contract to Western Truck Center in the amount of \$323,541.78 and authorize staff to proceed.

**PUBLIC HEARINGS**



## SAN JOAQUIN COUNCIL OF GOVERNMENTS

555 E. Weber Avenue • Stockton, California 95202 • P 209.235.0600 • F 209.235.0438 • [www.sjcog.org](http://www.sjcog.org)

October 7, 2016

Mr. Kevin Werner  
City Administrator  
City of Ripon  
259 N. Wilma Avenue  
Ripon, CA 95366

*Steve DeBrum*  
CHAIR

*Katherine Miller*  
VICE CHAIR

*Andrew T. Chesley*  
EXECUTIVE DIRECTOR

*Member Agencies*  
CITIES OF  
ESCALON,  
LATHROP,  
LODI,  
MANTECA,  
RIPON,  
STOCKTON,  
TRACY,  
AND  
THE COUNTY OF  
SAN JOAQUIN

Dear Mr. Werner: *Kevin*

The purpose of this correspondence is to request your jurisdiction agendize a public hearing at an upcoming Council meeting to garner community input and views on Unmet Transit Needs.

SJCOG is mandated to conduct an annual assessment of transit needs in San Joaquin County pursuant to Section 99401.5 of the *Transportation Development Act Statutes and California Codes and Regulations* (California Department of Transportation, Division of Mass Transportation, March 2009). If needs are discovered, a further determination must be made in order to determine whether the needs are reasonable to meet. Under state law, SJCOG is required to ensure that reasonable needs are met prior to allocating Transportation Development Act (TDA) funds for purposes other than public transportation services, specialized transportation services, or facilities provided for the exclusive use of pedestrians and bicycles.

SJCOG staff will be conducting community outreach activities such as public service announcements, questionnaires, and public presentations to elicit community input. The San Joaquin Regional Transit District (RTD) will augment the efforts of SJCOG and the local jurisdictions by sponsoring a series of public hearings throughout the County.

Ryan Niblock, SJCOG Senior Regional Planner, is the contact person for this effort. He will be reaching out to your staff to set a date and time of the City Council meeting where we respectfully request this hearing be placed. He will also coordinate with your staff to ensure that a public notice through publication in a newspaper of general circulation is given at least 30 days in advance to notify citizens of the date, place and specific purpose of the hearing.

Feel free to contact him if you have any questions regarding this request. He can be reached at (209) 235-0588 and [niblock@sjcog.org](mailto:niblock@sjcog.org). Thank you in advance for the assistance of you and your staff on this effort.

Sincerely,

Andrew T. Chesley  
Executive Director  
San Joaquin Council of Governments

**NOTICE OF PUBLIC HEARING**

**NOTICE IS HEREBY GIVEN** that on November 8, 2016 at 6:00 p.m., a Public Hearing will be held in the City Council Chambers, 259 N. Wilma Avenue, California, for the purpose of hearing and receiving evidence both oral and documentary in support of or in opposition to the following:

**TO CONSIDER WHETHER THERE ARE UNMET TRANSIT NEEDS  
WITHIN THE CITY OF RIPON.**

PURSUANT TO SECTION 65009 OF THE GOVERNMENT CODE OF THE STATE OF CALIFORNIA, NOTICE IS HEREBY FURTHER GIVEN THAT IF ANY PROPOSED UNMET TRANSIT NEEDS ARE CHALLENGED IN COURT, SUCH CHALLENGE MAY BE LIMITED TO ONLY THOSE ISSUES RAISED AT THE PUBLIC HEARING OR IN WRITTEN CORRESPONDENCE DELIVERED TO THE CITY COUNCIL AT OR PRIOR TO THE PUBLIC HEARING.

**ALL INTERESTED PARTIES** will be given an opportunity to appear and be heard by the City Council of the City of Ripon at said time and place pertaining to the above described matter.

Further information may be obtained by contacting the Ripon City Clerk's Office at 599-2108.

**CITY OF RIPON**

**Lisa Roos  
City Clerk**

Published: October 19, 2016



# *City Council Staff Report*

## *City Council Meeting November 8, 2016*

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**Project Title:** *San Joaquin County Multi-Species Habitat Conservation and Open Space Plan Financial Analysis Model Update and Development Fee Update - 2017*

**Request:** *A public hearing to approve the 2016 SJMSCP Financial Analysis Update and amend the San Joaquin Multi-Species Habitat Conservation and Open Space Plan Development Fees for 2017.*

**Location:** *City of Ripon – General Plan Area*

**Planner:** *Ken Zuidervaart, Director of Planning*

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### **Discussion:**

Attached to this staff report is a staff report prepared by SJCOG regarding the Financial Analysis Model Update for the SJMSCP and Adoption of the 2017 SJMSCP Fee. The fees proposed for 2017 are indicated in the attached SJCOG staff report and have increased from the 2016 fees. The fees are based on the updated financial analysis model adopted by the SJCOG Board, which takes into account the true costs of implementation and fulfillment of the SJMSCP.

### **Existing 2016 and Proposed 2017 Habitat Fee Comparison**

Habitat Type	2015 Fee Per Acre	Proposed 2016 Fee Per Acre
Multi-Purpose Open Space	\$7,807	\$8,905
AG/Natural	\$15,596	\$17,808
Vernal Pool - grasslands	\$46,869	\$66,437
Vernal Pool - wetted	\$90,273	\$109,737

With the exception of the property located along the Stanislaus River, which is essentially undevelopable land, all property located within the Ripon General Plan area is classified as Multi-Purpose Open Space habitat type.

### **Environmental Analysis:**

In accordance with the California Environmental Quality Act and the Guidelines, this project is Categorically Exempt, pursuant to CEQA Guidelines Section 15061 (b)(3).

**Recommended Action:**

Should the City Council agree with SJCOG's request, the following motion would be appropriate:

“The City Council adopts the resolution approving and adopting the 2016 SJMSCP Financial Analysis Update and Adopting the 2017 SJMSCP Development Fees.”

**Attachments:**

- A. SJCOG Staff Reports
- B. Resolution
- C. Public Hearing Notice

# SJCOG STAFF REPORT

**SUBJECT:** Financial Analysis Model Update for the SJMSCP and Adoption of 2017 SJMSCP Fee

**RECOMMENDED ACTION:** Motion to Approve Adoption of the Financial Analysis Update to the SJMSCP and Adoption of the 2017 SJMSCP Fee

## **DISCUSSION:**

Over the past year or so, SJCOG, Inc. has engaged in the required 5-year financial analysis to the San Joaquin County Multi-Species Habitat Conservation and Open Space Plan (SJMSCP) fee and methodology used to calculate the fee. The last reviews were in 2007 and 2011, respectively. The goal of the update was to review, and refine as necessary, the adopted fee model which estimates the costs of implementation of the SJMSCP in compliance with the SJMSCP federal and state permits. The fee model defines the three fee categories (Category A – Acquisition; Category B – Enhancement; and Category C - Land Management/Administration).

### **Updates/Changes to the Financial Analysis Model by SJMSCP Fee Category:**

#### **I. Category A (Acquisition) – Comparables:**

This category addresses land valuation and is based on comparable land sales in San Joaquin County. To be included in the analysis, the land sale must have occurred in specific zones of the SJMSCP plan area (Central Zone and Delta Zone) over an established 2-year period. Each year, all qualified comparables in each zone, including SJCOG, Inc. easements, are evaluated to set a weighted cost per acre using the methodology established in the 2007 and 2011 Financial Analysis Updates.

1. The 2016 update increases the size of parcels that can be considered as a valid comparable from 500 acres to 640 acres.

The criteria to determine valid comparables to be used in the weighted calculation are:

1. All SJCOG, Inc. transactions (fee title and appraised value of unencumbered property)
2. Sales not less than 40 acres
3. Sales not greater than ~~500~~ 640 acres
4. No parcels with vineyard or orchard (except SJCOG, Inc. transactions for special needs)
5. Must be land which would fulfill mitigation under the SJMSCP

6. Not greater than 2 years old from the date of June 30<sup>th</sup> of each year with all acceptable comparables included (criteria 1-5). A minimum of 10 acceptable comparables are required for analysis. If the minimum of 10 transactions are not available, the time period will extend at 3 month intervals prior to the beginning date until 10 comparables are gathered.
2. The update also changes the index used to bring the nominal values of the older comparable values in the 24-month set of comparables to current market values. The new approach better captures actual land market trends by changing the inflator from a flat percentage to an annual average representing the change in nominal land values represented by the prior two years of comparable transactions.
3. The 2016 update also revises the method for determining encumbered land sale values for use in the fee calculation model. Prior to this update, the model was limited to the rare resales of encumbered properties within the County. The 2016 update analysis determined that encumbered land sales, on average, represent 70% of the fee title value. Rather than apply an index to older encumbered property sales for use in the fee model, the 2016 revised model established the basis for calculating a weighted average cost of easement acquisition as a set 70% of fee title value.
4. Future fee calculations will be based on the term of the SJMSCP permits remaining (*e.g.*, the term of the permits is 50 years and in 2016 there are 36 years remaining). Rather than calculate the fee based on the static 50-year term of the permits, the new model takes into consideration time actually left on the permit and gives a better correlation of acres remaining to be acquired under the plan during the life of the plan.

As in the previous model, the Category A analysis in the 2016 model results in costs of easement or fee title acquisition per acre by habitat type and zone and the final cost per acre for each habitat type is a function of the proportion of preserve acquisition by zone.

No changes are recommended for Southwest zone grassland easement acquisition, vernal pool preserves acquisition or for transaction costs associated with preserve acquisition.

## **II. Category B (Assessment & Enhancement) – Refined Cost Factors/Redistribution of Habitat/Consumer Price Index**

The changes in this category include refined cost factors for biological site assessment and preserve enhancement and management planning.

1. Refined costs to better reflect the enhancement and restoration requirements of the SJMSCP.
2. The updated analysis redistributes preserve acres across the habitat types to more accurately reflect the range and types of natural lands preserves described in the adopted SJMSCP rather than all in riparian habitat classification.

3. Annually, the California Consumer Price Index (CPI), as reported by the California Department of Finance for the preceding 12 months (July-June), inflation factor will be applied to update annual costs for site assessment, management plans, and enhancement plans.

### **III. Category C (Management & Administration) – Refined Cost Factors/Long Term Investment/Consumer Price Index**

The changes to this category include refined monitoring costs and updated management and administration costs that are based on actual SJCOG, Inc. expenditures incurred in these categories.

1. The update includes refinement to costs anticipated to be incurred once the term of the permit expires and assumes that the post permit costs will be lower than costs incurred during the permit term as many of the monitoring, reporting, and administrative compliance costs are not required post-permit.
2. Updates annual management, administrative, legal and other consultant costs associated with administration of the SJMSCP.
3. Annual cost updates will continue to use the California Consumer Price Index (CPI), as reported by the California Department of Finance, for the preceding 12 months (July – June) to keep up with inflation on an annual basis.

### **Updated SJMSCP Mitigation Fee Formula = 2017 SJMSCP Fees**

The formula for fee calculation is categorized into three distinct components (discussed above) to calculate a supported fee per acre [FEE = Category A (acquisition) + Category B (assessment & enhancement) + Category C (management & administration)].

The 2017 SJMSCP fees calculated with the updated 2016 analysis are shown below.

*Table 1 - 2017 SJMSCP Development Fees*

<b>Habitat Type</b>	<b>Category A</b>	<b>Category B</b>	<b>Category C</b>	<b>Total Fee</b>	<b>Rounded Fee</b>
<b>Open Space</b>	\$6,806.00	\$1,713.00	\$385.94	\$8,904.94	<b>\$8,905</b>
<b>AG/Natural</b>	\$13,611.00	\$3,426.00	\$770.86	\$17,807.86	<b>\$17,808</b>
<b>Vernal Pool (grasslands)</b>	\$50,187.00	\$13,902.00	\$2,348.30	\$66,437.30	<b>\$66,437</b>
<b>Vernal Pool (wetted)</b>	\$49,273.00	\$58,159.00	\$2,305.42	\$109,737.42	<b>\$109,737</b>

Compared to the 2016, SJMSCP Fees, shown in the table below, the change is an overall increase of 14% in the Multi-purpose, Agricultural and Natural habitat classifications. The increase is due

primarily to the large increase in Category A land acquisition costs. Also, the rarely impacted Vernal Pool Upland and Wetted habitat classifications increased 42% and 22% respectively, due primarily to enhancement and restoration costs associated with the creation of those habitat types.

*Table 2 - 2016 SJMSCP Development Fees*

<i>Habitat Type</i>	<i>Category A</i>	<i>Category B</i>	<i>Category C</i>	<i>Total Fee</i>	<i>Rounded Fee</i>
<i>Open Space</i>	\$5,206.81	\$1,651.07	\$949.55	\$7,807.42	<b>\$7,807</b>
<i>AG/Natural</i>	\$10,413.61	\$3,302.13	\$1,880.37	\$15,596.11	<b>\$15,596</b>
<i>Vernal Pool (grasslands)</i>	\$39,415.32	\$621.84	\$6,831.53	\$46,868.70	<b>\$46,869</b>
<i>Vernal Pool (wetted)</i>	\$39,415.32	\$44,025.87	\$6,831.53	\$90,272.73	<b>\$90,273</b>

Projects which participate under the SJMSCP benefit from a pre-determined streamlined processing of the project rather than navigating through the long and sometimes cumbersome regulatory process led by local jurisdiction staff outside the habitat plan. By opting for participation, the project can **choose** any number of ways to provide mitigation for the impacts of the project through the plan and even control the majority of the mitigation costs if desired. The options are:

1. Pay a fee;
2. Redesign the project to avoid/minimize impacts;
3. Provide land in lieu of the SJMSCP fee which the project will negotiate the easement/fee title costs; or
4. Any combination of the above options.

Or, the project proponent can choose to not participate in the plan and fulfill mitigation requirements on their own with state and federal permitting agencies.

## **RECOMMENDATION:**

Recommend approval of the 2016 SJMSCP Financial Analysis Update and Adoption of the 2017 SJMSCP Fee

## Resolution No. 16-

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF RIPON APPROVING AND  
ADOPTING THE 2016 SJMSCP FINANCIAL ANALYSIS UPDATE AND THE ADOPTING  
THE 2017 SJMSCP

WHEREAS, the City Council of the City of Ripon adopted an (Ordinance / Resolution) establishing the authority for collection of a Development Fee for the San Joaquin County Multi-Species Habitat Conservation and Open Space Plan (SJMSCP) for all new developments pursuant to the SJMSCP within the City of Ripon; and

WHEREAS, a “Fee Study” dated July 16, 2001 was prepared which analyzed and identified the costs, funding, and cost-benefit of the San Joaquin County Multi-Species Habitat Conservation and Open Space Plan; and

WHEREAS, the purpose of the SJMSCP Development Fee is to finance the goals and objectives of the SJMSCP that include, but are not limited to preserve land acquisition, preserve enhancement, land management, and administration that compensate for such lands lost as a result of future development in the City of Ripon and in San Joaquin County; and

WHEREAS, after considering the Fee Study and the testimony received at the public hearing, the Ripon City Council approved said report; and further found that the future development in the City of Ripon will need to compensate cumulative impacts to threatened, endangered, rare and unlisted SJMSCP Covered Species and other wildlife and compensation for some non-wildlife related impacts to recreation, agriculture, scenic values and other beneficial Open Space uses; and

WHEREAS, an “Updated Fee Study” was prepared in 2006, 2011 and 2016 which analyzed and identified the costs and funding of the SJMSCP; and

WHEREAS, the SJMSCP Development Fees are divided into three categories: Category A – Acquisition; Category B – Enhancement; and Category C – Land Management/Administration; and

WHEREAS, the 2017 SJMSCP Development Fees will be as shown in the following table:

**2017 SJMSCP Development Fees**

Habitat Type	Category A	Category B	Category C	Total Fee	Rounded Fee
<b>Open Space</b>	\$6,806.00	\$1,713.00	\$385.94	\$8,904.94	<b>\$8,905</b>
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WHEREAS, to ensure that the SJMSCP development fees keep pace with inflation, annual adjustments are made to the fees based on the method previously adopted by the Ripon City Council; and

WHEREAS, the method of annual adjustments was modified in 2011 and again in 2016; and

WHEREAS, the 2016 adjustment resulted in several changes to all three of the categories as shown on the attached Exhibit "A".

NOW THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF RIPON, AS FOLLOWS:

1. The City Council finds and declares that the purposes and uses of the Development Fee, and the determination of the reasonable relationship between the fees' uses and the type of development project on which the fees are imposed, are all established in Ordinance 648, and remain valid, and the City Council therefore adopts such determinations.
2. The 2017 Development Fee shall be as set forth in the following table

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3. The modification to the method of annual adjustments as set forth on Exhibit A is hereby adopted.
4. The Fee provided in this resolution shall be effective on January 1, 2017, which is at least sixty (60) days after the adoption of this resolution.

The foregoing resolution was introduced at a regular meeting of the Council of the City of Ripon held on the 8<sup>th</sup> day of November, 2016, by councilmember \_\_\_, who moved its adoption, which motion being duly seconded by Councilmember \_\_\_, was upon roll call carried and the resolution adopted by the following vote:

AYES:  
NOES:  
ABSENT:  
ABSTAINING:

**THE CITY OF RIPON,**  
A Municipal Corporation,

By \_\_\_\_\_  
JACOB PARKS, Mayor

ATTEST:

By \_\_\_\_\_  
LISA ROOS, City Clerk

**EXHIBIT “A”**  
**2016 SJMSCP Financial Analysis Model Updates**

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2. Updates annual management, administrative, legal and other consultant costs associated with administration of the SJMSCP.
3. Annual cost updates will continue to use the California Consumer Price Index (CPI), as reported by the California Department of Finance, for the preceding 12 months (July – June) to keep up with inflation on an annual basis.

**NOTICE OF PUBLIC HEARING**

**NOTICE IS HEREBY GIVEN** that The City of Ripon City Council will hold a public hearing at a meeting on Tuesday, November 8, 2016 at 6:00 P.M. in The City of Ripon Council Chambers, 259 N. Wilma Ave., Ripon, on the following matter:

**SAN JOAQUIN COUNTY MULTI-SPECIES HABITAT CONSERVATION AND OPEN SPACE PLAN DEVELOPMENT FEE AMENDMENT 2017** -The City Council will consider the Development Fee Amendment for the San Joaquin County Multi-Species Habitat Conservation and Open Space Plan (SJMSCP) for all new development pursuant to the SJMSCP within the City of Ripon.

PURSUANT TO SECTION 65009 OF THE GOVERNMENT CODE OF THE STATE OF CALIFORNIA, NOTICE IS HEREBY FURTHER GIVEN THAT IF SUCH PROPOSED FEE AMENDMENT IS CHALLENGED IN COURT, SUCH CHALLENGE MAY BE LIMITED TO ONLY THOSE ISSUES RAISED AT THE PUBLIC HEARING OR IN WRITTEN CORRESPONDENCE DELIVERED TO THE CITY CLERK AT OR PRIOR TO THE PUBLIC HEARING.

**ALL INTERESTED PARTIES** will be given an opportunity to appear and be heard by the City Council of The City of Ripon at said time and place pertaining to the above described matter.

**CITY OF RIPON**  
**Lisa Roos, City Clerk**

Published: October 21, 2016



# *City Council Staff Report*

*City Council Meeting November 8, 2016*

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**Project Title:** *Development Agreement – Annual Review (2016)*

**Request:** *A public hearing for the annual review of current development agreements by the City Council to determine whether or not there has been compliance in good faith with the terms and conditions therein, and accept the written report from the Planning Commission on it's determination of the Development Agreements.*

**Location:** *City Limits*

**Planner:** *Ken Zuidervaart, Director of Planning*

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## **Discussion:**

Pursuant to provisions of Section 65865.1 of the California State Government Code, and Section 16.60.060 of the Ripon Municipal Code, the Planning Commission shall conduct a review of all current Development Agreements for compliance with the terms and conditions therein on a yearly basis. The Commission shall base it's determination upon substantial evidence whether or not, for the period under review, there has been compliance in good faith with the terms and conditions of the agreements.

The Planning Commission held a Public Hearing on this matter at their October 17, 2016 Planning Commission meeting, where they determined that all current Development Agreements are in substantial compliance with the terms and conditions therein. At that time no one from the public came forward to make any comments. This staff report serves as the Planning Commission's written report to the City Council on this matter.

Attached is a master list of all the previous and current Development Agreements. There are a total of 54 Development Agreements on the master list of which 10 are considered still active. Following is a breakdown of the 10 active Development Agreements by type and status.

- 5 (50%) are entirely residential projects
  - 2 are 100% built-out

- Orchard View Subdivision
    - Cornerstone II Subdivision
  - 2 are currently under construction
    - Alexandra Place Subdivision
    - Silverstone Subdivision
  - 1 has not been started yet.
    - River Road Subdivision – 133 lots
- 3 (30%) are entirely commercial projects, of which, two are due to expire next year (2017)
  - Colony Plaza - 9 parcels remain undeveloped, expires in March of 2017
  - Ripon Crossing - Approximately 21 acres remain undeveloped, expires in January of 2017
  - Gil Plaza - Approximately 20 acres remain undeveloped
- 1 (10%) is a mixture of commercial and residential
  - Ripon Gardens II – approximately 6 ½ acres of apartments (110 units) and 3.5 acres of Neighborhood Commercial
- 1 (10%) is not classified as residential, commercial, or industrial.
  - Calvary Reformed Church Master Plan

Included on the master list is a summary of all of the past Development Agreements that have expired over time or were terminated for one reason or another, which include a total of 44 expired or terminated Development Agreements. They are listed in red on the Development Agreement Master List.

Staff has reviewed the indicated active agreements and has determined that all proponents have generally made a good faith effort to comply with the terms of the agreements.

**Findings:**

1. That the proposed project is consistent with the objectives, policies, programs, and general land uses specified in the General Plan.
2. That the proposed project is in conformity with public convenience, general welfare, and good land use practices.
3. That the proposed project will not be detrimental to the health, safety, and general welfare of persons residing in their general vicinities.

**Environmental Analysis:**

In accordance with the California Environmental Quality Act and the Guidelines, this project is Categorically Exempt, pursuant to CEQA Guidelines Section 15061 (b)(3).

**Recommended Action:**

Should the City Council agree with the Planning Commission's recommendation, the following motion would be appropriate:

“The City Council accepts this staff report as the written report from the Planning Commission that there has been compliance in good faith, with the terms and conditions of each perspective Development Agreement.”

**Attachments:**

- A. Development Agreements Master List
- B. Active Development Agreement Project Sites Map
- C. Planning Commission Minutes
- D. Notice of Public Hearing

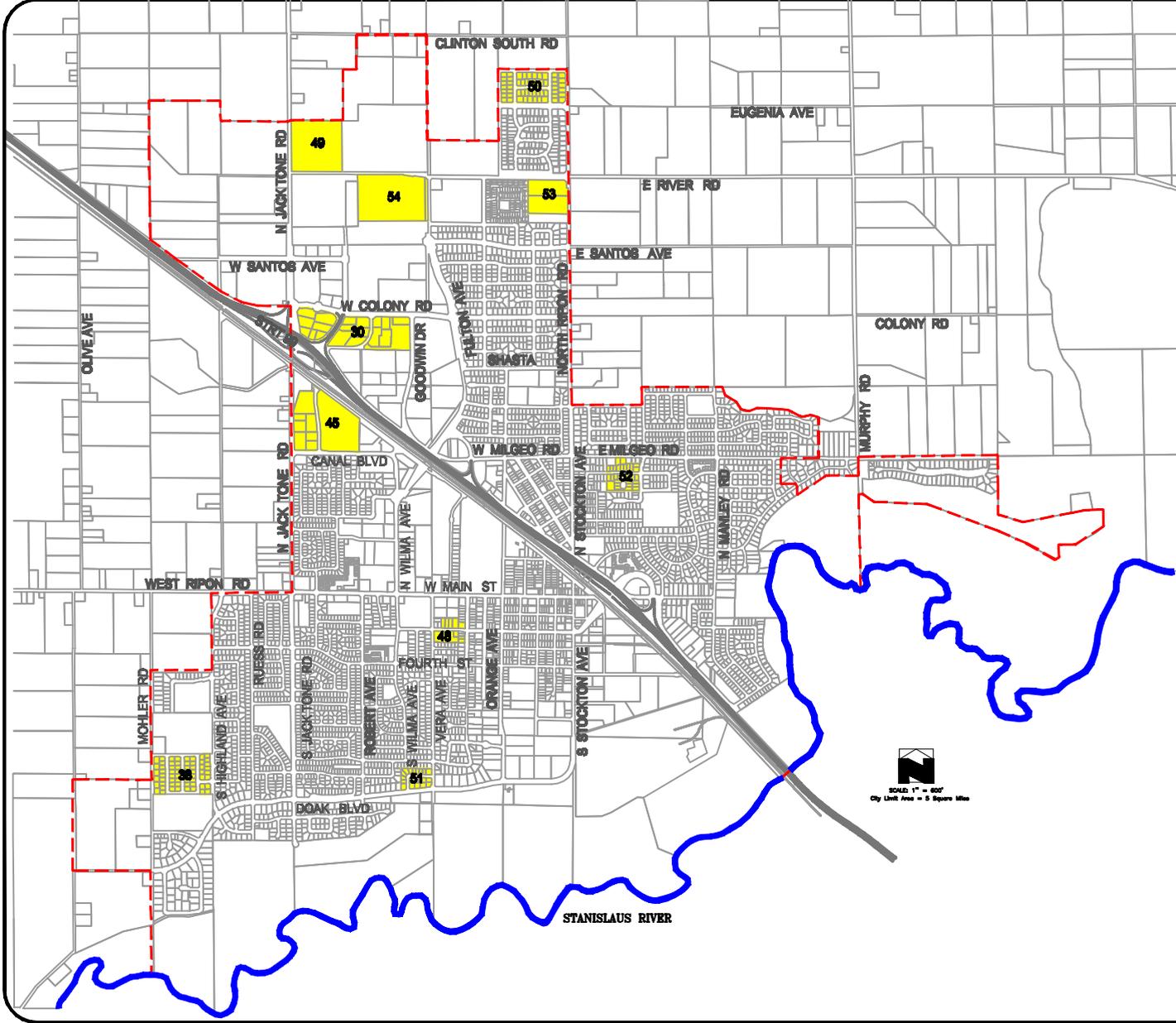
**Development Agreement Master List**

ID#	DA #	Title	Project	Type	Expires	Ordinance #	Compliance	Terminated	Status
1	N/A		Sunset Valley	Res/Non-Res	6/6/1995	418	yes		
2	N/A		Groens Grove	Res	9/18/1996	370	yes		
3	N/A		Dutch Meadows	Res	11/23/1997	403	yes		
4	N/A		Ripon Bluffs	Res	12/15/1998	409	yes		
5	N/A		Vermeulen Meadows	Res	2/3/1999	410	yes	2008-021298	
6	N/A		Zumstein	Res	4/7/1999	415, 506	yes		
7	N/A		Boesch-Kingery Subdivision		1/3/2001	459, 563	yes		
8	N/A		Farmland Estates	Res	12/31/2002	590	yes	2008-021299	
9	N/A		ACL C	Res	2005	548	yes		
10	N/A		Anglers Glenn	Res	11/15/2006	564	yes		
11	N/A		Hoffman Commercial		11/19/2006	565	yes	2008-021301	
12	N/A		Jacobs Landing	Res/Non-Res	2/18/2007	581	yes	2008-021300	
13	N/A		Marquis Estates Subdivision	Res	3/12/2007	601	yes	2008-021302	
14	N/A		Spring Creek Meadows Subdivision	Res	12/4/2007	688	yes		
15	DA97-02	Robert Valk	Valk Commercial	Non-Res	4/2/2008	582	yes		
16	DA98-05	De Jong Estates	De Jong Estates Subdivision	Res	7/16/2008	585	yes		
17	DA03-03	Wallace Development Company	Wallace Property	Res	11/30/2008	694	yes		
18	DA98-03	Estate of Rubin Allmendinger	Allmendinger Property	Non-Res	3/29/2009	595, 609	yes		
19	DA98-05	Henry and Ruth De Jong	Grand View Estates Subdivision	Res	7/15/2009	593, 599	yes		
20	DA99-02	Edmundson/Boersma	Poppy Hills Estates	Res	7/15/2009	604, 673	yes		
21	DA98-04	Springcreek Development/Kennedy	Augusta Pointe Subdivision	Res	7/23/2009	597	yes		
22	DA99-01	Johnson/Erdelatz/Thompson	Oak Terrace Subdivision	Res	9/11/2009	603	yes		
23	DA99-03	Charles and Kathleen Bush	Bush Property	Non-Res	10/1/2009	605, 619	yes		
24	DA00-03	Groen/Winters/Chapman/Mohler	Montecito Estates	Res	10/27/2010	623, 655	yes		
25	DA00-02	East Shadow Glenn	Shadow Glenn	Res	10/27/2010	622	yes		
26	DA00-02	Eavenson Construction/Lan	Shadow Glenn II	Res	2/6/2011	636	yes		
27	DA00-07	McRoy/Wilbur/Claussen/Enos/Johnston	Carolinas Subdivision	Res	6/19/2011	639, 660	yes		
28	DA01-01	McRoy/Wilbur/Ripon Cemetery Assoc.	Magnolia Terrace Subdivision	Res	12/31/2011	641, 659	yes		
29	DA00-05	Rivertree/Barton	Spring Creek Oaks	Res	12/31/2011	631, 700	yes		
30	DA02-01	Emmanuel and Joanne Bouzeneris	Colony Plaza	Commercial	3/31/2017*	657	yes		5-yr extn
31	DA01-02	McRoy/Wilbur/Brooks	Brookline Subdivision	Non-Res	12/31/2012	656, 698	yes		
32	DA00-04	McRoy/Wilbur/Den Dulk	Chesapeake Subdivision	Non-Res	12/31/2012	624, 635, 661 679, 690, 697	yes		
33	DA02-02	Alfred and Nancy Johnson	Johnson Property	Res	1/31/2013	671	yes		
34	DA03-01	Vermeulen/Eavenson Construction	Verandas Subdivision	Res	6/30/2013	744	yes		
35	DA08-02	Clay Harmon	Harmon Financial	Res	8/15/2013	768	no	2011-039167	
36	DA03-02	McRoy/Wilbur/Stevens/Azevedo/Overgaaauw	Spring Creek Meadows II Subdivision	Res	2/19/2014	688	yes		
37	DA04-03	Main Street Development/Wagner Family Trust	Wagner Woods Subdivision	Res/Non-Res	4/5/2015	713, 761	yes		
38	DA05-02	McRoy/Wilbur Communities, Inc.	Orchard View (Formerly Irongate)	Res	9/26/2019	717	yes		
39	DA04-02	Bethany Home Society of San Joaquin County	Bethany Town Square	Res	9/20/2015	724	yes		
40	DA05-03	McRoy/Wilbur Communities, Inc.	Palm Grove	Res/Non-Res	11/17/2015	725, 747	yes		
41	DA05-01	Chesapeake Investors/Ripon Cemetery Assoc./Clendenin	Cornerstone I Subdivision	Res	12/31/2015	716, 754	yes		
42	DA05-04	McRoy/Wilbur/Harris/Hoogendoorn	Cornerstone II Subdivision	Res	3/21/2016	729	no	2011-035360	
43	DA06-01	Bernardo and Julie Lopez	Lopez Property	Res	4/21/2016	733	yes		
44	DA06-02	Vineyard Grove Townhomes	Vineyard Grove PUD	Res	11/17/2016	743	no	2011-068762	
45	DA06-04	Ripon Crossing Corporation	Ripon Crossing	Commercial	1/19/2017	745	yes		
46	DA06-03	Eavenson Construction/Holwerda	Alexandria Terrace	Res	5/3/2017	748	no	2011-039166	
47	DA08-01	Frank Borges	Borges Property	Res	12/31/2018	764	no	2012-163843	
48	DA04-01	Calvary Reformed Church	Calvary Reformed Church Master Plan	Non-Res	10/21/2019	699	yes		
49	DA05-05	B.H.M. Ventures	Gil Plaza	Commercial	4/21/2021	734	yes		
50	DA13-01	BMCH California LLC	Cornerstone II Subdivision	Res	8/16/2023	804	yes		
51	DA15-01	Eavenson Construction/Holwerda	Alexandra Place	Res	9/11/2025	821	yes		
52	DA15-02	Kiper Homes	Franklin Lane/Silverstone	Res	1/8/2026	837	yes		
53	DA15-03	JKB Living	Ripon Gardens II	Res-Comm	5/31/2026	840	yes		
54	DA15-04	BMCH California LLC	River Road Subdivision	Res	6/10/2026	841	yes		

Expired or Terminated Development Agreements  
 Completed Development Agreements  
 Active Development Agreements

# CITY OF RIPON ACTIVE DEVELOPMENT 5C AGREEMENTS

- 30 COLONY PLAZA
- 38 ORCHARD VIEW
- 45 RIPON CROSSING
- 48 CALVARY REFORMED CHURCH
- 49 GIL PLAZA
- 50 CORNERSTONE II
- 51 ALEXANDRA PLACE
- 52 SILVERSTONE
- 53 RIPON GARDENS II
- 54 RIVER ROAD SUBDIVISION



  
 SCALE: 1" = 600'  
 City Unit Area = 5 Square Miles

# RIPON PLANNING COMMISSION MINUTES

RIPON CITY HALL  
MONDAY, OCTOBER 17, 2016 6:00 P.M.

**Pledge of Allegiance:** Commissioners pledged allegiance to the flag.

**Roll Call:** Commissioners Debra Van Essen, David Collins, Bill Long, Brinton McCusker and George Saljian

**Absent:** Gary Barton

**Others Present:** Ken Zuidervaart, Josh Brenner, Mitzi Johnston, Mary Sosa, Ulises Martinez, Thaddeus Winter, Brandon Costa, Joshua Coen, Jamie Sparano, Aneica Martinez, Melissa DaRosa, Victoria White, Kylie Varelar, Noah Hernandez, Jordan Hill, Kory Wengel, Guillermo Riberio, Kevin Wentworth, Avery Villalobos, Kym Baird, Jeanette Cavarnaaj, Marissa Raygoza, Lanissa Naranjo, Ryan Crandall, Marissa Doyorena, Samantha Romero, Neanna Hutching, Bradley Morrow, Isarain Ceres, Riley Gillineuva, Ryan Wilson, Brendon Fugit, Myles Kinsey, Leo M. Zuber, Sara Bowd, Rayonna Williams, Asucena Banuelos, Joseph Stevenson, Bryce Hurley, Wyatt Luiz, Emily Machado, Mariah Strickland, Marina Lopez, Alejandra Gutierrez, Emily Hubbard, Montana Haug, Laura Williams

**Minutes:** Approval of the minutes of the Ripon Planning Commission meeting of August 15, 2016

**MOTION: MOVED/SECONDED (VAN ESSEN/LONG) AND CARRIED BY A 5-0 VOTE TO APPROVE THE MINUTES OF THE AUGUST 15, 2016 PLANNING COMMISSION MEETING**

**Public Discussion:** Chairman Saljian explained the public discussion process and asked if anyone would like to speak on a subject that is not on the agenda. No one wished to speak at this time.

**Public Hearing:** Chairman Saljian explained the public hearing process.

## 1.0 PUBLIC HEARING

- 1.1 **VARIANCE (V16-01) for Kevin Wentworth**, a public hearing on a request to vary the setback on the north and west side of a residential property located at 808 Ripona Avenue in the R1UC (single family residential urban core) district for the construction of a new single family residence. A 796 square foot single family residence and detached

garage was recently demolished at this site. **This project is categorical exempt from CEQA.**

Director Zuidervaart introduced the staff report into the record and said that the applicant is requesting a variance to the City Standard setback requirements due to the unusual shape of the lot. Director Zuidervaart said that the previous house that was on this lot did not meet the standard setbacks and the detached garage extended onto City property. Director Zuidervaart explained that the street right of way (ROW) on Ripona is very large for a standard residential street (100 feet) because of an old railroad ROW that was not used.

Director Zuidervaart said that the owner is requesting a zero setback along Ripona Ave. If granted the front setback of the house would be zero from the front property line, however this still places the house at twenty-one (21') feet from the back of the sidewalk and twenty-six (26') feet to the garage door. This is very typical of other houses along Ripona Avenue.

Director Zuidervaart also said that the owner is requesting a three foot, six inch (3'-6") setback from the Milgeo property line which would place the nearest portion of the house seven (7') feet from the back of sidewalk. Director Zuidervaart commented that this is actually an improvement visibility wise from the previous house but would be closer than the required ten (10') foot setback standard.

Director Zuidervaart then said that the other variance is to the fence and the applicant is requesting to take the fence from the back corner of the house and go directly back giving the homeowner a small private yard.

Director Zuidervaart said that neighbors within three-hundred (300') feet of the project were sent notices.

Commissioner Van Essen asked if the City heard from any of the neighbors. Director Zuidervaart replied no.

## **PUBLIC HEARING OPENED**

**Applicant** Kevin Wentworth (Cameron Ln) said that he has read and is in agreement with the conditions of the staff report and would be happy to answer any questions.

Commissioner Collins asked K. Wentworth what his goal is for this project. K. Wentworth replied that he has a real estate friend who contacted him to get involved in buying and fixing up this home. K. Wentworth said that he was originally going to try to save the home but it turned out to be beyond repair and he needed to tear it down.

Commissioner Collins asked if he intends to sell the home once it is constructed. K. Wentworth replied yes.

Commissioner Collins asked if he had considered constructing anything smaller to better fit on the lot. K. Wentworth replied that to make it profitable he wanted to make the best use of the space and feels that this home will be a good size for a family.

Commissioner Collins asked if, with these setback adjustments, he intends to make this project more visually attractive since it is more visible from the street. Would he be willing to do stone work on the front of the home? K. Wentworth replied yes, it is in his best interest to make this home pretty and he intends to put in a nice walkway and landscaping.

**Proponents:** None

**Opponents:** None

#### **PUBLIC HEARING CLOSED**

Commissioner Van Essen asked if the front door would face Milgeo. Director Zuidervaart replied yes. Commissioner Van Essen asked where the placement of the front door would be along Milgeo. Director Zuidervaart pointed the location out on a drawing showing that this area falls within a larger front area.

Commissioner McCusker commented that this new home will be a vast improvement to what was previously there. Commissioner Collins concurred and added that it is nice to see developers do these projects to improve the neighborhood.

Commissioner Van Essen commented that she is in favor of this project and that she has seen some of K. Wentworth's other projects and he does a nice job.

**MOTION: MOVED/SECONDED (VAN ESSEN/MCCUSKER) AND CARRIED BY A 5-0 VOTE TO APPROVE VARIANCE (V16-01) FOR 808 RIPONA AVENUE BASED ON THE FINDINGS AND SUBJECT TO THE CONDITIONS IN THE STAFF REPORT**

- 1.2 ANNUAL REVIEW OF DEVELOPMENT AGREEMENTS,** The Planning Commission will conduct an annual review of the development agreements for Colony Plaza, Calvary Reformed Church, Gil Plaza, Cornerstone II, Orchard View, Ripon Crossing, Alexandra Place, Silverstone, Ripon Gardens II and River Road Subdivision to determine whether or not the proponents have complied in good faith with the terms or conditions of their individual agreements.

Director Zuidervaart explained that this is an annual report the City is required perform. Director Zuidervaart explained that there are 54 Development Agreements on the master list but only 10 are considered active. Director Zuidervaart then gave a breakdown of the 10 active agreements by type and status. 2 of the 5 residential DA's are 100% built out; 2 are currently under construction and 1 has not yet begun. Of the 3 commercial projects; two are due to expire in 2017 and the third is undeveloped. There is 1 that is a mixture of commercial and residential, Ripon Gardens II. The last Development agreement is not considered residential, commercial, or industrial. It is for Calvary Reformed Church and is considered still active and in compliance with their agreement.

Director Zuidervaart said that he is looking for the Planning Commission to prepare a report to the City Council adding that he would be happy to prepare the report for them if they so desire.

Commissioner McCusker asked if there is anything in the works for the commercial properties. Director Zuidervaart replied that the Commercial site for Ripon Gardens II will be brought before the Planning Commission for review and approval.

Commissioner Van Essen asked Director Zuidervaart to explain the process should a Development Agreement expires. Director Zuidervaart said that a Development Agreement locks in fees and other conditions for a developer. If the agreement expires the applicant will have to comply with any new regulations and fees that have been established.

## **PUBLIC HEARING OPENED**

**Proponents:** None

**Opponents:** None

## **PUBLIC HEARING CLOSED**

**MOTION: MOVED/SECONDED (COLLINS/MCCUSKER) AND CARRIED BY A 5-0 VOTE TO DIRECT STAFF TO PREPARE A WRITTEN REPORT TO THE CITY COUNCIL AGREEING THAT THERE HAS BEEN COMPLIANCE IN GOOD FAITH WITH THE TERMS AND CONDITIONS OF ALL THE PRESPECTIVE DEVELOPMENT AGREEMENTS.**

## **2.0 GENERAL PLANNING**

### **2.1 MAJOR SITE REVIEW (SR16-07) for Jim Aartman c/o Van Boven Construction, a request to construct a 4,950 square foot metal storage building on a 2.360 (+/-) acre vacant site adjacent to an existing trucking facility under the same ownership. The project site is located at 924 S. Acacia Ave in the M2 (Heavy Industrial) district. **This project is Categorical Exempt from CEQA Section 15303 (e).****

Director Zuidervaart introduced the staff report into the record and said that this is a request for a 4,950 square foot metal building for personal storage. The proposed building is 55' x 90' and the building height is approximately 18'-4". The drawing indicates that there will be four roll up doors and one man door.

Director Zuidervaart said that the placement of the structure on site is anticipating taking advantage of the City's setback adjustment allowance that is part of the Ripon Municipal Code. The setback adjustment allowance states that setbacks can be varied upon approval from the Planning Director and Ripon Fire District and ratified by the Planning Commission if they so agree.

Director Zuidervaart said that the adjacent property owner was sent notification of the reduced setback request allowing them to comment if they so desire. Director Zuidervaart then provided the standard setbacks in industrial and said that the applicant is seeking to adjust the rear and interior side setback from twenty (20') feet to sixteen (16') feet for the rear and from twenty (20') feet to ten (10') feet for the interior side. Planning Staff and the Ripon Consolidated Fire District have reviewed the requested setback adjustments and support the building placement as proposed.

Director Zuidervaart said that the applicant has indicated that there will be no electrical within or on the new building.

**Applicant:** Jim Aartman (Spring Creek Dr.) said that he is here to answer any questions that the Planning Commission might have.

Commissioner Van Essen asked if the adjacent property to the north was his and J. Aartman replied yes, and this is where the access will be from. Commissioner Van Essen asked if the storage will be for his personal vehicles only or does he intend to rent out space. J. Aartman replied that it is for his personal vehicles only.

Commissioner Van Essen said that she does not see any problems with this and Chairman Saljian concurred.

**MOTION: MOVED/SECONDED (MCCUSKER/LONG) AND CARRIED BY A 5-0 VOTE TO APPROVE SR16-07 FOR 924 S. ACACIA BASED ON THE FINDINGS AND SUBJECT TO THE CONDITIONS OF THE STAFF REPORT**

## 2.2 DISCUSSION: CARGO/STORAGE CONTAINERS AND CONSTRUCTION BINS

Director Zuidervaart explained that this item on the agenda is for discussion purposes only and no action would be taken tonight. Director Zuidervaart defined industrial cargo containers and said that they come in a variety of sizes and are also used as storage.

Director Zuidervaart said that the City currently does not address cargo/storage containers and would like to address these in residential and other zones. Staff is seeking a Planning Commission recommendation for consideration of adding regulations for these in our Municipal Code.

Residential Districts:

Quantity: Consideration for the number the cargo storage containers that could be allowed on a lot. The thought is for one temporary container per residential lot with the issuance of a permit.

Commissioner Van Essen asked if this includes POD's. Director Zuidervaart replied yes.

Location: a) In a driveway providing it does not encroach into the sidewalk area and a minimum of one garage space remains accessible.

b) on a street providing it does not block the driveway; is thirty feet from any intersection; ten feet from all driveways; a minimum of 6" from the curb so that the gutters are not blocked but no more than eight and one-half (8 ½) feet from the curb; and contain reflectors on all sides. Another consideration is to require liability insurance as they can be a safety hazard.

Duration: Without an active building permit you would be limited to ten (10) calendar days; twice in a calendar year. With an active building permit you would be limited to thirty (30) calendar days with the option for one (1) thirty (30) day extension. A kitchen remodel can take approximately thirty (30) days.

Commissioner Collins asked if you could put the container in front of a neighbor's house. Director Zuidervaart replied no, it would have to be placed in front of your own residence and ten (10) feet from any driveways.

Commissioner McCusker asked if there will be restrictions on the size. Director Zuidervaart replied that this can be researched and addressed.

Chairman Saljian asked if they need an application to have a container no matter if it is for ten (10) or thirty (30) days. Director Zuidervaart replied yes and added that the City will want to look at the proposed placement and dimensions of the container if placed in the street.

Commercial/Office/Mixed Use:

Quantity: Two (2) temporary containers per business to support a seasonal event/twice a year.

Location: Placed in the rear of a lot or non-conspicuous location. Not in the front areas.

Duration: Ninety (90) consecutive days with two (2) seasonal events per year.

Commissioner Long said that there is a recycling center behind SaveMart that uses these containers and he does not believe they have been notified. Director Zuidervaart said that they would be taken into consideration.

Commissioner Collins asked Director Zuidervaart to explain what he means by seasonal. Director Zuidervaart replied that this could be a big summer event or during a holiday where they need extra storage for a limited period of time.

Commissioner Van Essen asked what if you have four (4) events with a shorter duration of time. Director Zuidervaart replied that these are all good discussion points and we could craft wording to address this.

Chairman Saljian commented that ninety (90) seems like a long time.

Commissioner Long commented that schools take care of bins that they use for recycling. Director Zuidervaart replied that schools will fall under a different category (public/semi-public).

Industrial Districts:

Quantity: Cannot exceed lot coverage with a maximum of four containers per lot or one (1) cargo container per acre with a maximum of five (5) per 100 acres.

Commissioner Long said that we will want to make sure that there is something in the code that requires a certain distance between each container for fire and access safety. Director Zuidervaart commented that it would get routed to fire and PD for review. Director Zuidervaart also commented that it is recommended that they not be stacked.

Chairman Saljian asked if in doing research he saw any that provided a percentage (like 25% or less). Director Zuidervaart replied that a percentage might be something to consider.

Commissioner McCusker wondered if we should not address anything for industrial as there is already maximum lot coverage.

Chairman Saljian commented that he would not like to see a small building that covers 10% of the lot and the rest is filled up with these containers. We want people to invest in the property and our community by building a warehouse if they need more storage.

Commissioner Collins asked about the downtown retail area if they can have these containers. Director Zuidervaart replied that the downtown would not allow for permanent storage containers.

Placement: Would have to meet the setback requirements of the zone. If allowed close to streets or residential, the container would need to be screened from view.

For long term use, the applicant would be subject to a minor use permit. A ninety (90) day or less permit, would be approved by the Planning Director.

The cost of the temporary permit has not been determined but would cover staff time.

#### Schools, Public/Semi-Public, Sports Fields (C5)

Director Zuidervaart said that schools and recreation facilities use these containers in conjunction with sporting equipment and field maintenance. An example is Jack Tone Golf Course.

Director Zuidervaart asked if the Commission would like to limit the number or limit the Size.

Location: Placed in an inconspicuous location. Screening may be required.

Commissioner Van Essen asked if churches should be included in this. Director Zuidervaart replied that most churches are in a residential district. Director Zuidervaart said that he would prefer to not allow churches to have a storage container except for on a temporary basis.

Misc: Storage Containers shall not be stacked or modified by adding windows. Rooftop ventilation is okay with the application of a building permit.

Storage containers cannot be used for habitation.

#### Construction Dumpsters:

These are typically there for a short period of time. As similar to cargo/storage containers; would be permitted one (1) dumpster in driveway or street with permit. Cannot block driveway or storm water.

Duration: Ten (10) calendar days without an active building permit. This can be discussed

With an active building permit, thirty (30) days with one (1) extension.

Director Zuidervaart said that staff is looking for direction from the Planning Commission and if they wish staff will come back with something more formal to discuss and consider.

Chairman Saljian commented that we might want to give churches more considerations for use.

Director Zuidervaart commented that these bins should be more of a fix for temporary storage if they run out of room. Not permanent.

Commissioner Collins asked what the rules for subdivisions under construction would be. Director Zuidervaart commented that these uses could be addressed in the site plan application for the project.

Chairman Saljian commented that often times when a project is under construction they will have a dumpster on the site for the duration of the project to keep the site clean.

Commissioner Van Essen said that she thinks that Director Zuidervaart is asking if we want to put time parameters on the length of time a dumpster can be placed at a construction site.

Commissioner Van Essen commented that she does not consider a church as Residential. Director Zuidervaart commented that if there is a remodel or expansion going on we can consider the duration of the placement. Director Zuidervaart added that he does not want to see a container on a church site as a permanent device. We need to find a balance.

Director Zuidervaart will bring something back at a future meeting for review and consideration.

**Commissioners' Reports:** None

**Director's Reports:** There will be a couple projects to review next month. One of the projects is for the commercial property on River Road and North Ripon Road. The other project is for a church site.

**Adjournment:** To the next regular meeting of Monday, November 14, 2016 at 6:00 P.M.

**NOTICE OF PUBLIC HEARING**

**NOTICE IS HEREBY GIVEN** that The City of Ripon City Council will hold a public hearing at a meeting on Tuesday, November 8, 2016, at 6:00 P.M. in The City of Ripon Council Chambers, 259 N. Wilma Ave., Ripon, on the following matter:

**ANNUAL REVIEW OF DEVELOPMENT AGREEMENTS** - The City Council will conduct an annual review of the development agreements for Colony Plaza, Calvary Reformed Church, Gil Plaza, Cornerstone II, Orchard View, Ripon Crossing, Alexandra Place, Silverstone, Ripon Gardens II and River Road Subdivision to determine whether or not the proponents have complied in good faith with the terms or conditions of their individual agreements.

PURSUANT TO SECTION 65009 OF THE GOVERNMENT CODE OF THE STATE OF CALIFORNIA, NOTICE IS HEREBY FURTHER GIVEN THAT IF SUCH PROPOSED REVIEW IS CHALLENGED IN COURT, SUCH CHALLENGE MAY BE LIMITED TO ONLY THOSE ISSUES RAISED AT THE PUBLIC HEARING OR IN WRITTEN CORRESPONDENCE DELIVERED TO THE CITY CLERK AT OR PRIOR TO THE PUBLIC HEARING.

**ALL INTERESTED PARTIES** will be given an opportunity to appear and be heard by the City Council of The City of Ripon at said time and place pertaining to the above described matter.

**CITY OF RIPON**  
**Lisa Roos, City Clerk**

Published: October 21, 2016

# RESOLUTIONS

**RESOLUTION 16-\_\_\_**

**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF RIPON OPPOSING THE STATE WATER RESOURCES CONTROL BOARD 2016 REVISED DRAFT SUBSTITUTE ENVIRONMENTAL DOCUMENT AND CALLING FOR SUSTAINABLE SOLUTIONS FOR THE STANISLAUS RIVER AND THE REGION’S ECONOMY**

**WHEREAS**, the South San Joaquin Irrigation District is the owner of certain water rights on the Stanislaus River including pre-1914 appropriative rights to divert water and various post-1914 appropriative rights to store water from the Stanislaus River in various reservoirs; and

**WHEREAS**, the City of Ripon is located within the boundaries of the South San Joaquin Irrigation District and currently receives surface water from South San Joaquin Irrigation District, which contributes to the economic vitality and strength of the local economy; and

**WHEREAS**, the State Water Resources Control Board’s (SWRCB’s) Bay-Delta Plan, Phase 1 Draft Substitute Environmental Document (SED) issued in December 2012 proposed to require the Stanislaus, Tuolumne, and Merced rivers release 35 percent of unimpaired flows from February to June each year for environmental benefit; and

**WHEREAS**, a revised Draft SED was issued on September 15, 2016, and is currently being circulated for public comment; and

**WHEREAS**, the SWRCB, after a hearing in March 2013 and submittal of comments regarding the adequacy and sustainability of the SED, has now revised and increased the recommendations of the Draft SED to 40% unimpaired flows, with the ultimate intention of Finalizing the SED and updating the Bay-Delta Water Quality Control Plan with its Board for adoption at a date to be determined; and

**WHEREAS**, flows described in the SED will create “significant and unavoidable” lasting impacts that will harm the socioeconomic welfare of those within Stanislaus, San Joaquin and Merced counties; and

**WHEREAS**, water supply impacts of flows described in the SED include the loss of hundreds of thousands of acre-feet of surface water that is used to keep agriculture – the region’s economic engine – stable. This loss of water would result in the fallowing of some of the most prime farmland in California; and

**WHEREAS**, groundwater impacts of flows described in the SED include increased groundwater pumping at a time when California is working to implement the landmark Sustainable Groundwater Management Act. The SED estimates additional and significant groundwater reliance in the local East San Joaquin groundwater subbasin, a basin that is already identified as critically overdrafted in San Joaquin County. The reduced surface water deliveries proposed in

the SED will severely hamper the ability to conjunctively use surface water deliveries on farms to provide adequate groundwater recharge; and

**WHEREAS**, power impacts of flows described in the SED include public power agencies being resigned to generating more hydropower at a time of low demand, meaning less water is available to generate hydropower in summer when power demand is at its peak. This has economic impacts to public power agencies, and such impacts bear a direct relation to local customer utility rates; and

**WHEREAS**, there is reasonable and significant doubt that the flows described in the SED will benefit native fish populations or promote ecosystem restoration. The SED focuses narrowly on flows as a solution to environmental concerns while ignoring non-flow alternatives such as predator suppression and fish habitat restoration. Such non-flow management measures are often less costly and more effective; and

**WHEREAS**, with the implementation of the SED, the use of river water by local communities is threatened, leaving them even more vulnerable to the impacts of drought.

**NOW THEREFORE BE IT RESOLVED AND ORDERED**, the State Water Resources Control Board should pursue a comprehensive solution which takes into account, rather than dismisses, the impacts listed above. This solution must prioritize non-flow measures to protect native fish species, such as predation reduction programs, before requiring flow increases that would threaten the economic vitality of our region’s counties, cities and small family farms.

**PASSED AND ADOPTED on this 8th day of November, 2016 by the following vote:**

- AYES:**
- NOES:**
- ABSTAIN:**
- ABSENT:**

City of Ripon  
A Municipal Corporation

By: \_\_\_\_\_  
Jacob Parks, Mayor

ATTEST:

\_\_\_\_\_  
Lisa Roos, City Clerk

## RESOLUTION NO. 16-\_\_

RESOLUTION OF THE CITY COUNCIL OF THE CITY  
OF RIPON DESIGNATING THE FIRST SATURDAY IN APRIL  
“FIRST RESPONDER APPRECIATION DAY” IN RIPON, CALIFORNIA.

WHEREAS, thousands of Ripon residents have benefited from the brave and courageous service of first responders across the City of Ripon and this Country; and

WHEREAS, the Police, Fire, emergency medical service and all who are commonly known as first responders work tirelessly and selflessly for the residents of Ripon and this Country, regardless of any personal peril and hazard; and

WHEREAS, the men and women who bravely serve as first responders find themselves on the front lines of homeland defense in the war against terrorism; and

WHEREAS, first responders are called upon to serve the residents of Ripon and all people in this Country in the event of a natural disaster; and

WHEREAS, first responders across the City of Ripon and this Country risk their lives every day to make our community safe; and

WHEREAS, emergency medical service workers respond to and treat many life-threatening emergencies, from traumatic injuries and strokes to cardiac arrest; and

WHEREAS, both volunteer and career firefighters provide critical fire prevention safety and education, fire suppression, automobile accident response, search and rescue and hazardous material response, and often provide emergency medical services when needed at the scene; and

WHEREAS, Police Officers protect our lives and properties, prevent and detect crimes and ensure justice; and

WHEREAS, first responders make personal sacrifices in order to keep this City and this Country safe and sometimes make the ultimate sacrifice in the line of duty to ensure the well-being of the residents of Ripon and people of this Country; and

WHEREAS, these men and women should be honored the first Saturday in April to show our renewed respect, appreciation and gratitude for those who carry out the critical role of protecting and ensuring public safety for all of us;

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Ripon designates the First Saturday in April, as “First Responder Appreciation Day” in Ripon, California.

PASSED AND ADOPTED at a regular meeting of the City Council of the City of Ripon this \_\_\_\_ day of \_\_\_\_\_, 2016, by the following vote:

AYES:

NOES:

ABSENT:

ABSTAINING:

THE CITY OF RIPON  
A Municipal Corporation

By \_\_\_\_\_  
JACOB PARKS, Mayor

ATTEST:

By \_\_\_\_\_  
LISA ROOS, City Clerk

# Successor Agency

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# Ripon Redevelopment Successor Agency Agenda

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TUESDAY, NOVEMBER 8, 2016

**AFTER COUNCIL MEETING**

**ROLL CALL:** Directors Leo Zuber, Mark Winchell, Michael Restuccia, Vice Chairman Dean Uecker, Chairman Jacob Parks.

**OTHERS PRESENT:** Executive Director Kevin Werner, City Attorney Tom Terpstra, Secretary Ken Zuidervaart, City Clerk Lisa Roos, Deputy City Clerk Tricia Raymond, Information Systems Technician Dan Brannon, Director of Public Works Ted Johnston, Police Chief Ed Ormonde, Recreation Director Kye Stevens.

**PUBLIC DISCUSSION:** Upon being recognized, come forward to the podium, and state your name and address before proceeding into your subject matter. While the Successor Agency cannot always answer citizens concerns raised during the public comment time, the City staff will be instructed, where appropriate, to either provide a response in the days following each Agency meeting, or to place the issue on a subsequent meeting agenda for the Successor Agency. State law prohibits the Agency from taking action on any item not on the agenda.

**APPROVE OF MINUTES:** Approval of the minutes of the Successor Agency Meeting of September 13, 2016.

**CONSENT CALENDAR**

**NOTES:**

**1. Bills**

A. US BANK

Ripon Redevelopment Agency

Ripon Community Redevelopment Project Tax Allocation Bonds

Issue 2003 – Debt Service \$246,050.57

Issue 2005 – Debt Service \$233,901.26

Issue 2007 – Debt Service \$892,503.13

TOTAL

\$1,372,454.96

**\*END OF CONSENT CALENDAR\***

Adjournment:

Time:

Respectfully submitted,

Ken Zuidervaart  
Secretary

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# Ripon Redevelopment Successor Agency Minutes

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TUESDAY, SEPTEMBER 13, 2016

## AFTER COUNCIL MEETING

**ROLL CALL:** Directors Leo Zuber, Mark Winchell, Michael Restuccia, Vice Chairman Dean Uecker.

Absent: Chairman Jacob Parks.

**OTHERS PRESENT:** City Administrator Kevin Werner, City Attorney Tom Terpstra, Planning Director Ken Zuidervaart, Recreation Director Kye Stevens, Director of Public Works Ted Johnston, Police Chief Ed Ormonde, City Clerk Lisa Roos, Deputy City Clerk Tricia Raymond, Information Systems Technician Dan Brannon, Colleen Medeiros, Connie Jorgensen, Daniel de Graaf, Jaime Fountain, Gaynl Trotter, Chris Crandall, Jeff Hutchison, Ripon Boy Scout Troop 414, Danielle Pierce, James Kelly, Roger Valdez, Lance Boullion.

**PUBLIC DISCUSSION:** No one from the public wished to speak at this time.

**APPROVE OF MINUTES:** **MOTION:** MOVED/SECONDED (RESTUCCIA,WINCHELL) AND CARRIED BY A 4-0 (PARKS ABSENT) VOTE TO APPROVE THE MINUTES OF THE SUCCESSOR AGENCY MEETING ON JULY 12, 2016.

## CONSENT CALENDAR

## NOTES:

### 1. Miscellaneous Items

#### A. SALE OF PROPERTY

211 AND 239 S. STOCKTON STREET      Award the bid in the amount of \$281,000.00 and direct staff to process the appropriate paperwork to facilitate the transaction.

**\*\*END OF CONSENT CALENDAR\*\***

**MOTION:** MOVED/SECONDED (RESTUCCIA,ZUBER) AND CARRIED BY A 4-0 (PARKS ABSENT) VOTE TO APPROVE THE CONSENT CALENDAR.

There being no further business, the meeting adjourned at 8:16 p.m.

(Signed) Jacob Parks  
Chairman

(Signed) Ken Zuidervaart  
Secretary

**CONSENT CALENDAR**  
**Bills, Invoices, Payments**

**Corporate Trust Services**

**Debt Service Invoice November 01, 2016**

**REDEVELOPMENT AG OF THE CITY OF RIPON  
 ATTN LYNETTE VAN LAAR, CITY CLERK,  
 FINANCE DIRECTOR  
 259 NORTH WILMA AVENUE  
 RIPON CA 95366**

**RIPREPRTAB03  
 REDEVELOPMENT AGENCY OF THE CITY OF  
 RIPON, RIPON COMMUNITY REDEVELOPMENT  
 PROJ TAB ISSUE OF 2003**

CUSIP	Maturity Date	Accrual Start Date	Accrual End Date	No. of Days	Principal Balance	Interest Rate	Interest	Principal	Premium or Discount
767495BS2	11/01/2016	05/01/2016	10/31/2016	180	135,000.00	4.10000 %	2,767.50	135,000.00	0.00
767495BT0	11/01/2017	05/01/2016	10/31/2016	180	140,000.00	4.25000 %	2,975.00	0.00	0.00
767495BU7	11/01/2018	05/01/2016	10/31/2016	180	150,000.00	4.35000 %	3,262.50	0.00	0.00
767495BV5	11/01/2019	05/01/2016	10/31/2016	180	150,000.00	4.45000 %	3,337.50	0.00	0.00
767495BW3	11/01/2020	05/01/2016	10/31/2016	180	165,000.00	4.55000 %	3,753.75	0.00	0.00
767495BY9	11/01/2027	05/01/2016	10/31/2016	180	1,345,000.00	4.75000 %	31,943.75	0.00	0.00
767495BZ6	11/01/2032	05/01/2016	10/31/2016	180	2,915,000.00	4.75000 %	69,231.25	0.00	0.00

**WIRING INSTRUCTIONS:**

Funds must be received by 10:00 AM CST to:

BBK: U.S. BANK NA (ABA 091000022)

BNF: U.S. Bank Trust N.A.

AC: 180121167365

OBI: RIPREPRTAB03 94644500

Interest Due: 117,271.25

Principal Due: 135,000.00

Amount of Principal that is Prem/Disc: 0.00

Sub-Total 252,271.25

Less Cash from Reserve Account - Other - 6,220.68

Net Adjustments - 6,220.68

**Funds are due on 10/27/2016 Total Due 246,050.57**

**U.S. BANK CONTACT**

TFM Analyst: NANETTE VEGA

E-mail: nannette.vega@usbank.com

Phone: (213) 615-6061

**Corporate Trust Services**

***Please Remit with Payment***

RIPREPRTAB03  
REDEVELOPMENT AGENCY OF THE CITY OF  
RIPON, RIPON COMMUNITY REDEVELOPMENT  
PROJ TAB ISSUE OF 2003

Account #: 94644500  
Debt Service Date: 11/01/2016  
Payment Due On: 10/27/2016  
Net Amount Due: \$ 246,050.57

**Amount Enclosed:**

Remit check to: (must be received 5 business days prior to due date)

U.S. BANK TFM  
CM-9705  
P.O. Box 70870  
ST. PAUL, MN 55170-9705

For change of address please contact:

NANETTE VEGA

(213) 615-6061

**Corporate Trust Services**

**Debt Service Invoice November 01, 2016**

**RIPON RDA FOR THE CITY OF RIPON  
 ATTN: LEON COMPTON, EXECUTIVE DIRECTOR  
 259 NORTH WILMA AVENUE  
 RIPON CA 95366**

**RIPONRDATA85  
 RDA OF THE CITY OF RIPON RIPON  
 COMMUNITY REDEVELOPMENT PROJ 2006  
 TAX ALLOCATION BONDS**

CUSIP	Maturity Date	Accrual Start Date	Accrual End Date	No. of Days	Principal Balance	Interest Rate	Interest	Principal	Premium or Discount
767495CP7	11/01/2017	05/01/2016	10/31/2016	180	250,000.00	3.00000 %	3,750.00	125,000.00	0.00
767495CX0	11/01/2025	05/01/2016	10/31/2016	180	1,230,000.00	5.00000 %	30,750.00	0.00	0.00
767495DH4	11/01/2035	05/01/2016	10/31/2016	180	3,140,000.00	4.75000 %	74,575.00	0.00	0.00

**WIRING INSTRUCTIONS:**

Funds must be received by 10:00 AM CST to:

BBK: U.S. BANK NA (ABA 091000022)

BNF: U.S. Bank Trust N.A.

AC: 180121167365

OBI: RIPONRDATA85 791955000

Interest Due:	109,075.00
Principal Due:	125,000.00
Amount of Principal that is Prem/Disc:	0.00
Sub-Total	234,075.00
Less Cash from Reserve Account - Other	- 173.74
Net Adjustments	- 173.74
<b>Funds are due on 10/27/2016 Total Due</b>	<b>233,901.26</b>

**U.S. BANK CONTACT**

TFM Analyst: NANETTE VEGA

E-mail: nannette.vega@usbank.com

Phone: (213) 815-8061

**Corporate Trust Services**

***Please Remit with Payment***

RIPONRDATA85  
RDA OF THE CITY OF RIPON RIPON  
COMMUNITY REDEVELOPMENT PROJ 2005  
TAX ALLOCATION BONDS

Account #: 791955000  
Debt Service Date: 11/01/2016  
Payment Due On: 10/27/2016  
Net Amount Due: \$ 233,901.26

**Amount Enclosed:**

Remit check to: (must be received 5 business days prior to due date)

U.S. BANK TFM  
CM-9705  
P.O. Box 70870  
ST. PAUL, MN 55170-9705

For change of address please contact:

NANETTE VEGA

(213) 615-6061

**Corporate Trust Services**

**Debt Service Invoice November 01, 2016**

**RIPON RDA FOR THE CITY OF RIPON  
 ATTN: LEON COMPTON, EXECUTIVE DIRECTOR  
 259 NORTH WILMA AVENUE  
 RIPON CA 95366**

**RDARCDPTARB7  
 REDEVELOPMENT AGENCY OF THE CITY OF  
 RIPON RIPON COMMUNITY REDEVELOPMENT  
 PROJ TARB ISSUE OF 2007**

CUSIP	Maturity Date	Accrual Start Date	Accrual End Date	No. of Days	Principal Balance	Interest Rate	Interest	Principal	Premium or Discount
767496DT8	11/01/2016	05/01/2016	10/31/2016	180	485,000.00	4.00000 %	9,700.00	485,000.00	0.00
767495DU5	11/01/2017	05/01/2016	10/31/2016	180	505,000.00	5.00000 %	12,625.00	0.00	0.00
767496DV3	11/01/2018	05/01/2016	10/31/2016	180	530,000.00	4.00000 %	10,600.00	0.00	0.00
767495DW1	11/01/2019	05/01/2016	10/31/2016	180	555,000.00	4.10000 %	11,377.50	0.00	0.00
767495DX9	11/01/2020	05/01/2016	10/31/2016	180	575,000.00	4.12500 %	11,859.38	0.00	0.00
767495DY7	11/01/2021	05/01/2016	10/31/2016	180	100,000.00	4.20000 %	2,100.00	0.00	0.00
767495DZ4	11/01/2021	05/01/2016	10/31/2016	180	500,000.00	4.25000 %	10,625.00	0.00	0.00
767495EA8	11/01/2022	05/01/2016	10/31/2016	180	630,000.00	4.25000 %	13,387.50	0.00	0.00
767495EB6	11/01/2023	05/01/2016	10/31/2016	180	655,000.00	4.25000 %	13,918.75	0.00	0.00
767495EC4	11/01/2024	05/01/2016	10/31/2016	180	680,000.00	4.30000 %	14,620.00	0.00	0.00
767495ED2	11/01/2025	05/01/2016	10/31/2016	180	710,000.00	4.37500 %	15,531.25	0.00	0.00
767495EE0	11/01/2026	05/01/2016	10/31/2016	180	740,000.00	4.37500 %	16,187.50	0.00	0.00
767495EF7	11/01/2027	05/01/2016	10/31/2016	180	770,000.00	4.40000 %	16,940.00	0.00	0.00
767495EG5	11/01/2034	05/01/2016	10/31/2016	180	4,300,000.00	4.50000 %	96,750.00	0.00	0.00
767495EH3	11/01/2036	05/01/2016	10/31/2016	180	4,475,000.00	4.75000 %	106,281.25	45,000.00	0.00

**WIRING INSTRUCTIONS:**

Funds must be received by 10:00 AM CST to:

BBK: U.S. BANK NA (ABA 091000022)

BNF: U.S. Bank Trust N.A.

AC: 180121167365

OBI: RDARCDPTARB7 112878000

Interest Due: 362,503.13

Principal Due: 530,000.00

Amount of Principal that is Prem/Disc: 0.00

Sub-Total 892,503.13

**Funds are due on 10/27/2016 Total Due 892,503.13**

**U.S. BANK CONTACT**

TFM Analyst: NANETTE VEGA

E-mail: nannette.vega@usbank.com

Phone: (213) 615-6061

**Corporate Trust Services**

***Please Remit with Payment***

RDARCDPTARB7	Account #:	112878000
REDEVELOPMENT AGENCY OF THE CITY OF	Debt Service Date:	11/01/2016
RIPON RIPON COMMUNITY REDEVELOPMENT	Payment Due On:	10/27/2016
PROJ TARB ISSUE OF 2007	Net Amount Due:	\$ 892,503.13

**Amount Enclosed:**

Remit check to: (must be received 5 business days prior to due date)

U.S. BANK TFM  
CM-9705  
P.O. Box 70870  
ST. PAUL, MN 55170-9705

For change of address please contact:

NANETTE VEGA (213) 615-6061